

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF DELAWARE**

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BRISTOL-MYERS SQUIBB COMPANY  
AND PFIZER INC.,

Plaintiffs,

v.

SANDOZ INC.,

Defendant.

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Civil Action No. \_\_\_\_\_

**COMPLAINT**

Plaintiffs Bristol-Myers Squibb Company (“BMS”) and Pfizer Inc. (“Pfizer”) (BMS and Pfizer, collectively, “Plaintiffs”), by their attorneys, hereby allege as follows:

**NATURE OF THE ACTION**

1. This is an action for patent infringement arising under the patent laws of the United States, Title 35, United States Code, against Defendant Sandoz Inc. (“Sandoz”). This action relates to Abbreviated New Drug Application (“ANDA”) No. 209981 filed by Sandoz with the U.S. Food and Drug Administration (“FDA”).

2. In ANDA No. 209981, Sandoz seeks approval to market 2.5 mg and 5 mg tablets of apixaban, generic versions of Plaintiffs’ Eliquis<sup>®</sup> drug product (the “Sandoz ANDA product”), prior to expiration of U.S. Patent Nos. 6,967,208 (the “’208 patent”) and 9,326,945 (the “’945 patent”) (collectively, the “patents-in-suit”).

### **PARTIES**

3. BMS is a corporation organized and existing under the laws of Delaware, having a place of business at Route 206 and Province Line Road, Princeton, New Jersey 08540.

4. Pfizer is a corporation organized and existing under the laws of Delaware, having its principal place of business at 235 East 42nd Street, New York, New York 10017.

5. Plaintiffs are engaged in the business of creating, developing, and bringing to market revolutionary pharmaceutical products to help patients prevail against serious diseases, including treatments for thromboembolic disorders. Plaintiffs sell Eliquis<sup>®</sup> in this judicial district and throughout the United States.

6. Upon information and belief, Sandoz is a corporation organized and existing under the laws of Colorado, having its principal place of business at 100 College Road West, Princeton, New Jersey 08540.

### **JURISDICTION AND VENUE**

7. This action arises under the patent laws of the United States, 35 U.S.C. §§ 100, *et seq.*, and this Court has jurisdiction over the subject matter of this action under 28 U.S.C. §§ 1331, 1338(a), 2201, and 2202.

8. This Court has jurisdiction over Sandoz because, *inter alia*, Sandoz has committed an act of patent infringement under 35 U.S.C. § 271(e)(2) and intends a future course of conduct that includes acts of patent infringement in Delaware. These acts have led and will lead to foreseeable harm and injury to Plaintiffs, both Delaware corporations, in Delaware. For example, on information and belief, following approval of ANDA No. 209981, Sandoz will make, use, import, sell, and/or offer for sale the Sandoz ANDA product in the United States, including in Delaware, prior to the expiration of the patents-in-suit.

9. This Court also has jurisdiction over Sandoz because, *inter alia*, this action arises from actions of Sandoz directed toward Delaware, and because Sandoz has purposefully availed itself of the rights and benefits of Delaware law by engaging in systematic and continuous contacts with Delaware. Upon information and belief, Sandoz regularly and continuously transacts business within Delaware, including by selling pharmaceutical products in Delaware. Upon information and belief, Sandoz derives substantial revenue from the sale of those products in Delaware and has availed itself of the privilege of conducting business within Delaware.

10. Sandoz has previously been sued in this judicial district and has availed itself of Delaware courts through the assertion of counterclaims in suits brought in Delaware including *Astellas Pharma Inc. v. Sandoz Inc.*, 1:15-cv-00952-SLR-CJB.

11. Venue is proper in this Court under 28 U.S.C. §§ 1391 and/or 1400(b), including because, *inter alia*, Sandoz is subject to personal jurisdiction in this district, as set forth above, has committed an act of infringement and will commit further acts of infringement in this judicial district, as set forth in more detail in paragraph 8 above, and, upon information and belief, has a regular and established place of business in this judicial district.

12. For these reasons, and for other reasons that will be presented to the Court if jurisdiction and/or venue is challenged, the Court has personal jurisdiction over Sandoz, and venue in this judicial district is proper.

### **PATENTS-IN-SUIT**

13. On November 22, 2005, the U.S. Patent and Trademark Office duly and legally issued the '208 patent, titled "Lactam-Containing Compounds and Derivatives thereof as Factor Xa Inhibitors." A true and correct copy of the '208 patent is attached hereto as Exhibit A. The claims of the '208 patent are valid, enforceable, and not expired. BMS is the owner of the '208 patent and has the right to enforce it.

14. On May 3, 2016, the U.S. Patent and Trademark Office duly and legally issued the '945 patent, titled "Apixaban Formulations." A true and correct copy of the '945 patent is attached hereto as Exhibit B. The claims of the '945 patent are valid, enforceable, and not expired. Plaintiffs are the joint owners of the '945 patent and have the right to enforce it.

15. BMS is the holder of New Drug Application ("NDA") No. 202155, by which the FDA granted approval for the marketing and sale of 2.5 mg and 5 mg strength apixaban tablets. Plaintiffs market apixaban tablets in the United States, under the trade name "Eliquis<sup>®</sup>." The FDA's official publication of approved drugs (the "Orange Book") includes Eliquis<sup>®</sup> together with the patents-in-suit. Eliquis<sup>®</sup> is a factor Xa inhibitor indicated: (1) to reduce the risk of stroke and systemic embolism in patients with nonvalvular atrial fibrillation; (2) for the prophylaxis of deep vein thrombosis ("DVT"), which may lead to pulmonary embolism ("PE"), in patients who have undergone hip or knee replacement surgery; and (3) for the treatment of DVT and PE, and for the reduction in the risk of recurrent DVT and PE following initial therapy. A copy of the complete prescribing information for Eliquis<sup>®</sup> approved in NDA No. 202155 is attached as Exhibit C.

#### **INFRINGEMENT BY SANDOZ**

16. By letter sent by FedEx Priority Overnight service and Certified Mail on March 9, 2017, Sandoz notified Plaintiffs that Sandoz had submitted ANDA No. 209981 to the FDA under Section 505(j) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. § 355(j)) ("the Eliquis Notice Letter"). Plaintiffs received the Eliquis Notice Letter no earlier than March 10, 2017.

17. The Eliquis Notice Letter states that Sandoz seeks approval from the FDA to engage in the commercial manufacture, use, and sale of the Sandoz ANDA product before the expiration of the patents-in-suit. Upon information and belief, Sandoz intends to – directly or indirectly – engage in the commercial manufacture, use, and sale of the Sandoz ANDA product promptly upon receiving FDA approval to do so.

18. By filing ANDA No. 209981, Sandoz has necessarily represented to the FDA that the Sandoz ANDA product has the same active ingredient as Eliquis<sup>®</sup>, has the same dosage form and strength as Eliquis<sup>®</sup>, and is bioequivalent to Eliquis<sup>®</sup>.

19. Upon information and belief, Sandoz is seeking approval to market the Sandoz ANDA product for the same approved indications as Eliquis<sup>®</sup>.

20. In the Eliquis Notice Letter, Sandoz states that its ANDA contains a certification pursuant to 21 U.S.C. § 355(j)(2)(A)(vii)(IV) asserting that the patents-in-suit are invalid, unenforceable, and/or will not be infringed by the commercial manufacture, use, and sale of the Sandoz ANDA product.

21. In the Eliquis Notice Letter, Sandoz offered confidential access to portions of its ANDA No. 209981 on terms and conditions set forth in the Eliquis Notice Letter (“the Sandoz Offer”). Sandoz requested that Plaintiffs accept the Sandoz Offer before receiving access to Sandoz’s ANDA No. 209981. The Sandoz Offer contained unreasonable restrictions well beyond those that would apply under a protective order on who could view the ANDA. For example, the Sandoz Offer contained a broad patent prosecution bar, which, among other things, does not have a carve-out for inter-partes reviews or other adversarial proceedings, and a broad bar on any work related to actions before the FDA. The Sandoz Offer unreasonably restricted the ability of counsel to seek the opinions of outside experts without written permission from Sandoz’s designated counsel, and Sandoz had broad authority to reject any request by Plaintiffs to seek outside expert access to the Sandoz ANDA. The restrictions Sandoz has placed on access to ANDA No. 209981 contravene 21 U.S.C. § 355(j)(5)(C)(i)(III), which states that an offer of confidential access “shall contain such restrictions as to persons entitled to access, and on the use and disposition of any information accessed, *as would apply had a protective order been entered*

*for the purpose of protecting trade secrets and other confidential business information”*  
(emphasis added).

22. This Complaint is being filed before the expiration of forty-five days from the date Plaintiffs received the Eliquis Notice Letter.

## **COUNT I**

### **(INFRINGEMENT OF THE '208 PATENT)**

23. Each of the preceding paragraphs 1 to 22 is incorporated as if fully set forth herein.

24. Sandoz's submission of ANDA No. 209981 to obtain approval to engage in the commercial manufacture, use, offer to sell, or sale of the Sandoz ANDA product prior to the expiration of the '208 patent constituted a technical act of infringement of at least one of the claims of the '208 patent, either literally or under the doctrine of equivalents, including but not limited to claims 8, 13, 26-27, and 55-61, under 35 U.S.C. § 271(e)(2)(A).

25. Sandoz's commercial manufacture, use, offer to sell, sale, or importation of the Sandoz ANDA product prior to the expiration of the '208 patent, and its inducement of and/or contribution to such conduct, would further infringe, either literally or under the doctrine of equivalents, at least one of the claims of the '208 patent, including but not limited to claims 8, 13, and 26-27, under 35 U.S.C. §§ 271(a), (b) and/or (c).

26. Sandoz's commercial manufacture, use, offer to sell, sale, or importation of the Sandoz ANDA product for the same treatment claimed in the '208 patent prior to the expiration of the '208 patent, and its inducement of and/or contribution to such conduct, would further infringe, either literally or under the doctrine of equivalents, at least one of the claims of the '208 patent, including but not limited to claims 55-61, under 35 U.S.C. §§ 271(a), (b) and/or (c).

27. Upon FDA approval of Sandoz's ANDA No. 209981, Sandoz will infringe, either literally or under the doctrine of equivalents, at least one of the claims of the '208 patent, including

but not limited to claims 8, 13, 26-27, and 55-61, by making, using, offering to sell, and selling the Sandoz ANDA product in the United States and/or importing said product into the United States, or by actively inducing and contributing to infringement of the '208 patent by others, under 35 U.S.C. § 271(a)-(c), unless enjoined by the Court.

28. If Sandoz's marketing and sale of the Sandoz ANDA product prior to expiration of the '208 patent and all other relevant exclusivities are not enjoined, BMS will suffer substantial and irreparable harm for which there is no remedy at law.

## **COUNT II**

### **(INFRINGEMENT OF THE '945 PATENT)**

29. Each of the preceding paragraphs 1 to 28 is incorporated as if fully set forth herein.

30. Sandoz's submission of ANDA No. 209981 to obtain approval to engage in the commercial manufacture, use, offer to sell, or sale of the Sandoz ANDA product prior to the expiration of the '945 patent constituted a technical act of infringement of at least one of the claims of the '945 patent, either literally or under the doctrine of equivalents, including but not limited to claims 1, 9-12, 20-23, 25, 27, 29, 31, 33, 35, and 37, under 35 U.S.C. § 271(e)(2)(A).

31. Sandoz's commercial manufacture, use, offer to sell, sale, or importation of the Sandoz ANDA product prior to the expiration of the '945 patent, and its inducement of and/or contribution to such conduct, would further infringe at least one of the claims of the '945 patent, either literally or under the doctrine of equivalents, including but not limited to claims 1, 9-12, 20-23, 25, 27, 29, 31, 33, 35, and 37, under 35 U.S.C. §§ 271(a), (b) and/or (c).

32. Upon FDA approval of Sandoz's ANDA No. 209981, Sandoz will infringe one or more claims of the '945 patent, either literally or under the doctrine of equivalents, including but not limited to claims 1, 9-12, 20-23, 25, 27, 29, 31, 33, 35, and 37, by making, using, offering to sell, and selling the Sandoz ANDA product in the United States and/or importing said product into

the United States, or by actively inducing and contributing to infringement of the '945 patent by others, under 35 U.S.C. § 271(a)-(c), unless enjoined by the Court.

33. If Sandoz's marketing and sale of the Sandoz ANDA product prior to expiration of the '945 patent and all other relevant exclusivities are not enjoined, Plaintiffs will suffer substantial and irreparable harm for which there is no remedy at law.

### **PRAYER FOR RELIEF**

WHEREFORE, Plaintiffs pray that this Court grant the following relief:

1. A judgment that the claims of the patents-in-suit are not invalid, are not unenforceable, and are infringed by Sandoz's submission of ANDA No. 209981, either literally or under the doctrine of equivalents, and that Sandoz's making, using, offering to sell, or selling in the United States, or importing into the United States the Sandoz ANDA product will infringe the claims of the patents-in-suit, either literally or under the doctrine of equivalents.

2. An order pursuant to 35 U.S.C. § 271(e)(4)(A) providing that the effective date of any approval of ANDA No. 209981 shall be a date which is not earlier than the latest expiration date of the patents-in-suit, including any extensions and/or additional periods of exclusivity to which Plaintiffs are or become entitled.

3. An order permanently enjoining Sandoz, its affiliates, subsidiaries, and each of its officers, agents, servants and employees and those acting in privity or concert with them, from making, using, offering to sell, or selling in the United States, or importing into the United States the Sandoz ANDA product until after the latest expiration date of the patents-in-suit, including any extensions and/or additional periods of exclusivity to which Plaintiffs are or become entitled.

4. Damages or other monetary relief, including costs, fees, pre- and post-judgment interest, to Plaintiffs if Sandoz engages in commercial manufacture, use, offers to



sell, sale, or importation in or into the United States of the Sandoz ANDA product prior to the latest expiration date of the patents-in-suit, including any extensions and/or additional periods of exclusivity to which Plaintiffs are or become entitled.

5. Such further and other relief as this Court deems proper and just, including any appropriate relief under 35 U.S.C. § 285.

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Respectfully submitted,

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