

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF DELAWARE**

BRISTOL-MYERS SQUIBB COMPANY
AND PFIZER INC.,

Plaintiffs,

v.

APOTEX, INC. AND APOTEX CORP.,

Defendants.

Civil Action No. _____

COMPLAINT

Plaintiffs Bristol-Myers Squibb Company (“BMS”) and Pfizer Inc. (“Pfizer”) (BMS and Pfizer, collectively, “Plaintiffs”), by their attorneys, hereby allege as follows:

NATURE OF THE ACTION

1. This is an action for patent infringement arising under the patent laws of the United States, Title 35, United States Code, against Defendants Apotex, Inc. and Apotex Corp. (collectively “Apotex”). This action relates to Abbreviated New Drug Application (“ANDA”) No. 210091 filed by Apotex with the U.S. Food and Drug Administration (“FDA”).

2. In ANDA No. 210091, Apotex seeks approval to market 2.5 mg and 5 mg tablets of apixaban, generic versions of Plaintiffs’ Eliquis[®] drug product (the “Apotex ANDA product”), prior to expiration of U.S. Patent Nos. 6,413,980 (the “’980 patent”), 6,967,208 (the “’208 patent”), and 9,326,945 (the “’945 patent”) (collectively, the “patents-in-suit”).

PARTIES

3. BMS is a corporation organized and existing under the laws of Delaware, having a place of business at Route 206 and Province Line Road, Princeton, New Jersey 08540.

4. Pfizer is a corporation organized and existing under the laws of Delaware, having its principal place of business at 235 East 42nd Street, New York, New York 10017.

5. Plaintiffs are engaged in the business of creating, developing, and bringing to market revolutionary pharmaceutical products to help patients prevail against serious diseases, including treatments for thromboembolic disorders. Plaintiffs sell Eliquis[®] in this judicial district and throughout the United States.

6. Upon information and belief, Apotex Inc. is a corporation organized and existing under the laws of Canada, having its principal place of business at 150 Signet Drive, Toronto, Ontario, M9L 1T9, Canada.

7. Upon information and belief, Apotex Corp. is a corporation organized and existing under the laws of Delaware, having its principal place of business at 2400 North Commerce Parkway, Suite 400, Weston, Florida, 33326.

8. On information and belief, Apotex Inc. and Apotex Corp. have cooperated and assisted in the preparation and filing of ANDA No. 210091 and will be involved in the manufacture, importation, marketing and sale of the Apotex ANDA product in the United States, including in the state of Delaware, if it is approved.

JURISDICTION AND VENUE

9. This action arises under the patent laws of the United States, 35 U.S.C. §§ 100, *et seq.*, and this Court has jurisdiction over the subject matter of this action under 28 U.S.C. §§ 1331, 1338(a), 2201, and 2202.

10. Venue is proper in this Court under 28 U.S.C. §§ 1391 and/or 1400(b), and this Court has personal jurisdiction over Apotex. Apotex, through its counsel, by e-mails dated March 21, 2017 and April 6, 2017, agreed that it does not contest jurisdiction or venue in this Court in this matter.

PATENTS-IN-SUIT

11. On July 2, 2002, the U.S. Patent and Trademark Office duly and legally issued the '980 patent, titled "Nitrogen Containing Heterobicycles as Factor Xa Inhibitors." A true and correct copy of the '980 patent is attached hereto as Exhibit A. The claims of the '980 patent are valid, enforceable, and not expired. BMS is the owner of the '980 patent and has the right to enforce it.

12. On November 22, 2005, the U.S. Patent and Trademark Office duly and legally issued the '208 patent, titled "Lactam-Containing Compounds and Derivatives thereof as Factor Xa Inhibitors." A true and correct copy of the '208 patent is attached hereto as Exhibit B. The claims of the '208 patent are valid, enforceable, and not expired. BMS is the owner of the '208 patent and has the right to enforce it.

13. On May 3, 2016, the U.S. Patent and Trademark Office duly and legally issued the '945 patent, titled "Apixaban Formulations." A true and correct copy of the '945 patent is attached hereto as Exhibit C. The claims of the '945 patent are valid, enforceable, and not expired. Plaintiffs are the joint owners of the '945 patent and have the right to enforce it.

14. BMS is the holder of New Drug Application ("NDA") No. 202155, by which the FDA granted approval for the marketing and sale of 2.5 mg and 5 mg strength apixaban tablets. Plaintiffs market apixaban tablets in the United States, under the trade name "Eliquis®." The FDA's official publication of approved drugs (the "Orange Book") includes Eliquis® together with the patents-in-suit. Eliquis® is a factor Xa inhibitor indicated: (1) to reduce the risk of stroke and

systemic embolism in patients with nonvalvular atrial fibrillation; (2) for the prophylaxis of deep vein thrombosis (“DVT”), which may lead to pulmonary embolism (“PE”), in patients who have undergone hip or knee replacement surgery; and (3) for the treatment of DVT and PE, and for the reduction in the risk of recurrent DVT and PE following initial therapy. A copy of the complete prescribing information for Eliquis[®] approved in NDA No. 202155 is attached as Exhibit D.

INFRINGEMENT BY APOTEX

15. In a letter dated March 13, 2017, Apotex notified Plaintiffs that Apotex had submitted ANDA No. 210091 to the FDA under Section 505(j) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. § 355(j)) (“the Eliquis Notice Letter”). Plaintiffs received the Eliquis Notice Letter no earlier than March 13, 2017.

16. The Eliquis Notice Letter states that Apotex seeks approval from the FDA to engage in the commercial manufacture, use, and sale of the Apotex ANDA product before the expiration of the patents-in-suit. Upon information and belief, Apotex intends to – directly or indirectly – engage in the commercial manufacture, use, and sale of the Apotex ANDA product promptly upon receiving FDA approval to do so.

17. By filing ANDA No. 210091, Apotex has necessarily represented to the FDA that the Apotex ANDA product has the same active ingredient as Eliquis[®], has the same dosage form and strength as Eliquis[®], and is bioequivalent to Eliquis[®].

18. Upon information and belief, Apotex is seeking approval to market the Apotex ANDA product for the same approved indications as Eliquis[®].

19. In the Eliquis Notice Letter, Apotex states that its ANDA contains a certification pursuant to 21 U.S.C. § 355(j)(2)(A)(vii)(IV) asserting that the patents-in-suit are invalid, unenforceable, and/or will not be infringed by the commercial manufacture, use, and sale of the Apotex ANDA product.

20. In the Eliquis Notice Letter, Apotex offered confidential access to portions of its ANDA No. 210091 on terms and conditions set forth in the Eliquis Notice Letter (“the Apotex Offer”). Apotex requested that Plaintiffs accept the Apotex Offer before receiving access to Apotex’s ANDA No. 210091. The Apotex Offer contained unreasonable restrictions well beyond those that would apply under a protective order on who could view the ANDA. For example, the Apotex Offer contained a broad patent prosecution bar, which, among other things, does not have a carve-out for inter-partes reviews or other adversarial proceedings. The Apotex Offer unreasonably restricted the ability of counsel to seek the opinions of Plaintiffs’ employees and outside experts. The restrictions Apotex has placed on access to ANDA No. 210091 contravene 21 U.S.C. § 355(j)(5)(C)(i)(III), which states that an offer of confidential access “shall contain such restrictions as to persons entitled to access, and on the use and disposition of any information accessed, *as would apply had a protective order been entered for the purpose of protecting trade secrets and other confidential business information*” (emphasis added).

21. This Complaint is being filed before the expiration of forty-five days from the date Plaintiffs received the Eliquis Notice Letter.

COUNT I

(INFRINGEMENT OF THE ’980 PATENT)

22. Each of the preceding paragraphs 1 to 21 is incorporated as if fully set forth herein.

23. Apotex’s submission of ANDA No. 210091 to obtain approval to engage in the commercial manufacture, use, offer to sell, or sale of the Apotex ANDA product prior to the expiration of the ’980 patent constituted a technical act of infringement of at least one of the claims of the ’980 patent, either literally or under the doctrine of equivalents, including but not limited to claims 5-8, 10, and 16, under 35 U.S.C. § 271(e)(2)(A).

24. Apotex's commercial manufacture, use, offer to sell, sale, or importation of the Apotex ANDA product prior to the expiration of the '980 patent, and its inducement of and/or contribution to such conduct, would further infringe, either literally or under the doctrine of equivalents, at least one of the claims of the '980 patent, including but not limited to claims 5-8, 10, and 16, under 35 U.S.C. §§ 271(a), (b) and/or (c).

25. Upon FDA approval of Apotex's ANDA No. 210091, Apotex will infringe, either literally or under the doctrine of equivalents, at least one of the claims of the '980 patent, including but not limited to claims 5-8, 10, and 16, by making, using, offering to sell, and selling the Apotex ANDA product in the United States and/or importing said product into the United States, or by actively inducing and contributing to infringement of the '980 patent by others, under 35 U.S.C. § 271(a)-(c), unless enjoined by the Court.

26. If Apotex's marketing and sale of the Apotex ANDA product prior to expiration of the '980 patent and all other relevant exclusivities are not enjoined, BMS will suffer substantial and irreparable harm for which there is no remedy at law.

COUNT II

(INFRINGEMENT OF THE '208 PATENT)

27. Each of the preceding paragraphs 1 to 26 is incorporated as if fully set forth herein.

28. Apotex's submission of ANDA No. 210091 to obtain approval to engage in the commercial manufacture, use, offer to sell, or sale of the Apotex ANDA product prior to the expiration of the '208 patent constituted a technical act of infringement of at least one of the claims of the '208 patent, either literally or under the doctrine of equivalents, including but not limited to claims 8, 13, 26-27, and 55-61, under 35 U.S.C. § 271(e)(2)(A).

29. Apotex's commercial manufacture, use, offer to sell, sale, or importation of the Apotex ANDA product prior to the expiration of the '208 patent, and its inducement of and/or

contribution to such conduct, would further infringe, either literally or under the doctrine of equivalents, at least one of the claims of the '208 patent, including but not limited to claims 8, 13, and 26-27, under 35 U.S.C. §§ 271(a), (b) and/or (c).

30. Apotex's commercial manufacture, use, offer to sell, sale, or importation of the Apotex ANDA product for the same treatment claimed in the '208 patent prior to the expiration of the '208 patent, and its inducement of and/or contribution to such conduct, would further infringe, either literally or under the doctrine of equivalents, at least one of the claims of the '208 patent, including but not limited to claims 55-61, under 35 U.S.C. §§ 271(a), (b) and/or (c).

31. Upon FDA approval of Apotex's ANDA No. 210091, Apotex will infringe, either literally or under the doctrine of equivalents, at least one of the claims of the '208 patent, including but not limited to claims 8, 13, 26-27, and 55-61, by making, using, offering to sell, and selling the Apotex ANDA product in the United States and/or importing said product into the United States, or by actively inducing and contributing to infringement of the '208 patent by others, under 35 U.S.C. § 271(a)-(c), unless enjoined by the Court.

32. If Apotex's marketing and sale of the Apotex ANDA product prior to expiration of the '208 patent and all other relevant exclusivities are not enjoined, BMS will suffer substantial and irreparable harm for which there is no remedy at law.

COUNT III

(INFRINGEMENT OF THE '945 PATENT)

33. Each of the preceding paragraphs 1 to 32 is incorporated as if fully set forth herein.

34. Apotex's submission of ANDA No. 210091 to obtain approval to engage in the commercial manufacture, use, offer to sell, or sale of the Apotex ANDA product prior to the expiration of the '945 patent constituted a technical act of infringement of at least one of the claims

of the '945 patent, either literally or under the doctrine of equivalents, including but not limited to claims 1, 9-12, 20-23, 25, 27, 29, 31, 33, 35, and 37, under 35 U.S.C. § 271(e)(2)(A).

35. Apotex's commercial manufacture, use, offer to sell, sale, or importation of the Apotex ANDA product prior to the expiration of the '945 patent, and its inducement of and/or contribution to such conduct, would further infringe at least one of the claims of the '945 patent, either literally or under the doctrine of equivalents, including but not limited to claims 1, 9-12, 20-23, 25, 27, 29, 31, 33, 35, and 37, under 35 U.S.C. §§ 271(a), (b) and/or (c).

36. Upon FDA approval of Apotex's ANDA No. 210091, Apotex will infringe one or more claims of the '945 patent, either literally or under the doctrine of equivalents, including but not limited to claims 1, 9-12, 20-23, 25, 27, 29, 31, 33, 35, and 37, by making, using, offering to sell, and selling the Apotex ANDA product in the United States and/or importing said product into the United States, or by actively inducing and contributing to infringement of the '945 patent by others, under 35 U.S.C. § 271(a)-(c), unless enjoined by the Court.

37. If Apotex's marketing and sale of the Apotex ANDA product prior to expiration of the '945 patent and all other relevant exclusivities are not enjoined, Plaintiffs will suffer substantial and irreparable harm for which there is no remedy at law.

PRAYER FOR RELIEF

WHEREFORE, Plaintiffs pray that this Court grant the following relief:

1. A judgment that the claims of the patents-in-suit are not invalid, are not unenforceable, and are infringed by Apotex's submission of ANDA No. 210091, either literally or under the doctrine of equivalents, and that Apotex's making, using, offering to sell, or selling in the United States, or importing into the United States the Apotex ANDA product will infringe the claims of the patents-in-suit, either literally or under the doctrine of equivalents.

2. An order pursuant to 35 U.S.C. § 271(e)(4)(A) providing that the effective date of any approval of ANDA No. 210091 shall be a date which is not earlier than the latest expiration date of the patents-in-suit, including any extensions and/or additional periods of exclusivity to which Plaintiffs are or become entitled.

3. An order permanently enjoining Apotex, its affiliates, subsidiaries, and each of its officers, agents, servants and employees and those acting in privity or concert with them, from making, using, offering to sell, or selling in the United States, or importing into the United States the Apotex ANDA product until after the latest expiration date of the patents-in-suit, including any extensions and/or additional periods of exclusivity to which Plaintiffs are or become entitled.

4. Damages or other monetary relief, including costs, fees, pre- and post-judgment interest, to Plaintiffs if Apotex engages in commercial manufacture, use, offers to sell, sale, or importation in or into the United States of the Apotex ANDA product prior to the latest expiration date of the patents-in-suit, including any extensions and/or additional periods of exclusivity to which Plaintiffs are or become entitled.

5. Such further and other relief as this Court deems proper and just, including any appropriate relief under 35 U.S.C. § 285.

Dated: April 10, 2017

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