IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

NOVARTIS PHARMACEUTIC CORPORATION and NOVAR	-)))))	C.A. No. 1:15-cv-00475-RGA
V.)	C.A. No. 1.13-CV-00473-ROA
PAR PHARMACEUTICAL, IN	NC.)))	
D	Defendant.))))	

FIRST AMENDED COMPLAINT FOR PATENT INFRINGEMENT

Plaintiffs Novartis Pharmaceuticals Corporation and Novartis AG (hereinafter "Plaintiffs"), for their Complaint against defendant Par Pharmaceutical, Inc. allege as follows:

NATURE OF ACTION

1. This is an action for patent infringement.

PARTIES

- 2. Plaintiff Novartis Pharmaceuticals Corporation ("NPC") is a corporation organized and existing under the laws of the State of Delaware, having a principal place of business at 59 Route 10, East Hanover, New Jersey 07936.
- 3. Plaintiff Novartis AG ("Novartis AG") is a corporation organized and existing under the laws of Switzerland, having an office and place of business at Lichtstrasse 35, CH-4056 Basel, Switzerland.

4. On information and belief, defendant Par Pharmaceutical, Inc. ("Par") is a corporation organized and existing under the laws of the State of Delaware, and having designated its registered agent as The Corporation Trust Company, Corporation Trust Center, 1209 Orange Street, Wilmington, Delaware 19801. Upon information and belief, defendant Par has its primary place of business at One Ram Ridge Road, Spring Valley, New York 10977. Upon information and belief, defendant Par develops, manufactures, markets and distributes numerous generic drugs for sale and use throughout the United States, including in this judicial district.

JURISDICTION AND VENUE

- 5. This action arises under the patent laws of the United States of America. This Court has jurisdiction over the subject matter of this action under 28 U.S.C. §§ 1331, 1338(a), 2201, and 2202.
- 6. On information and belief, Par is in the business of developing, manufacturing, marketing, and selling pharmaceutical drug products, including generic drug products. On information and belief, Par directly or through its affiliates and agents markets and sells drug products throughout the United States and in this judicial district, is incorporated in Delaware, has a registered agent for service in Delaware, and has purposely availed itself of the rights and benefits of Delaware law and this Court. This Court has personal jurisdiction over Par by virtue of, *inter alia*, these above-mentioned facts.
- 7. Venue is proper in this Court pursuant to 28 U.S.C. §§ 1391(b) and (c) and 28 U.S.C. § 1400(b).

CLAIM FOR RELIEF - PATENT INFRINGEMENT

8. Plaintiff NPC holds approved New Drug Application ("NDA") No. 22-334 for AFINITOR® (everolimus) tablets for oral administration (2.5 mg, 5 mg, 7.5 mg and 10

mg dosage strengths), which contain the active ingredient everolimus. AFINITOR® tablets were approved by the United States Food and Drug Administration ("FDA") on March 30, 2009 (5 mg and 10 mg dosage strengths), July 9, 2010 (2.5 mg dosage strength), and March 30, 2012 (7.5 mg dosage strength). AFINITOR® tablets are indicated for the treatment of: postmenopausal women with advanced hormone receptor-positive, HER2-negative breast cancer in combination with exemestane after failure of treatment with letrozole or anastrozole; adults with progressive neuroendocrine tumors of pancreatic origin that are unresectable, locally advanced or metastatic; adults with advanced renal cell carcinoma after failure of treatment with sunitinib or sorafenib; adults with renal angiomyolipoma and tuberous sclerosis complex, not requiring immediate surgery; and pediatric and adult patients with tuberous sclerosis complex who have subependymal giant cell astrocytoma that requires therapeutic intervention but cannot be curatively resected. AFINITOR® (everolimus) tablets for oral administration (2.5 mg, 5 mg, 7.5 mg and 10 mg dosage strengths) are sold in the United States by Plaintiff NPC.

9. Everolimus is known chemically as (1R,9S,12S,15R,16E,18R,19R,21R,23S,24E,26E,28E,30S,32S,35R)-1,18-dihydroxy-12-{(1R)-2-[(1S,3R,4R)-4-(2-hydroxyethoxy)-3-methoxycyclohexyl]-1-methylethyl}-19,30-dimethoxy-15,17,21,23,29,35-hexamethyl-11,36-dioxa-4-aza-tricyclo[30.3.1.0^{4,9}]hexatriaconta-16,24,26,28-tetraene-2,3,10,14,20-pentaone and also as 40-*O*-(2-hydroxyethyl)-rapamycin. The chemical name "(1R,9S,12S,15R,16E,18R,19R,21R,23S,24E,26E,28E,30S,32S,35R)-1,18-dihydroxy-12-{(1R)-2-[(1S,3R,4R)-4-(2-hydroxyethoxy)-3-methoxycyclohexyl]-1-methylethyl}-19,30-dimethoxy-15,17,21,23,29,35-hexamethyl-11,36-dioxa-4-aza-tricyclo[30.3.1.0^{4,9}]hexatriaconta-16,24,26,28-tetraene-2,3,10,14,20-pentaone" is equivalent to "40-*O*-(2-hydroxyethyl)-rapamycin."

- 10. Plaintiff Novartis AG is the owner of United States Letters Patent No. 9,006,224 ("the '224 Patent"). The '224 Patent was duly and legally issued on April 14, 2015.
- 11. The '224 Patent claims, *inter alia*, a method for treating pancreatic neuroendocrine tumors, comprising administering to a human subject in need thereof a therapeutically effective amount of 40-*O*-(2-hydroxyethyl)-rapamycin as a monotherapy and wherein the tumors are advanced tumors after failure of cytotoxic chemotherapy. A true copy of the '224 Patent is attached as Exhibit A.
- 12. Plaintiffs notified Par of the issuance of the '224 Patent on April 14, 2015, the day the patent issued.
- 13. On information and belief, Par has submitted to the FDA and maintained an abbreviated new drug application ("ANDA") under the provisions of 21 U.S.C. § 355(j) seeking approval to engage in the commercial manufacture, use, and sale of everolimus tablets (2.5 mg, 5 mg, 7.5 mg, and 10 mg dosage strengths) (the "ANDA Products") before the expiration of the '224 Patent.
- 14. On information and belief, Par has amended its ANDA to include a certification under 21 U.S.C. § 355(j)(2)(A)(vii)(IV) with respect to the '224 Patent.
- 15. Plaintiffs received written notification of the amendment to Par's ANDA to include the § 505(j)(2)(A)(vii)(IV) certification by a letter dated June 12, 2015 ("Notice Letter").
- 16. This action was commenced prior to the expiration of the 45-day period from receipt of the Par Notice Letter.
- 17. By filing, amending and maintaining its ANDA under 21 U.S.C. § 355(j) for the purpose of obtaining approval to engage in the commercial manufacture, use, or sale of

Par's ANDA Products before the expiration of the '224 Patent, Par has committed an act of infringement under 35 U.S.C. § 271(e)(2).

- 18. On information and belief, when Par amended and/or maintained its ANDA, it was aware of the '224 Patent and that the filing, amending and/or maintaining of its ANDA with the request for its approval prior to the expiration of the '224 Patent was an act of infringement of that patent.
- 19. On information and belief, the commercial manufacture, offer for sale, sale or use of Par's ANDA Products will infringe one or more claims of the '224 Patent.
- 20. On information and belief, Par's ANDA Products, if approved, will be administered for treating pancreatic neuroendocrine tumors to a human subject in need thereof in a therapeutically effective amount as a monotherapy and wherein the tumors are advanced tumors after failure of cytotoxic chemotherapy, which administration will constitute direct infringement of the '224 Patent. On information and belief, if its ANDA Products are approved, Par will actively induce, encourage, and abet this infringement with knowledge of the '224 Patent and that its acts will induce infringement of the '224 Patent.
- 21. On information and belief, Par's ANDA Products, if approved, will contain instructions for administering 40-*O*-(2-hydroxyethyl)-rapamycin for treating pancreatic neuroendocrine tumors to a human subject in need thereof in a therapeutically effective amount as a monotherapy and wherein the tumors are advanced tumors after failure of cytotoxic chemotherapy.
- 22. On information and belief, if its ANDA is approved, Par will actively induce, encourage, and abet infringement of the '224 Patent, and will do so with knowledge of the '224 Patent and with knowledge that its acts will induce infringement of the '224 Patent.

- 23. On information and belief, if its ANDA is approved, Par will commercially manufacture, offer for sale, and sell its ANDA Products for use in a method for treating pancreatic neuroendocrine tumors, comprising administering to a human subject in need thereof a therapeutically effective amount of 40-*O*-(2-hydroxyethyl)-rapamycin as a monotherapy and wherein the tumors are advanced tumors after failure of cytotoxic chemotherapy.
- 24. On information and belief, if its ANDA is approved, Par's ANDA Products will be specifically labeled for administering 40-*O*-(2-hydroxyethyl)-rapamycin for treating pancreatic neuroendocrine tumors to a human subject in need thereof in a therapeutically effective amount as a monotherapy and wherein the tumors are advanced tumors after failure of cytotoxic chemotherapy.
- 25. On information and belief, there are no substantial noninfringing uses of Par's ANDA Products.
- 26. On information and belief, if approved, Par's ANDA Products will constitute a material part of a method for treating pancreatic neuroendocrine tumors, comprising administering to a human subject in need thereof a therapeutically effective amount of 40-*O*-(2-hydroxyethyl)-rapamycin as a monotherapy and wherein the tumors are advanced tumors after failure of cytotoxic chemotherapy.
- 27. On information and belief, if its ANDA is approved, Par will contributorily infringe the '224 Patent, and will do so with knowledge of the '224 Patent and that its ANDA Products are especially made or especially adapted for use in infringing the '224 Patent.

- 28. Plaintiffs are entitled to the relief provided by 35 U.S.C. § 271(e)(4), including an order of this Court that the effective date of any approval of the ANDA relating to Par's ANDA Products be a date that is no earlier than July 1, 2028, the expiration of the '224 Patent, and an award of damages for any commercial sale or use of Par's ANDA Products and any act committed by Par with respect to the subject matter claimed in the '224 Patent, which act is not within the limited exclusions of 35 U.S.C. § 271(e)(1).
- 29. On information and belief, Par has taken and continues to take active steps towards the commercial manufacture, use, offer for sale, sale, and/or importation of Par's ANDA Products, including seeking approval to market those products under Par's ANDA prior to the expiration of the '224 Patent.
- 30. There is a substantial and immediate controversy between Plaintiffs and Par concerning the '224 Patent. Plaintiffs are entitled to declaratory judgment under 28 U.S.C. §§ 2201 and 2202 that Par will induce infringement of and/or contributorily infringe one or more claims of the '224 Patent.

PRAYER FOR RELIEF

WHEREFORE, Plaintiffs respectfully request the following relief:

- A. Judgment that Par has directly infringed one or more claims of the '224 Patent by filing, amending and/or maintaining an ANDA relating to Par's everolimus tablets (2.5 mg, 5 mg, 7.5 mg, and 10 mg dosage strengths);
- B. A permanent injunction restraining and enjoining Par and its officers, agents, attorneys, and employees, and those acting in privity or concert with it, from engaging in the commercial manufacture, use, offer to sell, or sale within the United States, or importation into the United States, of Par's everolimus tablets (2.5 mg, 5 mg, 7.5 mg, and 10 mg dosage strengths), as claimed in the '224 Patent;

- C. An order that the effective date of any approval of the ANDA relating to Par's everolimus tablets (2.5 mg, 5 mg, 7.5 mg, and 10 mg dosage strengths), be a date that is not earlier than the expiration of the right of exclusivity under the '224 Patent;
- D. Declaratory judgment that Par will induce infringement and/or contributorily infringe of one or more claims of the '224 Patent by commercially manufacturing, offering for sale and/or selling its everolimus tablets (2.5 mg, 5 mg, 7.5 mg, and 10 mg dosage strengths);
- E. Damages from Par for the infringement and/or inducement of infringement and/or contributory infringement of the '224 Patent;
 - F. The costs and reasonable attorney fees of Plaintiffs in this action; and
 - G. Such other and further relief as the Court may deem just and proper.

Dated: June 19, 2015 McCARTER & ENGLISH, LLP

/s/ Daniel M. Silver

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