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 14 *REALTIME ADAPTIVE STREAMING LLC*

15 **UNITED STATES DISTRICT COURT**
 16 **CENTRAL DISTRICT OF CALIFORNIA**
 17 **SOUTHERN DIVISION**

18 REALTIME ADAPTIVE STREAMING
 19 LLC,
 20 Plaintiff,
 21 vs.
 22 COX COMMUNICATIONS, INC.,
 23 Defendant.

Case No. _____

JURY TRIAL DEMANDED

24
 25 **COMPLAINT FOR PATENT INFRINGEMENT**

26 This is an action for patent infringement arising under the Patent Laws of the
 27 United States of America, 35 U.S.C. § 1 *et seq.* in which Plaintiff Realtime Adaptive
 28

1 Streaming LLC (“Plaintiff” or “Realtime”) makes the following allegations against
2 Defendant Cox Communications, Inc. (“Defendant” or “Cox”).

3
4 **PARTIES**

5 1. Realtime is a Texas limited liability company. Realtime has a place of
6 business at 1828 E.S.E. Loop 323, Tyler, Texas 75701. Realtime has researched and
7 developed specific solutions for data compression. As recognition of its innovations
8 rooted in this technological field, Realtime holds multiple United States patents and
9 pending patent applications.
10

11
12 2. On information and belief, Defendant Cox is a Delaware corporation
13 with its principal place of business in Atlanta, Georgia. Cox has regular and
14 established places of business in this District, including, e.g., at 6771 Quail Hill
15 Pkwy., Irvine, CA 92603; 6234 Irvine Blvd., Irvine, CA 92620; 23704 El Toro Rd.,
16 Lake Forest, CA 92630; and many others. Cox offers its products and/or services,
17 including those accused herein of infringement, to customers and potential customers
18 located in California and in this District. Cox may be served with process through its
19 registered agent for service at Corporation Service Company, 251 Little Falls Drive,
20
21 Wilmington, DE 19808.
22
23

24 **JURISDICTION AND VENUE**

25 3. This action arises under the patent laws of the United States, Title 35 of
26 the United States Code. This Court has original subject matter jurisdiction pursuant to
27
28 U.S.C. §§ 1331 and 1338(a).

1 including past infringement.

2 8. The '535 patent, titled "Systems and methods for video and audio data
3 storage and distribution," was duly and properly issued by the USPTO on January 13,
4 2015. A copy of the '535 patent is attached hereto as Exhibit B. Realtime is the
5 owner and assignee of the '535 patent and holds the right to sue for and recover all
6 damages for infringement thereof, including past infringement.
7

8 9. The '477 patent, titled "Video data compression systems," was duly and
9 properly issued by the USPTO on September 19, 2017. A copy of the '477 patent is
10 attached hereto as Exhibit C. Realtime is the owner and assignee of the '477 patent
11 and holds the right to sue for and recover all damages for infringement thereof,
12 including past infringement.
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15 **COUNT I**

16 **INFRINGEMENT OF U.S. PATENT NO. 7,386,046**

17 10. Plaintiff re-alleges and incorporates by reference the foregoing
18 paragraphs, as if fully set forth herein.
19

20 11. On information and belief, Cox has made, used, offered for sale, sold
21 and/or imported into the United States Cox products that infringe the '046 patent, and
22 continues to do so. By way of illustrative example, these infringing products include,
23 without limitation, Cox's video broadcasting services/products e.g., Cox Contour TV,
24 Cox Counter Flex, Cox Business TV packages/solutions, and all versions and
25 variations thereof since the issuance of the '046 patent ("Accused Instrumentalities").
26
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1 12. On information and belief, Cox has directly infringed and continues to
2 infringe the '046 patent, for example, through its sale, offer for sale, importation, use
3 and testing of the Accused Instrumentalities, which practices the system claimed by
4 Claim 40 of the '046 patent, namely, a system, comprising: a data compression
5 system for compressing and decompressing data input; a plurality of compression
6 routines selectively utilized by the data compression system, wherein a first one of
7 the plurality of compression routines includes a first compression algorithm and a
8 second one of the plurality of compression routines includes a second compression
9 algorithm; and a controller for tracking throughput and generating a control signal to
10 select a compression routine based on the throughput, wherein said tracking
11 throughput comprises tracking a number of pending access requests to a storage
12 device; and wherein when the controller determines that the throughput falls below a
13 predetermined throughput threshold, the controller commands the data compression
14 engine to use one of the plurality of compression routines to provide a faster rate of
15 compression so as to increase the throughput. Upon information and belief, Cox uses
16 the Accused Instrumentalities to practice infringing methods for its own internal non-
17 testing business purposes, while testing the Accused Instrumentalities, and while
18 providing technical support and repair services for the Accused Instrumentalities to
19 Cox's customers.

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26 13. The Accused Instrumentalities use H.264 video compression standard to
27 deliver HD video broadcasting products/services to its customers. For example, Cox
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1 provides a list of high-definition receivers that implement H.264 decoders supporting
 2 various H.264 encoding profiles with maximum level 4.0 (“MPEG-4 (H.264) up to
 3 HP@L4.0”). Profiles define encoding/decoding methods available in the H.264
 4 standard. While profiles define algorithmic complexities of the encoder/decoder and
 5 their processing power needs, levels specify the maximum picture resolution, frame
 6 rate, and bit rate that H.264 compatible encoders or decoders may use.
 7

Specification	Value
Tuning and Decoding	
Tuning	QAM 64 or 256, In-Band 54 MHz–1 GHz, A/V in display, QPSK out-of-band (OOB) 70–130 MHz, DOCSIS 91–867 MHz, MoCA 1.0–1.5 GHz
Video Decoders	Dedicated 400 MHz VLIW CPU Processor, MPEG-4 (H.264) up to HP@L4.0 (HD), VC1 AP@L2&3, MPEG-2 up to MP@HL, 1920 x 1080i 60 Hz, 1920 x 1080p 30 Hz, 1920 x 1080p 24 Hz, 1280 x 720p 60 Hz, 720 x 480p 60 Hz, 720 x 480i 60 Hz, video scaling, software controlled

13 *See e.g.*, Cisco Explorer 4742HDC High-Definition Set-Top with Multi-Stream
 14 CableCARD Interface at 3.
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1 **COX** Shop Support Learn Search Cox.com Entire Site Sign In My Account

2 Support Home Billing & Account Support Internet Support TV Support Phone Support Homelife Support

4 Search Support

6 Cisco Explorer 4742HDC High Definition Receiver

7 Share or Print This Article



9 Details

10

11 Receiver Capabilities Guide Version

12 HD Capable

15 See <https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-definition-receiver.html>.

17 COX HD TV RECEIVERS

18 HD Receivers allow you to receive all your channels plus the HD versions of the channels with all our TV packages, including your local programming. Watch your favorite channel line-up in High-Definition TV and enjoy stunning picture quality for sports, nature programs and more!

19 Compatible with most Cox TV Plans, HD receivers allow you to view your channels in High Definition. Does not include the New Contour Experience with Voice Remote.



23 Contour HD Receiver

24 **\$8.50/mo.**

Select equipment during checkout

Get free HD channels with all of our TV packages, including your local programming.

- Watch your favorite shows in crisp HD
- Enjoy stunning picture quality while watching sports, nature programs and more

23 mini box

24 **\$2.99/mo. each[†]**

Select equipment during checkout

Go All Digital to continue enjoying your favorite channels. It's a mini change with massive benefits.

- Easy self-installation
- On-screen guide
- Parental controls
- HD programming for HDTV[†]

28 See <https://www.cox.com/residential/tv/tv-equipment.html>.

1 14. Moreover, H.264 video compression standard utilizes Scalable Video Coding
 2 technology. See, e.g., Recommendations ITU-T H.264 (03/2010) Annex G (Scalable
 3 video coding), p. 387-599.
 4

5 Annex G

6 Scalable video coding

(This annex forms an integral part of this Recommendation | International Standard)

This annex specifies scalable video coding, referred to as SVC.

7 G.1 Scope

Bitstreams and decoders conforming to one or more of the profiles specified in this annex are completely specified in this annex with reference made to clauses 2-9 and Annexes A-E.

8 G.2 Normative references

The specifications in clause 2 apply with the following additions.

- ISO/IEC 10646:2003, *Information technology – Universal Multiple-Octet Coded Character Set (UCS)*.
- IETF RFC 3986 (2005), *Uniform Resource Identifiers (URI): Generic Syntax*.

9 G.3 Definitions

For the purpose of this annex, the following definitions apply in addition to the definitions in clause 3. These definitions are either not present in clause 3 or replace definitions in clause 3.

- G.3.1 **arbitrary slice order (ASO)**: A *decoding order of slices* in which the *macroblock address* of the first *macroblock* of some *slice* of a *slice group* within a *layer representation* may be less than the *macroblock address* of the first *macroblock* of some other preceding *slice* of the same *slice group* within the same *layer representation* or in which the *slices* of a *slice group* within a *layer representation* may be interleaved with the *slices* of one or more other *slices groups* within the same *layer representation*.
- G.3.2 **associated NAL unit**: A *NAL unit* that directly succeeds a *prefix NAL unit* in *decoding order*.
- G.3.3 **B slice**: A *slice* that may be decoded using *intra-layer intra prediction* or *inter prediction* using at most two *motion vectors* and *reference indices* to *predict* the sample values of each *block*.
- G.3.4 **base layer**: A *bitstream subset* that contains all *NAL units* with the *nal_unit_type syntax element* equal to 1 and 5 of the *bitstream* and does not contain any *NAL unit* with the *nal_unit_type syntax element* equal to 14, 15, or 20 and conforms to one or more of the profiles specified in Annex A.
- G.3.5 **base quality layer representation**: The *layer representation* of the *target dependency representation* of an *access unit* that is associated with the *quality_id syntax element* equal to 0.
- G.3.6 **bitstream subset**: A *bitstream* that is derived as a *subset* from a *bitstream* by discarding zero or more *NAL units*. A *bitstream subset* is also referred to as *sub-bitstream*.
- G.3.7 **bottom macroblock (of a macroblock pair)**: The *macroblock* within a *macroblock pair* that contains the samples in the bottom row of samples for the *macroblock pair*. For a *field macroblock pair*, the bottom macroblock represents the samples from the region of the *bottom field* or *layer bottom field* of the *frame* or *layer frame*, respectively, that lie within the spatial region of the *macroblock pair*. For a *frame macroblock pair*, the bottom macroblock represents the samples of the *frame* or *layer frame* that lie within the bottom half of the spatial region of the *macroblock pair*.
- G.3.8 **coded slice in scalable extension NAL unit**: A *coded slice NAL unit* that contains an *EI slice*, *EP slice*, or an *EB slice*.
- G.3.9 **complementary reference field pair**: A collective term for two *reference fields* that are in consecutive *access units* in *decoding order* as two *coded fields*, where the *target dependency representations* of the *fields* share the same value of the *frame_num syntax element* and where the second *field* in *decoding order* is not an *IDR picture* and the *target dependency representation* of the second *field* does not include a *memory_management_control_operation syntax element* equal to 5, or a *complementary reference base field pair*.

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21 https://en.wikipedia.org/wiki/Scalable_Video_Coding
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Scalable Video Coding (SVC) is the name for the Annex G extension of the H.264/MPEG-4 AVC video compression standard. SVC standardizes the encoding of a high-quality video bitstream that also contains one or more subset bitstreams. A subset video bitstream is derived by dropping packets from the larger video to reduce the bandwidth required for the subset bitstream. The subset bitstream can represent a lower spatial resolution (smaller screen), lower temporal resolution (lower frame rate), or lower quality video signal. H.264/MPEG-4 AVC was developed jointly by ITU-T and ISO/IEC JTC 1. These two groups created the Joint Video Team (JVT) to develop the H.264/MPEG-4 AVC standard.

Contents [\[hide\]](#)

- 1 [Overview](#)
- 2 [Background and applications](#)
- 3 [History and timeline](#)
- 4 [Profiles and levels](#)
- 5 [See also](#)
- 6 [External links](#)
 - 6.1 [Introduction and overview](#)
 - 6.2 [Standardization committee](#)
 - 6.3 [Miscellaneous](#)

Overview [\[edit\]](#)

The objective of the SVC standardization has been to enable the encoding of a high-quality video bitstream that contains one or more subset bitstreams that can themselves be decoded with a complexity and reconstruction quality similar to that achieved using the existing H.264/MPEG-4 AVC design with the same quantity of data as in the subset bitstream. The subset bitstream is derived by dropping packets from the larger bitstream.

A subset bitstream can represent a lower spatial resolution (smaller screen), or a lower temporal resolution (lower frame rate), or a lower quality video signal (each separately or in combination) compared to the bitstream it is derived from. The following modalities are possible:

- **Temporal (frame rate) scalability:** the motion compensation dependencies are structured so that complete pictures (i.e. their associated packets) can be dropped from the bitstream. (Temporal scalability is already enabled by H.264/MPEG-4 AVC. SVC has only provided supplemental enhancement information to improve its usage.)
- **Spatial (picture size) scalability:** video is coded at multiple spatial resolutions. The data and decoded samples of lower resolutions can be used to predict data or samples of higher resolutions in order to reduce the bit rate to code the higher resolutions.
- **SNR/Quality/Fidelity scalability:** video is coded at a single spatial resolution but at different qualities. The data and decoded samples of lower qualities can be used to predict data or samples of higher qualities in order to reduce the bit rate to code the higher qualities.
- **Combined scalability:** a combination of the 3 scalability modalities described above.

SVC enables **forward compatibility** for older hardware: the same bitstream can be consumed by basic hardware which can only decode a low-resolution subset (i.e. 720p or 1080i), while more advanced hardware will be able to decode high quality video stream (1080p).

Background and applications [\[edit\]](#)

Bit-stream scalability for video is a desirable feature for many multimedia applications. The need for scalability arises from graceful degradation transmission requirements, or adaptation needs for spatial formats, bit rates or power. To fulfill these requirements, it is beneficial that video is simultaneously transmitted or stored with a variety of spatial or temporal resolutions or qualities which is the purpose of video bit-stream scalability.

Traditional digital video transmission and storage systems are based on H.222.0/MPEG-2 TS systems for broadcasting services over satellite, cable, and terrestrial transmission channels, and for DVD storage, or on H.320 for conversational video conferencing services. These channels are typically characterized by a fixed spatio-temporal format of the video signal (SDTV or HDTV or CIF for H.320 video telephone). The application behavior in such systems typically falls into one of the two categories: it works or it doesn't work.^[1]

Modern video transmission and storage systems using the Internet and mobile networks are typically based on RTP/IP for real-time services (conversational and streaming) and on computer file formats like mp4 or 3gp. Most RTP/IP access networks are typically characterized by a wide range of connection qualities and receiving devices. The varying connection quality results from adaptive resource sharing mechanisms of these networks addressing the time varying data throughput requirements of a varying number of users. The variety of devices with different capabilities ranging from cell phones with small screens and restricted processing power to high-end PCs with high-definition displays results from the continuous evolution of these endpoints.

Scalable video coding (SVC) is one solution to the problems posed by the characteristics of modern video transmission systems. The following video applications can benefit from SVC:

- Streaming
- Conferencing
- Surveillance
- Broadcast
- Storage

Profiles and levels [\[edit \]](#)

As a result of the Scalable Video Coding extension, the standard contains five additional *scalable profiles*: Scalable Baseline, Scalable High, Scalable High Intra, Scalable Constrained Baseline and Scalable Constrained High Profile. These profiles are defined as a combination of the H.264/MPEG-4 AVC profile for the base layer (2nd word in scalable profile name) and tools that achieve the scalable extension:

- **Scalable Baseline Profile:** Mainly targeted for conversational, mobile, and surveillance applications.
 - A bitstream conforming to Scalable Baseline profile contains a base layer bitstream that conforms to a restricted version of Baseline profile of H.264/MPEG-4 AVC.
 - Supports B slices, weighted prediction, CABAC entropy coding, and 8x8 luma transform in enhancement layers (CABAC and the 8x8 transform are only supported for certain levels), although the base layer has to conform to the restricted Baseline profile, which does not support these tools. Coding tools for interlaced sources are not included.
 - Spatial scalable coding is restricted to resolution ratios of 1.5 and 2 between successive spatial layers in both horizontal and vertical direction and to macroblock-aligned cropping.
 - Quality and temporal scalable coding are supported without any restriction.
- **Scalable High Profile:** Primarily designed for broadcast, streaming, storage and [videoconferencing](#) applications.
 - A bitstream conforming to Scalable High profile contains a base layer bitstream that conforms to High profile of H.264/MPEG-4 AVC.
 - Supports all tools specified in the Scalable Video Coding extension.
 - Spatial scalable coding without any restriction, i.e., arbitrary resolution ratios and cropping parameters is supported.
 - Quality and temporal scalable coding are supported without any restriction.
- **Scalable High Intra Profile:** Mainly designed for professional applications.
 - Uses Instantaneous Decoder Refresh (IDR) pictures only. IDR pictures can be decoded without reference to previous frames.
 - A bitstream conforming to Scalable High Intra profile contains a base layer bitstream that conforms to High profile of H.264/MPEG-4 AVC with only IDR pictures allowed.
 - All scalability tools are allowed as in Scalable High profile but only IDR pictures are permitted in any layer.
- **Scalable Constrained Baseline Profile**
- **Scalable Constrained High Profile**

15. The Accused Instrumentalities include a data compression system for compressing and decompressing data input. For example, Cox’s HD video broadcasting products/services utilizes H.264 compression standard. For example, Cox provides a list of high-definition receivers that implement H.264 decoders supporting various H.264 encoding profiles with maximum level 4.0 (“MPEG-4 (H.264) up to HP@L4.0”).

Specification	Value
Tuning and Decoding	
Tuning	QAM 64 or 256, In-Band 54 MHz–1 GHz, A/V in display, QPSK out-of-band (OOB) 70–130 MHz, DOCSIS 91–867 MHz, MoCA 1.0–1.5 GHz
Video Decoders	Dedicated 400 MHz VLIW CPU Processor, MPEG-4 (H.264) up to HP@L4.0 (HD), VC1 AP@L2&3, MPEG-2 up to MP@HL, 1920 x 1080i 60 Hz, 1920 x 1080p 30 Hz, 1920 x 1080p 24 Hz, 1280 x 720p 60 Hz, 720 x 480p 60 Hz, 720 x 480i 60 Hz, video scaling, software controlled

See e.g., Cisco Explorer 4742HDC High-Definition Set-Top with Multi-Stream CableCARD Interface at 3.

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Cisco Explorer 4742HDC High Definition Receiver

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Details



Receiver Capabilities Guide Version

 HD Capable

See <https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-definition-receiver.html>.

COX HD TV RECEIVERS

HD Receivers allow you to receive all your channels plus the HD versions of the channels with all our TV packages, including your local programming. Watch your favorite channel line-up in High-Definition TV and enjoy stunning picture quality for sports, nature programs and more!

Compatible with most Cox TV Plans, HD receivers allow you to view your channels in High Definition. Does not include the New Contour Experience with Voice Remote.



Contour HD Receiver

\$8.50/mo.

Select equipment during checkout

Get free HD channels with all of our TV packages, including your local programming.

- Watch your favorite shows in crisp HD
- Enjoy stunning picture quality while watching sports, nature programs and more

mini box

\$2.99/mo. each[†]

Select equipment during checkout

Go All Digital to continue enjoying your favorite channels. It's a mini change with massive benefits.

- Easy self-installation
- On-screen guide
- Parental controls
- HD programming for HDTV[†]

See <https://www.cox.com/residential/tv/tv-equipment.html>.

1 16. The Accused Instrumentalities include a plurality of compression
2 routines selectively utilized by the data compression system, wherein a first one of the
3 plurality of compression routines includes a first compression algorithm and a second
4 one of the plurality of compression routines includes a second compression algorithm.
5 For example, the Accused Instrumentalities utilize H.264, which include, e.g.,
6 Context-Adaptive Variable Length Coding (“CAVLC”) entropy encoder and Context-
7 Adaptive Binary Arithmetic Coding (“CABAC”) entropy encoder. H.264 provides
8 for multiple different ranges of parameters (e.g., bitrate, resolution parameters, etc.),
9 each included in the “profiles” and “levels” defined by the H.264 standard. See
10 http://www.axis.com/files/whitepaper/wp_h264_31669_en_0803_lo.pdf at 5:
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13 **4. H.264 profiles and levels**

14 The joint group involved in defining H.264 focused on creating a simple and clean solution, limiting
15 options and features to a minimum. An important aspect of the standard, as with other video standards,
16 is providing the capabilities in profiles (sets of algorithmic features) and levels (performance classes)
17 that optimally support popular productions and common formats.

18 H.264 has seven profiles, each targeting a specific class of applications. Each profile defines what
19 feature set the encoder may use and limits the decoder implementation complexity.

20 Network cameras and video encoders will most likely use a profile called the baseline profile, which is
21 intended primarily for applications with limited computing resources. The baseline profile is the most
22 suitable given the available performance in a real-time encoder that is embedded in a network video
23 product. The profile also enables low latency, which is an important requirement of surveillance video and
24 also particularly important in enabling real-time, pan/tilt/zoom (PTZ) control in PTZ network cameras.

25 H.264 has 11 levels or degree of capability to limit performance, bandwidth and memory requirements.
26 Each level defines the bit rate and the encoding rate in macroblock per second for resolutions ranging
27 from QCIF to HDTV and beyond. The higher the resolution, the higher the level required.
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See https://en.wikipedia.org/wiki/H.264/MPEG-4_AVC:

Levels with maximum property values

Level	Max decoding speed		Max frame size		Max video bit rate for video coding layer (VCL) kbit/s			Examples for high resolution @ highest frame rate (max stored frames) Toggle additional details
	Luma samples/s	Macroblocks/s	Luma samples	Macroblocks	Baseline, Extended and Main Profiles	High Profile	High 10 Profile	
1	380,160	1,485	25,344	99	64	80	192	176x144@15.0 (4)
1b	380,160	1,485	25,344	99	128	160	384	176x144@15.0 (4)
1.1	768,000	3,000	101,376	396	192	240	576	352x288@7.5 (2)
1.2	1,536,000	6,000	101,376	396	384	480	1,152	352x288@15.2 (6)
1.3	3,041,280	11,880	101,376	396	768	960	2,304	352x288@30.0 (6)
2	3,041,280	11,880	101,376	396	2,000	2,500	6,000	352x288@30.0 (6)
2.1	5,068,800	19,800	202,752	792	4,000	5,000	12,000	352x576@25.0 (6)
2.2	5,184,000	20,250	414,720	1,620	4,000	5,000	12,000	720x576@12.5 (5)
3	10,368,000	40,500	414,720	1,620	10,000	12,500	30,000	720x576@25.0 (5)
3.1	27,648,000	108,000	921,600	3,600	14,000	17,500	42,000	1,280x720@30.0 (5)
3.2	55,296,000	216,000	1,310,720	5,120	20,000	25,000	60,000	1,280x1,024@42.2 (4)
4	62,914,560	245,760	2,097,152	8,192	20,000	25,000	60,000	2,048x1,024@30.0 (4)
4.1	62,914,560	245,760	2,097,152	8,192	50,000	62,500	150,000	2,048x1,024@30.0 (4)
4.2	133,693,440	522,240	2,228,224	8,704	50,000	62,500	150,000	2,048x1,080@60.0 (4)
5	150,994,944	589,824	5,652,480	22,080	135,000	168,750	405,000	3,672x1,536@26.7 (5)
5.1	251,658,240	983,040	9,437,184	36,864	240,000	300,000	720,000	4,096x2,304@26.7 (5)
5.2	530,841,600	2,073,600	9,437,184	36,864	240,000	300,000	720,000	4,096x2,304@56.3 (5)

17. A video data block is organized by the group of pictures (GOP) structure, which is a “collection of successive pictures within a coded video stream.” See https://en.wikipedia.org/wiki/Group_of_pictures. A GOP structure can contain intra coded pictures (I picture or I frame), predictive coded pictures (P picture or P frame), bipredictive coded pictures (B picture or B frame) and direct coded pictures (D picture or D frames, or DC direct coded pictures which are used only in MPEG-1 video). See https://en.wikipedia.org/wiki/Video_compression_picture_types (for descriptions of I frames, P frames and B frames); <https://en.wikipedia.org/wiki/MPEG-1#D-frames> (for descriptions of D frames). Thus, at least a portion of a video data block would also make up a GOP structure and could also contain I frames, P frames, B frames and/or D frames. The GOP structure also reflects the size of a video data block, and the GOP structure can be controlled and used to fine-tune other parameters (e.g. bitrate, max

1 video bitrate and resolution parameters) or even be considered as a parameter by itself.

2 18. Based on the bitrate and/or resolution parameter identified (e.g. bitrate,
3 max video bitrate, resolution, GOP structure or frame type within a GOP structure), a
4 H.264-compliant system such as the Accused Instrumentalities would determine
5 which profile (e.g., “baseline,” “extended,” “main”, or “high”) corresponds with that
6 parameter, then select between at least two asymmetric compressors. If baseline or
7 extended is the corresponding profile, then the system will select a Context-Adaptive
8 Variable Length Coding (“CAVLC”) entropy encoder. If main or high is the
9 corresponding profile, then the system will select a Context-Adaptive Binary
10 Arithmetic Coding (“CABAC”) entropy encoder. *See*

11 <https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/>
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	Baseline	Extended	Main	High	High 10
I and P Slices	Yes	Yes	Yes	Yes	Yes
B Slices	No	Yes	Yes	Yes	Yes
SI and SP Slices	No	Yes	No	No	No
Multiple Reference Frames	Yes	Yes	Yes	Yes	Yes
In-Loop Deblocking Filter	Yes	Yes	Yes	Yes	Yes
CAVLC Entropy Coding	Yes	Yes	Yes	Yes	Yes
CABAC Entropy Coding	No	No	Yes	Yes	Yes
Flexible Macroblock Ordering (FMO)	Yes	Yes	No	No	No
Arbitrary Slice Ordering (ASO)	Yes	Yes	No	No	No
Redundant Slices (RS)	Yes	Yes	No	No	No
Data Partitioning	No	Yes	No	No	No
Interlaced Coding (PicAFF, MBAFF)	No	Yes	Yes	Yes	Yes
4:2:0 Chroma Format	Yes	Yes	Yes	Yes	Yes
Monochrome Video Format (4:0:0)	No	No	No	Yes	Yes
4:2:2 Chroma Format	No	No	No	No	No
4:4:4 Chroma Format	No	No	No	No	No
8 Bit Sample Depth	Yes	Yes	Yes	Yes	Yes
9 and 10 Bit Sample Depth	No	No	No	No	Yes
11 to 14 Bit Sample Depth	No	No	No	No	No
8x8 vs. 4x4 Transform Adaptivity	No	No	No	Yes	Yes
Quantization Scaling Matrices	No	No	No	Yes	Yes
Separate Cb and Cr QP control	No	No	No	Yes	Yes
Separate Color Plane Coding	No	No	No	No	No
Predictive Lossless Coding	No	No	No	No	No

See http://web.cs.ucla.edu/classes/fall03/cs218/paper/H.264_MPEG4_Tutorial.pdf at

7:

The following table summarizes the two major types of entropy coding: Variable Length Coding (VLC) and Context Adaptive Binary Arithmetic Coding (CABAC). CABAC offers superior coding efficiency over VLC by adapting to the changing probability distribution of symbols, by exploiting correlation between symbols, and by adaptively exploiting bit correlations using arithmetic coding. H.264 also supports Context Adaptive Variable Length Coding (CAVLC) which offers superior entropy coding over VLC without the full cost of CABAC.

H.264 Entropy Coding – Comparison of Approaches

Characteristics	Variable Length Coding (VLC)	Context Adaptive Binary Arithmetic Coding(CABAC)
• Where it is used	MPEG-2, MPEG-4 ASP	H.264/MPEG-4 AVC (high efficiency option)
• Probability distribution	Static - Probabilities never change	Adaptive - Adjusts probabilities based on actual data
• Leverages correlation between symbols	No - Conditional probabilities ignored	Yes - Exploits symbol correlations by using "contexts"
• Non-integer code words	No - Low coding efficiency for high probability symbols	Yes - Exploits "arithmetic coding" which generates non-integer code words for higher efficiency

Moreover, the H.264 Standard requires a bit-flag descriptor, which is set to determine the correct decoder for the corresponding encoder. As shown below, if the flag = 0, then CAVLC must have been selected as the encoder; if the flag = 1, then CABAC must have been selected as the encoder. *See*

https://www.itu.int/rec/dologin_pub.asp?lang=e&id=T-REC-H.264-201304-S!!PDF-E&type=items (Rec. ITU-T H.264 (04/2013)) at 80:

entropy_coding_mode_flag selects the entropy decoding method to be applied for the syntax elements for which two descriptors appear in the syntax tables as follows:

- If **entropy_coding_mode_flag** is equal to 0, the method specified by the left descriptor in the syntax table is applied (Exp-Golomb coded, see clause 9.1 or CAVLC, see clause 9.2).
- Otherwise (**entropy_coding_mode_flag** is equal to 1), the method specified by the right descriptor in the syntax table is applied (CABAC, see clause 9.3).

19. After its selection, the asymmetric compressor (CAVLC or CABAC) will compress the video data to provide various compressed data blocks, which can be

1 organized in a GOP structure (see above). See

2 <https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/>:

3 **Entropy Coding**

4 For entropy coding, H.264 may use an enhanced VLC, a more complex context-adaptive
5 variable-length coding (CAVLC) or an ever more complex Context-adaptive binary-arithmetic
6 coding (CABAC) which are complex techniques to losslessly compress syntax elements in the
7 video stream knowing the probabilities of syntax elements in a given context. The use of
8 CABAC can improve the compression of around 5-7%. CABAC may requires a 30-40% of total
9 processing power to be accomplished.

10 20. See

11 <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.602.1581&rep=rep1&type=pdf> at 13:

12 Typical compression ratios to maintain excellent quality are:

- 13 • 10:1 for general images using JPEG
- 14 • 30:1 for general video using H.263 and MPEG-2
- 15 • 60:1 for general video using H.264 and WMV9

16 See http://www.ijera.com/papers/Vol3_issue4/BM34399403.pdf at 2:

1 Most visual communication systems today
2 use Baseline Profile. Baseline is the simplest H.264
3 profile and defines, for example, zigzag scanning of
4 the picture and using 4:2:0 (YUV video formats)
5 chrominance sampling. In Baseline Profile, the
6 picture is split in blocks consisting of 4x4 pixels,
7 and each block is processed separately. Another
8 important element of the Baseline Profile is the use
9 of Universal Variable Length Coding (UVLC) and
10 Context Adaptive Variable Length Coding
11 (CAVLC) entropy coding techniques.

12 The Extended and Main Profiles includes
13 the functionality of the Baseline Profile and add
14 improvements to the predictions algorithms. Since
15 transmitting every single frame (think 30 frames per
16 second for good quality video) is not feasible if you
17 are trying to reduce the bit rate 1000-2000 times,
18 temporal and motion prediction are heavily used in
19 H.264, and allow transmitting only the difference
20 between one frame and the previous frames. The
21 result is spectacular efficiency gain, especially for
22 scenes with little change and motion.

23 The High Profile is the most powerful
24 profile in H.264, and it allows most efficient coding
25 of video. For example, large coding gain achieved
26 through the use of Context Adaptive Binary
27 Arithmetic Coding (CABAC) encoding which is
28 more efficient than the UVLC/CAVLC used in
Baseline Profile.

The High Profile also uses adaptive
transform that decides on the fly if 4x4 or 8x8-pixel
blocks should be used. For example, 4x4 blocks are
used for the parts of the picture that are dense with
detail, while parts that have little detail are
transformed using 8x8 blocks.

21. The Accused Instrumentalities includes a controller for tracking
throughput and generating a control signal to select a compression routine based on
the throughput, wherein said tracking throughput comprises tracking a number of
pending access requests to a storage device, and a controller where, when the
controller determines that the throughput falls below a predetermined throughput
threshold, the controller commands the data compression engine to use one of the

1 plurality of compression routines to provide a faster rate of compression so as to
2 increase the throughput. For example, the Accused Instrumentalities supports the
3 H.264 standard that utilizes Scalable Video Coding, which enables the functionalities
4 of adaptation for channel bandwidth. The controller in the Accused Instrumentalities
5 decides which compression (e.g., CABAC, CAVLC, etc.) to use at a point in time
6 based on parameters, for example, e.g., current or anticipated throughput. For
7 example, when a low bandwidth is present, the Accused Instrumentalities select lower
8 quality stream using a particular compression technique. As another example, when a
9 high bandwidth is present, the Accused Instrumentalities select higher quality stream
10 using another particular compression technique. For example, the Accused
11 Instrumentalities' use of HTTP Live Streaming is directed to this selection. As another
12 example, the Accused Instrumentalities' use of different "Profiles" of H.264 is
13 directed to selecting lower quality stream using a particular compression technique
14 (e.g., CABAC or CAVLC, etc.) for lower anticipated bandwidth situations, and
15 selecting higher quality stream using a higher compression technique (e.g., CABAC
16 or CAVLC, etc.) for higher anticipated bandwidth situations.

22 22. On information and belief, Cox also directly infringes and continues to
23 infringe other claims of the '046 patent.

25 23. On information and belief, all of the Accused Instrumentalities perform
26 the claimed methods in substantially the same way, e.g., in the manner specified in the
27 H.264 standard.
28

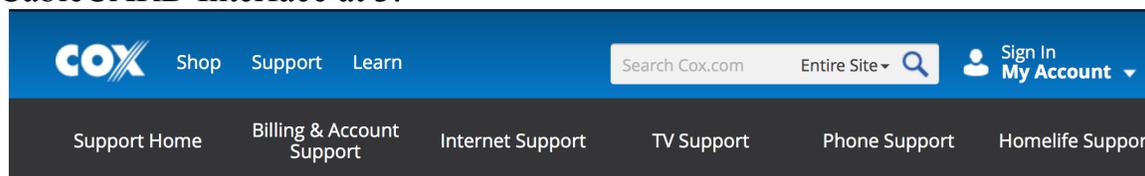
1 24. On information and belief, use of the Accused Instrumentalities in their
2 ordinary and customary fashion results in infringement of the methods claimed by the
3 ‘046 patent.
4

5 25. On information and belief, Cox has had knowledge of the ‘046 patent
6 since at least the filing of this Complaint or shortly thereafter, and on information and
7 belief, Cox knew of the ‘046 patent and knew of its infringement, including by way of
8 this lawsuit. By the time of trial, Cox will have known and intended (since receiving
9 such notice) that its continued actions would actively induce and contribute to the
10 infringement of the claims of the ‘046 patent.
11

12 26. Upon information and belief, Cox’s affirmative acts of making, using,
13 and selling the Accused Instrumentalities, and providing implementation services and
14 technical support to users of the Accused Instrumentalities, including, e.g., through
15 training, demonstrations, brochures, installation and user guides, have induced and
16 continue to induce users of the Accused Instrumentalities to use them in their normal
17 and customary way to infringe the ‘046 patent. For example, Cox adopted H.264 as
18 its video codec in its HD video broadcasting products/services. For example, Cox
19 provides a list of high-definition receivers that implement H.264 decoders supporting
20 various H.264 encoding profiles with maximum level 4.0 (“MPEG-4 (H.264) up to
21 HP@L4.0”).
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Specification	Value
Tuning and Decoding	
Tuning	QAM 64 or 256, In-Band 54 MHz–1 GHz, A/V in display, QPSK out-of-band (OOB) 70–130 MHz, DOCSIS 91–867 MHz, MoCA 1.0–1.5 GHz
Video Decoders	Dedicated 400 MHz VLIW CPU Processor, MPEG-4 (H.264) up to HP@L4.0 (HD), VC1 AP@L2&3, MPEG-2 up to MP@HL, 1920 x 1080i 60 Hz, 1920 x 1080p 30 Hz, 1920 x 1080p 24 Hz, 1280 x 720p 60 Hz, 720 x 480p 60 Hz, 720 x 480i 60 Hz, video scaling, software controlled

See e.g., Cisco Explorer 4742HDC High-Definition Set-Top with Multi-Stream CableCARD Interface at 3.



Search Support



Cisco Explorer 4742HDC High Definition Receiver

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Details



Receiver Capabilities

✓ HD Capable

Guide Version

1 See [https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-](https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-definition-receiver.html)
2 [definition-receiver.html](https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-definition-receiver.html).

COX HD TV RECEIVERS

4 HD Receivers allow you to receive all your channels plus the HD versions of the channels with all our TV packages, including your local programming. Watch your favorite channel line-up in High-Definition TV and enjoy stunning picture quality for sports, nature programs and more!

5 Compatible with most Cox TV Plans, HD receivers allow you to view your channels in High Definition.
6 Does not include the New Contour Experience with Voice Remote.



Contour HD Receiver

\$8.50/mo.

Select equipment during checkout

Get free HD channels with all of our TV packages, including your local programming.

- Watch your favorite shows in crisp HD
- Enjoy stunning picture quality while watching sports, nature programs and more

mini box

\$2.99/mo. each[†]

Select equipment during checkout

Go All Digital to continue enjoying your favorite channels. It's a mini change with massive benefits.

- Easy self-installation
- On-screen guide
- Parental controls
- HD programming for HDTV[†]

13 See <https://www.cox.com/residential/tv/tv-equipment.html>.

14

15 27. For similar reasons, Cox also induces its customers to use the Accused

16 Instrumentalities to infringe other claims of the '046 patent. Cox specifically

17 intended and was aware that these normal and customary activities would infringe the

18 '046 patent. Cox performed the acts that constitute induced infringement, and would

19 induce actual infringement, with the knowledge of the '046 patent and with the

20 knowledge, or willful blindness to the probability, that the induced acts would

21 constitute infringement. For example, since filing of this action, Cox knows that the

22 ordinary way of using Scalable Video Coding method of the H.264 standard—which

23 is directed to choosing different compression techniques based on current or

24 anticipated throughput—in the Accused Instrumentalities infringes the patent but

25 nevertheless continues to promote H.264 compression standard that utilizes Scalable

26

27

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1 Video Coding method of the H.264 standard to its customers. The only reasonable
2 inference is that Cox specifically intends the users to infringe the patent. On
3 information and belief, Cox engaged in such inducement to promote the sales of the
4 Accused Instrumentalities. Accordingly, Cox has induced and continue to induce
5 users of the Accused Instrumentalities to use the Accused Instrumentalities in their
6 ordinary and customary way to infringe the '046 patent, knowing that such use
7 constitutes infringement of the '046 patent. Accordingly, Cox has been (as of filing
8 of the original complaint), and currently is, inducing infringement of the '046 patent,
9 in violation of 35 U.S.C. § 271(b).
10
11
12

13 28. Cox has also infringed, and continues to infringe, claims of the '046
14 patent by offering to commercially distribute, commercially distributing, making,
15 and/or importing the Accused Instrumentalities, which are used in practicing the
16 process, or using the systems, of the '046 patent, and constitute a material part of the
17 invention. Cox knows the components in the Accused Instrumentalities to be
18 especially made or especially adapted for use in infringement of the '046 patent, not a
19 staple article, and not a commodity of commerce suitable for substantial noninfringing
20 use. For example, the ordinary way of using Scalable Video Coding method of the
21 H.264 standard—which is directed to choosing different compression techniques
22 based on current or anticipated throughput—infringes the patent, and as such, is
23 especially adapted for use in infringement. Moreover, there is no substantial
24 noninfringing use, as Scalable Video Coding method of the H.264 standard is directed
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1 to choosing different compression techniques based on current or anticipated
2 throughput. Accordingly, Cox has been (as of filing of the original complaint), and
3 currently is, contributorily infringing the '477 patent, in violation of 35 U.S.C. §
4
5 271(c).

6 29. By making, using, offering for sale, selling and/or importing into the
7 United States the Accused Instrumentalities, and touting the benefits of using the
8 Accused Instrumentalities' compression features, Cox has injured Realtime and is
9 liable to Realtime for infringement of the '046 patent pursuant to 35 U.S.C. § 271.

10
11 30. As a result of Cox's infringement of the '046 patent, Plaintiff Realtime is
12 entitled to monetary damages in an amount adequate to compensate for Cox's
13 infringement, but in no event less than a reasonable royalty for the use made of the
14 invention by Cox, together with interest and costs as fixed by the Court.
15
16

17 **COUNT II**

18 **INFRINGEMENT OF U.S. PATENT NO. 8,934,535**

19 31. Plaintiff re-alleges and incorporates by reference the foregoing
20 paragraphs, as if fully set forth herein.
21

22 32. On information and belief, Cox has made, used, offered for sale, sold
23 and/or imported into the United States Cox products that infringe the '535 patent, and
24 continues to do so. By way of illustrative example, these infringing products include,
25 without limitation, Cox's video broadcasting services/products e.g., Cox Contour TV,
26 Cox Counter Flex, Cox Business TV packages/solutions, and all versions and
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1 variations thereof since the issuance of the ‘535 patent (“Accused Instrumentalities”).

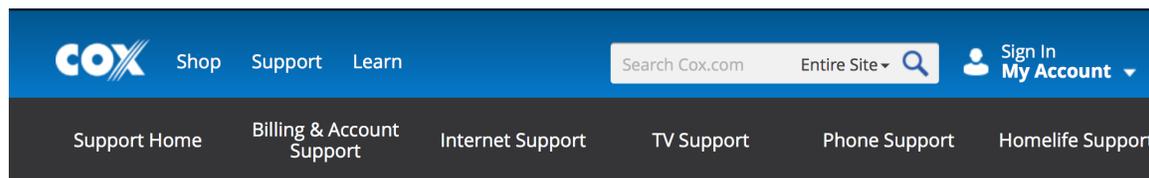
2 33. On information and belief, Cox has directly infringed and continues to
3 infringe the ‘535 patent, for example, through its own use and testing of the Accused
4 Instrumentalities, which when used, practices the method claimed by Claim 15 of the
5 ‘535 patent, namely, a method, comprising: determining a parameter of at least a
6 portion of a data block; selecting one or more asymmetric compressors from among a
7 plurality of compressors based upon the determined parameter or attribute;
8 compressing the at least the portion of the data block with the selected one or more
9 asymmetric compressors to provide one or more compressed data blocks; and storing
10 at least a portion of the one or more compressed data blocks. Upon information and
11 belief, Cox uses the Accused Instrumentalities to practice infringing methods for its
12 own internal non-testing business purposes, while testing the Accused
13 Instrumentalities, and while providing technical support and repair services for the
14 Accused Instrumentalities to Cox’s customers.

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19 34. The Accused Instrumentalities use H.264 video compression standard to
20 deliver HD video broadcasting products/services to its customers. For example, Cox
21 provides a list of high-definition receivers that implement H.264 decoders supporting
22 various H.264 encoding profiles with maximum level 4.0 (“MPEG-4 (H.264) up to
23 HP@L4.0”). Profiles define encoding/decoding methods available in the H.264
24 standard. While profiles define algorithmic complexities of the encoder/decoder and
25 their processing power needs, levels specify the maximum picture resolution, frame
26
27
28

rate, and bit rate that H.264 compatible encoders or decoders may use.

Specification	Value
Tuning and Decoding	
Tuning	QAM 64 or 256, In-Band 54 MHz–1 GHz, A/V in display, QPSK out-of-band (OOB) 70–130 MHz, DOCSIS 91–867 MHz, MoCA 1.0–1.5 GHz
Video Decoders	Dedicated 400 MHz VLIW CPU Processor, MPEG-4 (H.264) up to HP@L4.0 (HD), VC1 AP@L2&3, MPEG-2 up to MP@HL, 1920 x 1080i 60 Hz, 1920 x 1080p 30 Hz, 1920 x 1080p 24 Hz, 1280 x 720p 60 Hz, 720 x 480p 60 Hz, 720 x 480i 60 Hz, video scaling, software controlled

See e.g., Cisco Explorer 4742HDC High-Definition Set-Top with Multi-Stream CableCARD Interface at 3.



Search Support

Cisco Explorer 4742HDC High Definition Receiver

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Details



Receiver Capabilities

✓ HD Capable

Guide Version

1 See [https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-](https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-definition-receiver.html)
2 [definition-receiver.html](https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-definition-receiver.html).

COX HD TV RECEIVERS

4 HD Receivers allow you to receive all your channels plus the HD versions of the channels with all our TV packages, including your local programming. Watch your favorite channel line-up in High-Definition TV and enjoy stunning picture quality for sports, nature programs and more!

5 Compatible with most Cox TV Plans, HD receivers allow you to view your channels in High Definition.
6 Does not include the New Contour Experience with Voice Remote.



9 **Contour HD Receiver**

\$8.50/mo.

Select equipment during checkout

10 Get free HD channels with all of our TV packages, including your local programming.

- 11
- Watch your favorite shows in crisp HD
 - Enjoy stunning picture quality while watching sports, nature programs and more

9 **mini box**

\$2.99/mo. each[†]

Select equipment during checkout

10 Go All Digital to continue enjoying your favorite channels. It's a mini change with massive benefits.

- 11
- Easy self-installation
 - On-screen guide
 - Parental controls
 - HD programming for HDTV[†]

13 See <https://www.cox.com/residential/tv/tv-equipment.html>.

14
15 Moreover, H.264 video compression standard utilizes Scalable Video Coding
16 technology. See, e.g., Recommendations ITU-T H.264 (03/2010) Annex G (Scalable
17 video coding), p. 387-599.
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Annex G
Scalable video coding

(This annex forms an integral part of this Recommendation | International Standard)

This annex specifies scalable video coding, referred to as SVC.

G.1 Scope

Bitstreams and decoders conforming to one or more of the profiles specified in this annex are completely specified in this annex with reference made to clauses 2-9 and Annexes A-E.

G.2 Normative references

The specifications in clause 2 apply with the following additions.

- ISO/IEC 10646:2003, *Information technology – Universal Multiple-Octet Coded Character Set (UCS)*.
- IETF RFC 3986 (2005), *Uniform Resource Identifiers (URI): Generic Syntax*.

G.3 Definitions

For the purpose of this annex, the following definitions apply in addition to the definitions in clause 3. These definitions are either not present in clause 3 or replace definitions in clause 3.

- G.3.1 arbitrary slice order (ASO):** A *decoding order of slices* in which the *macroblock address* of the first *macroblock* of some *slice* of a *slice group* within a *layer representation* may be less than the *macroblock address* of the first *macroblock* of some other preceding *slice* of the same *slice group* within the same *layer representation* or in which the *slices* of a *slice group* within a *layer representation* may be interleaved with the *slices* of one or more other *slices groups* within the same *layer representation*.
- G.3.2 associated NAL unit:** A *NAL unit* that directly succeeds a *prefix NAL unit* in *decoding order*.
- G.3.3 B slice:** A *slice* that may be decoded using *intra-layer intra prediction* or *inter prediction* using at most two *motion vectors* and *reference indices* to *predict* the sample values of each *block*.
- G.3.4 base layer:** A *bitstream subset* that contains all *NAL units* with the *nal_unit_type syntax element* equal to 1 and 5 of the *bitstream* and does not contain any *NAL unit* with the *nal_unit_type syntax element* equal to 14, 15, or 20 and conforms to one or more of the profiles specified in Annex A.
- G.3.5 base quality layer representation:** The *layer representation* of the *target dependency representation* of an *access unit* that is associated with the *quality_id syntax element* equal to 0.
- G.3.6 bitstream subset:** A *bitstream* that is derived as a *subset* from a *bitstream* by discarding zero or more *NAL units*. A *bitstream subset* is also referred to as *sub-bitstream*.
- G.3.7 bottom macroblock (of a macroblock pair):** The *macroblock* within a *macroblock pair* that contains the samples in the bottom row of samples for the *macroblock pair*. For a *field macroblock pair*, the bottom macroblock represents the samples from the region of the *bottom field* or *layer bottom field* of the *frame* or *layer frame*, respectively, that lie within the spatial region of the *macroblock pair*. For a *frame macroblock pair*, the bottom macroblock represents the samples of the *frame* or *layer frame* that lie within the bottom half of the spatial region of the *macroblock pair*.
- G.3.8 coded slice in scalable extension NAL unit:** A *coded slice NAL unit* that contains an *EI slice*, *EP slice*, or an *EB slice*.
- G.3.9 complementary reference field pair:** A collective term for two *reference fields* that are in consecutive *access units* in *decoding order* as two *coded fields*, where the *target dependency representations* of the *fields* share the same value of the *frame_num syntax element* and where the second *field* in *decoding order* is not an *IDR picture* and the *target dependency representation* of the second *field* does not include a *memory_management_control_operation syntax element* equal to 5, or a *complementary reference base field pair*.

https://en.wikipedia.org/wiki/Scalable_Video_Coding

1 **Scalable Video Coding (SVC)** is the name for the Annex G extension of the H.264/MPEG-4 AVC video compression standard. SVC standardizes the
 2 encoding of a high-quality video bitstream that also contains one or more subset bitstreams. A subset video bitstream is derived by dropping packets from the
 3 larger video to reduce the bandwidth required for the subset bitstream. The subset bitstream can represent a lower spatial resolution (smaller screen), lower
 4 temporal resolution (lower frame rate), or lower quality video signal. H.264/MPEG-4 AVC was developed jointly by ITU-T and ISO/IEC JTC 1. These two
 5 groups created the Joint Video Team (JVT) to develop the H.264/MPEG-4 AVC standard.

Contents [\[hide\]](#)

- 1 [Overview](#)
- 2 [Background and applications](#)
- 3 [History and timeline](#)
- 4 [Profiles and levels](#)
- 5 [See also](#)
- 6 [External links](#)
 - 6.1 [Introduction and overview](#)
 - 6.2 [Standardization committee](#)
 - 6.3 [Miscellaneous](#)

Overview [\[edit\]](#)

8 The objective of the SVC standardization has been to enable the encoding of a high-quality video bitstream that contains one or more subset bitstreams that
 9 can themselves be decoded with a complexity and reconstruction quality similar to that achieved using the existing H.264/MPEG-4 AVC design with the same
 10 quantity of data as in the subset bitstream. The subset bitstream is derived by dropping packets from the larger bitstream.

A subset bitstream can represent a lower spatial resolution (smaller screen), or a lower temporal resolution (lower frame rate), or a lower quality video signal
 (each separately or in combination) compared to the bitstream it is derived from. The following modalities are possible:

- Temporal (frame rate) scalability: the motion compensation dependencies are structured so that complete pictures (i.e. their associated packets) can be
 11 dropped from the bitstream. (Temporal scalability is already enabled by H.264/MPEG-4 AVC. SVC has only provided supplemental enhancement
 12 information to improve its usage.)
- Spatial (picture size) scalability: video is coded at multiple spatial resolutions. The data and decoded samples of lower resolutions can be used to predict
 13 data or samples of higher resolutions in order to reduce the bit rate to code the higher resolutions.
- SNR/Quality/Fidelity scalability: video is coded at a single spatial resolution but at different qualities. The data and decoded samples of lower qualities can
 be used to predict data or samples of higher qualities in order to reduce the bit rate to code the higher qualities.
- Combined scalability: a combination of the 3 scalability modalities described above.

SVC enables [forward compatibility](#) for older hardware: the same bitstream can be consumed by basic hardware which can only decode a low-resolution subset
 (i.e. [720p](#) or [1080i](#)), while more advanced hardware will be able to decode high quality video stream ([1080p](#)).

Background and applications [\[edit\]](#)

15 Bit-stream scalability for video is a desirable feature for many multimedia applications. The need for scalability arises from graceful degradation transmission
 16 requirements, or adaptation needs for spatial formats, bit rates or power. To fulfill these requirements, it is beneficial that video is simultaneously transmitted or
 stored with a variety of spatial or temporal resolutions or qualities which is the purpose of video bit-stream scalability.

17 Traditional digital video transmission and storage systems are based on [H.222.0/MPEG-2 TS](#) systems for broadcasting services over satellite, cable, and
 18 terrestrial transmission channels, and for [DVD](#) storage, or on [H.320](#) for conversational video conferencing services. These channels are typically characterized
 by a fixed spatio-temporal format of the video signal ([SDTV](#) or [HDTV](#) or [CIF](#) for H.320 video telephone). The application behavior in such systems typically falls
 into one of the two categories: it works or it doesn't work.^[1] 

19 Modern video transmission and storage systems using the Internet and mobile networks are typically based on [RTP/IP](#) for real-time services (conversational
 20 and streaming) and on computer file formats like [mp4](#) or [3gp](#). Most RTP/IP access networks are typically characterized by a wide range of connection qualities
 and receiving devices. The varying connection quality results from adaptive resource sharing mechanisms of these networks addressing the time varying data
 throughput requirements of a varying number of users. The variety of devices with different capabilities ranging from cell phones with small screens and
 restricted processing power to high-end PCs with high-definition displays results from the continuous evolution of these endpoints.

Scalable video coding (SVC) is one solution to the problems posed by the characteristics of modern video transmission systems. The following video
 21 applications can benefit from SVC:

- Streaming
- Conferencing
- Surveillance
- Broadcast
- Storage

Profiles and levels [edit]

As a result of the Scalable Video Coding extension, the standard contains five additional *scalable profiles*: Scalable Baseline, Scalable High, Scalable High Intra, Scalable Constrained Baseline and Scalable Constrained High Profile. These profiles are defined as a combination of the H.264/MPEG-4 AVC profile for the base layer (2nd word in scalable profile name) and tools that achieve the scalable extension:

- **Scalable Baseline Profile:** Mainly targeted for conversational, mobile, and surveillance applications.
 - A bitstream conforming to Scalable Baseline profile contains a base layer bitstream that conforms to a restricted version of Baseline profile of H.264/MPEG-4 AVC.
 - Supports B slices, weighted prediction, CABAC entropy coding, and 8x8 luma transform in enhancement layers (CABAC and the 8x8 transform are only supported for certain levels), although the base layer has to conform to the restricted Baseline profile, which does not support these tools. Coding tools for interlaced sources are not included.
 - Spatial scalable coding is restricted to resolution ratios of 1.5 and 2 between successive spatial layers in both horizontal and vertical direction and to macroblock-aligned cropping.
 - Quality and temporal scalable coding are supported without any restriction.
- **Scalable High Profile:** Primarily designed for broadcast, streaming, storage and [videoconferencing](#) applications.
 - A bitstream conforming to Scalable High profile contains a base layer bitstream that conforms to High profile of H.264/MPEG-4 AVC.
 - Supports all tools specified in the Scalable Video Coding extension.
 - Spatial scalable coding without any restriction, i.e., arbitrary resolution ratios and cropping parameters is supported.
 - Quality and temporal scalable coding are supported without any restriction.
- **Scalable High Intra Profile:** Mainly designed for professional applications.
 - Uses Instantaneous Decoder Refresh (IDR) pictures only. IDR pictures can be decoded without reference to previous frames.
 - A bitstream conforming to Scalable High Intra profile contains a base layer bitstream that conforms to High profile of H.264/MPEG-4 AVC with only IDR pictures allowed.
 - All scalability tools are allowed as in Scalable High profile but only IDR pictures are permitted in any layer.
- **Scalable Constrained Baseline Profile**
- **Scalable Constrained High Profile**

35. The Accused Instrumentalities determine a parameter of at least a portion of a video data block. As shown below, examples of such parameters include bitrate (or max video bitrate) and resolution parameters. Different parameters correspond with different end applications. H.264 provides for multiple different ranges of such parameters, each included in the “profiles” and “levels” defined by the H.264 standard. See http://www.axis.com/files/whitepaper/wp_h264_31669_en_0803_lo.pdf at 5:

4. H.264 profiles and levels

The joint group involved in defining H.264 focused on creating a simple and clean solution, limiting options and features to a minimum. An important aspect of the standard, as with other video standards, is providing the capabilities in profiles (sets of algorithmic features) and levels (performance classes) that optimally support popular productions and common formats.

H.264 has seven profiles, each targeting a specific class of applications. Each profile defines what feature set the encoder may use and limits the decoder implementation complexity.

Network cameras and video encoders will most likely use a profile called the baseline profile, which is intended primarily for applications with limited computing resources. The baseline profile is the most suitable given the available performance in a real-time encoder that is embedded in a network video product. The profile also enables low latency, which is an important requirement of surveillance video and also particularly important in enabling real-time, pan/tilt/zoom (PTZ) control in PTZ network cameras.

H.264 has 11 levels or degree of capability to limit performance, bandwidth and memory requirements. Each level defines the bit rate and the encoding rate in macroblock per second for resolutions ranging from QCIF to HDTV and beyond. The higher the resolution, the higher the level required.

See https://en.wikipedia.org/wiki/H.264/MPEG-4_AVC:

Levels with maximum property values

Level	Max decoding speed		Max frame size		Max video bit rate for video coding layer (VCL) kbit/s			Examples for high resolution @ highest frame rate (max stored frames) <input type="button" value="Toggle additional details"/>
	Luma samples/s	Macroblocks/s	Luma samples	Macroblocks	Baseline, Extended and Main Profiles	High Profile	High 10 Profile	
1	380,160	1,485	25,344	99	64	80	192	176x144@15.0 (4)
1b	380,160	1,485	25,344	99	128	160	384	176x144@15.0 (4)
1.1	768,000	3,000	101,376	396	192	240	576	352x288@7.5 (2)
1.2	1,536,000	6,000	101,376	396	384	480	1,152	352x288@15.2 (6)
1.3	3,041,280	11,880	101,376	396	768	960	2,304	352x288@30.0 (6)
2	3,041,280	11,880	101,376	396	2,000	2,500	6,000	352x288@30.0 (6)
2.1	5,068,800	19,800	202,752	792	4,000	5,000	12,000	352x576@25.0 (6)
2.2	5,184,000	20,250	414,720	1,620	4,000	5,000	12,000	720x576@12.5 (5)
3	10,368,000	40,500	414,720	1,620	10,000	12,500	30,000	720x576@25.0 (5)
3.1	27,648,000	108,000	921,600	3,600	14,000	17,500	42,000	1,280x720@30.0 (5)
3.2	55,296,000	216,000	1,310,720	5,120	20,000	25,000	60,000	1,280x1,024@42.2 (4)
4	62,914,560	245,760	2,097,152	8,192	20,000	25,000	60,000	2,048x1,024@30.0 (4)
4.1	62,914,560	245,760	2,097,152	8,192	50,000	62,500	150,000	2,048x1,024@30.0 (4)
4.2	133,693,440	522,240	2,228,224	8,704	50,000	62,500	150,000	2,048x1,080@60.0 (4)
5	150,994,944	589,824	5,652,480	22,080	135,000	168,750	405,000	3,672x1,536@26.7 (5)
5.1	251,658,240	983,040	9,437,184	36,864	240,000	300,000	720,000	4,096x2,304@26.7 (5)
5.2	530,841,600	2,073,600	9,437,184	36,864	240,000	300,000	720,000	4,096x2,304@56.3 (5)

36. A video data block is organized by the group of pictures (GOP) structure, which is a “collection of successive pictures within a coded video stream.” See https://en.wikipedia.org/wiki/Group_of_pictures. A GOP structure can contain intra coded pictures (I picture or I frame), predictive coded pictures (P picture or P frame),

1 bipredictive coded pictures (B picture or B frame) and direct coded pictures (D picture
2 or D frames, or DC direct coded pictures which are used only in MPEG-1 video). *See*
3 https://en.wikipedia.org/wiki/Video_compression_picture_types (for descriptions of I
4 frames, P frames and B frames); <https://en.wikipedia.org/wiki/MPEG-1#D-frames> (for
5 descriptions of D frames). Thus, at least a portion of a video data block would also
6 make up a GOP structure and could also contain I frames, P frames, B frames and/or
7 D frames. The GOP structure also reflects the size of a video data block, and the GOP
8 structure can be controlled and used to fine-tune other parameters (e.g. bitrate, max
9 video bitrate and resolution parameters) or even be considered as a parameter by itself.

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13 37. Based on the bitrate and/or resolution parameter identified (e.g. bitrate,
14 max video bitrate, resolution, GOP structure or frame type within a GOP structure),
15 any H.264-compliant system such as the Accused Instrumentalities would determine
16 which profile (e.g., “baseline,” “extended,” “main”, or “high”) corresponds with that
17 parameter, then select between at least two asymmetric compressors. If baseline or
18 extended is the corresponding profile, then the system will select a Context-Adaptive
19 Variable Length Coding (“CAVLC”) entropy encoder. If main or high is the
20 corresponding profile, then the system will select a Context-Adaptive Binary
21 Arithmetic Coding (“CABAC”) entropy encoder. Both encoders are asymmetric
22 compressors because it takes a longer period of time for them to compress data than to
23 decompress data. *See* [https://sonnati.wordpress.com/2007/10/29/how-h-264-works-](https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/)
24 [part-ii/](https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/)
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	Baseline	Extended	Main	High	High 10
I and P Slices	Yes	Yes	Yes	Yes	Yes
B Slices	No	Yes	Yes	Yes	Yes
SI and SP Slices	No	Yes	No	No	No
Multiple Reference Frames	Yes	Yes	Yes	Yes	Yes
In-Loop Deblocking Filter	Yes	Yes	Yes	Yes	Yes
CAVLC Entropy Coding	Yes	Yes	Yes	Yes	Yes
CABAC Entropy Coding	No	No	Yes	Yes	Yes
Flexible Macroblock Ordering (FMO)	Yes	Yes	No	No	No
Arbitrary Slice Ordering (ASO)	Yes	Yes	No	No	No
Redundant Slices (RS)	Yes	Yes	No	No	No
Data Partitioning	No	Yes	No	No	No
Interlaced Coding (PicAFF, MBAFF)	No	Yes	Yes	Yes	Yes
4:2:0 Chroma Format	Yes	Yes	Yes	Yes	Yes
Monochrome Video Format (4:0:0)	No	No	No	Yes	Yes
4:2:2 Chroma Format	No	No	No	No	No
4:4:4 Chroma Format	No	No	No	No	No
8 Bit Sample Depth	Yes	Yes	Yes	Yes	Yes
9 and 10 Bit Sample Depth	No	No	No	No	Yes
11 to 14 Bit Sample Depth	No	No	No	No	No
8x8 vs. 4x4 Transform Adaptivity	No	No	No	Yes	Yes
Quantization Scaling Matrices	No	No	No	Yes	Yes
Separate Cb and Cr QP control	No	No	No	Yes	Yes
Separate Color Plane Coding	No	No	No	No	No
Predictive Lossless Coding	No	No	No	No	No

See http://web.cs.ucla.edu/classes/fall03/cs218/paper/H.264_MPEG4_Tutorial.pdf at

7:

1 The following table summarizes the two major types of entropy coding: Variable Length
 2 Coding (VLC) and Context Adaptive Binary Arithmetic Coding (CABAC). CABAC offers
 3 superior coding efficiency over VLC by adapting to the changing probability distribution
 4 of symbols, by exploiting correlation between symbols, and by adaptively exploiting bit
 5 correlations using arithmetic coding. H.264 also supports Context Adaptive Variable Length
 6 Coding (CAVLC) which offers superior entropy coding over VLC without the full cost of
 7 CABAC.

8 H.264 Entropy Coding – Comparison of Approaches

9 Characteristics	10 Variable Length Coding (VLC)	11 Context Adaptive Binary Arithmetic Coding(CABAC)
12 • Where it is used	MPEG-2, MPEG-4 ASP	H.264/MPEG-4 AVC (high efficiency option)
13 • Probability distribution	Static - Probabilities never change	Adaptive - Adjusts probabilities based on actual data
14 • Leverages correlation between symbols	No - Conditional probabilities ignored	Yes - Exploits symbol correlations by using "contexts"
15 • Non-integer code words	No - Low coding efficiency for high probability symbols	Yes - Exploits "arithmetic coding" which generates non-integer code words for higher efficiency

16 Moreover, the H.264 Standard requires a bit-flag descriptor, which is set to determine
 17 the correct decoder for the corresponding encoder. As shown below, if the flag = 0,
 18 then CAVLC must have been selected as the encoder; if the flag = 1, then CABAC
 19 must have been selected as the encoder. *See*

20 [https://www.itu.int/rec/dologin_pub.asp?lang=e&id=T-REC-H.264-201304-S!!PDF-](https://www.itu.int/rec/dologin_pub.asp?lang=e&id=T-REC-H.264-201304-S!!PDF-E&type=items)
 21 [E&type=items](https://www.itu.int/rec/dologin_pub.asp?lang=e&id=T-REC-H.264-201304-S!!PDF-E&type=items) (Rec. ITU-T H.264 (04/2013)) at 80:

22 **entropy_coding_mode_flag** selects the entropy decoding method to be applied for the syntax elements for which two
 23 descriptors appear in the syntax tables as follows:

- 24 – If **entropy_coding_mode_flag** is equal to 0, the method specified by the left descriptor in the syntax table is applied
 (Exp-Golomb coded, see clause 9.1 or CAVLC, see clause 9.2).
- 25 – Otherwise (**entropy_coding_mode_flag** is equal to 1), the method specified by the right descriptor in the syntax table
 is applied (CABAC, see clause 9.3).

26 38. The controller in the Accused Instrumentalities decides which
 27 compression (e.g., CABAC, CAVLC, etc.) to use at a point in time based on
 28

1 parameters, for example, e.g., current or anticipated throughput. For example, when a
2 low bandwidth is present, the Accused Instrumentalities select lower quality stream
3 using a particular compression technique. As another example, when a high
4 bandwidth is present, the Accused Instrumentalities select higher quality stream using
5 another particular compression technique. For example, the Accused
6 Instrumentalities' use of HTTP Live Streaming is directed to this selection. As another
7 example, the Accused Instrumentalities' use of different "Profiles" of H.264 is
8 directed to selecting lower quality stream using a particular compression technique
9 (e.g., CABAC or CAVLC, etc.) for lower anticipated bandwidth situations, and
10 selecting higher quality stream using a higher compression technique (e.g., CABAC
11 or CAVLC, etc.) for higher anticipated bandwidth situations.

15 39. The Accused Instrumentalities compress the at least the portion of the
16 data block with the selected one or more asymmetric compressors to provide one or
17 more compressed data blocks, which can be organized in a GOP structure (see above).
18 After its selection, the asymmetric compressor (CAVLC or CABAC) will compress
19 the video data to provide various compressed data blocks, which can also be organized
20 in a GOP structure. See [https://sonnati.wordpress.com/2007/10/29/how-h-264-works-](https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/)
21 [part-ii/](https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/):
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25 **Entropy Coding**

26 For entropy coding, H.264 may use an enhanced VLC, a more complex context-adaptive
27 variable-length coding (CAVLC) or an ever more complex Context-adaptive binary-arithmetic
28 coding (CABAC) which are complex techniques to losslessly compress syntax elements in the
video stream knowing the probabilities of syntax elements in a given context. The use of
CABAC can improve the compression of around 5-7%. CABAC may requires a 30-40% of total
processing power to be accomplished.

1 40. *See*

2 [http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.602.1581&rep](http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.602.1581&rep=rep1&type=pdf)
3 [=rep1&type=pdf](http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.602.1581&rep=rep1&type=pdf) at 13:
4

5 Typical compression ratios to maintain excellent quality are:

- 6 • 10:1 for general images using JPEG
- 7 • 30:1 for general video using H.263 and MPEG-2
- 8 • 60:1 for general video using H.264 and WMV9

9 *See* http://www.ijera.com/papers/Vol3_issue4/BM34399403.pdf at 2:

10 Most visual communication systems today
11 use Baseline Profile. Baseline is the simplest H.264
12 profile and defines, for example, zigzag scanning of
13 the picture and using 4:2:0 (YUV video formats)
14 chrominance sampling. In Baseline Profile, the
15 picture is split in blocks consisting of 4x4 pixels,
16 and each block is processed separately. Another
17 important element of the Baseline Profile is the use
18 of Universal Variable Length Coding (UVLC) and
19 Context Adaptive Variable Length Coding
20 (CAVLC) entropy coding techniques.

21 The Extended and Main Profiles includes
22 the functionality of the Baseline Profile and add
23 improvements to the predictions algorithms. Since
24 transmitting every single frame (think 30 frames per
25 second for good quality video) is not feasible if you
26 are trying to reduce the bit rate 1000-2000 times,
27 temporal and motion prediction are heavily used in
28 H.264, and allow transmitting only the difference
 between one frame and the previous frames. The
 result is spectacular efficiency gain, especially for
 scenes with little change and motion.

 The High Profile is the most powerful
 profile in H.264, and it allows most efficient coding
 of video. For example, large coding gain achieved
 through the use of Context Adaptive Binary
 Arithmetic Coding (CABAC) encoding which is
 more efficient than the UVLC/CAVLC used in
 Baseline Profile.

 The High Profile also uses adaptive
 transform that decides on the fly if 4x4 or 8x8-pixel
 blocks should be used. For example, 4x4 blocks are
 used for the parts of the picture that are dense with
 detail, while parts that have little detail are
 transformed using 8x8 blocks.

1 41. On information and belief, the Accused Instrumentalities store at least a
2 portion of the one or more compressed data blocks in buffers, hard disk, or other
3 forms of memory/storage.
4

5 42. On information and belief, Cox also directly infringes and continues to
6 infringe other claims of the '535 patent.
7

8 43. On information and belief, all of the Accused Instrumentalities perform
9 the claimed methods in substantially the same way, e.g., in the manner specified in the
10 H.264 standard.
11

12 44. On information and belief, use of the Accused Instrumentalities in their
13 ordinary and customary fashion results in infringement of the methods claimed by the
14 '535 patent.
15

16 45. On information and belief, Cox has had knowledge of the '535 patent
17 since at least the filing of this Complaint or shortly thereafter, and on information and
18 belief, Cox knew of the '535 patent and knew of its infringement, including by way of
19 this lawsuit. By the time of trial, Cox will have known and intended (since receiving
20 such notice) that its continued actions would actively induce and contribute to the
21 infringement of the claims of the '535 patent.
22

23 46. Upon information and belief, Cox's affirmative acts of making, using, and
24 selling the Accused Instrumentalities, and providing implementation services and
25 technical support to users of the Accused Instrumentalities, including, e.g., through
26 training, demonstrations, brochures, installation and user guides, have induced and
27
28

1 continue to induce users of the Accused Instrumentalities to use them in their normal
 2 and customary way to infringe the ‘535 patent by practicing a method, comprising:
 3 determining a parameter of at least a portion of a data block; selecting one or more
 4 asymmetric compressors from among a plurality of compressors based upon the
 5 determined parameter or attribute; compressing the at least the portion of the data
 6 block with the selected one or more asymmetric compressors to provide one or more
 7 compressed data blocks; and storing at least a portion of the one or more compressed
 8 data blocks. For example, Cox adopted H.264 as its video codec in its HD video
 9 broadcasting products/services. For example, Cox provides a list of high-definition
 10 receivers that implement H.264 decoders supporting various H.264 encoding profiles
 11 with maximum level 4.0 (“MPEG-4 (H.264) up to HP@L4.0”).
 12
 13
 14

Specification	Value
Tuning and Decoding	
Tuning	QAM 64 or 256, In-Band 54 MHz–1 GHz, A/V in display, QPSK out-of-band (OOB) 70–130 MHz, DOCSIS 91–867 MHz, MoCA 1.0–1.5 GHz
Video Decoders	Dedicated 400 MHz VLIW CPU Processor, MPEG-4 (H.264) up to HP@L4.0 (HD), VC1 AP@L2&3, MPEG-2 up to MP@HL, 1920 x 1080i 60 Hz, 1920 x 1080p 30 Hz, 1920 x 1080p 24 Hz, 1280 x 720p 60 Hz, 720 x 480p 60 Hz, 720 x 480i 60 Hz, video scaling, software controlled

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 19 See e.g., Cisco Explorer 4742HDC High-Definition Set-Top with Multi-Stream
 20 CableCARD Interface at 3.
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4 Search Support

6 Cisco Explorer 4742HDC High Definition Receiver

7 Share or Print This Article



9 Details

10 

11 Receiver Capabilities Guide Version

12  HD Capable

15 See <https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-definition-receiver.html>.

17 COX HD TV RECEIVERS

18 HD Receivers allow you to receive all your channels plus the HD versions of the channels with all our TV packages, including your local programming. Watch your favorite channel line-up in High-Definition TV and enjoy stunning picture quality for sports, nature programs and more!

19 Compatible with most Cox TV Plans, HD receivers allow you to view your channels in High Definition. Does not include the New Contour Experience with Voice Remote.



23 Contour HD Receiver

24 **\$8.50/mo.**

Select equipment during checkout

Get free HD channels with all of our TV packages, including your local programming.

- Watch your favorite shows in crisp HD
- Enjoy stunning picture quality while watching sports, nature programs and more

23 mini box

24 **\$2.99/mo. each[†]**

Select equipment during checkout

Go All Digital to continue enjoying your favorite channels. It's a mini change with massive benefits.

- Easy self-installation
- On-screen guide
- Parental controls
- HD programming for HDTV[†]

28 See <https://www.cox.com/residential/tv/tv-equipment.html>.

1 47. For similar reasons, Cox also induces its customers to use the Accused
2 Instrumentalities to infringe other claims of the ‘535 patent. Cox specifically intended
3 and was aware that these normal and customary activities would infringe the ‘535
4 patent. Cox performed the acts that constitute induced infringement, and would
5 induce actual infringement, with the knowledge of the ‘535 patent and with the
6 knowledge, or willful blindness to the probability, that the induced acts would
7 constitute infringement. For example, since filing of this action, Cox knows that the
8 ordinary way of using Scalable Video Coding method of the H.264 standard—which
9 is directed to choosing different compression techniques based on current or
10 anticipated throughput—in the Accused Instrumentalities infringes the patent but
11 nevertheless continues to promote H.264 compression standard that utilizes Scalable
12 Video Coding method of the H.264 standard to its customers. The only reasonable
13 inference is that Cox specifically intends the users to infringe the patent. On
14 information and belief, Cox engaged in such inducement to promote the sales of the
15 Accused Instrumentalities. Accordingly, Cox has induced and continue to induce
16 users of the Accused Instrumentalities to use the Accused Instrumentalities in their
17 ordinary and customary way to infringe the ‘535 patent, knowing that such use
18 constitutes infringement of the ‘535 patent. Accordingly, Cox has been (as of filing of
19 the original complaint), and currently is, inducing infringement of the ‘535 patent, in
20 violation of 35 U.S.C. § 271(b).
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28 48. Cox has also infringed, and continues to infringe, claims of the ‘535

1 patent by offering to commercially distribute, commercially distributing, making,
2 and/or importing the Accused Instrumentalities, which are used in practicing the
3 process, or using the systems, of the '535 patent, and constitute a material part of the
4 invention. Cox knows the components in the Accused Instrumentalities to be
5 especially made or especially adapted for use in infringement of the '535 patent, not a
6 staple article, and not a commodity of commerce suitable for substantial noninfringing
7 use. For example, the ordinary way of using Scalable Video Coding method of the
8 H.264 standard—which is directed to choosing different compression techniques
9 based on current or anticipated throughput—infringes the patent, and as such, is
10 especially adapted for use in infringement. Moreover, there is no substantial
11 noninfringing use, as Scalable Video Coding method of the H.264 standard is directed
12 to choosing different compression techniques based on current or anticipated
13 throughput. Accordingly, Cox has been (as of filing of the original complaint), and
14 currently is, contributorily infringing the '477 patent, in violation of 35 U.S.C. §
15 271(c).
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21 49. By making, using, offering for sale, selling and/or importing into the
22 United States the Accused Instrumentalities, and touting the benefits of using the
23 Accused Instrumentalities' compression features, Cox has injured Realtime and is
24 liable to Realtime for infringement of the '535 patent pursuant to 35 U.S.C. § 271.
25

26 50. As a result of Cox's infringement of the '535 patent, Plaintiff Realtime is
27 entitled to monetary damages in an amount adequate to compensate for Cox's
28

1 infringement, but in no event less than a reasonable royalty for the use made of the
2 invention by Cox, together with interest and costs as fixed by the Court.

3
4 **COUNT III**

5 **INFRINGEMENT OF U.S. PATENT NO. 9,769,477**

6 51. Plaintiff re-alleges and incorporates by reference the foregoing
7 paragraphs, as if fully set forth herein.

8
9 52. On information and belief, Cox has made, used, offered for sale, sold
10 and/or imported into the United States Cox products that infringe the '477 patent, and
11 continues to do so. By way of illustrative example, these infringing products include,
12 without limitation, Cox's video broadcasting services/products e.g., Cox Contour TV,
13 Cox Counter Flex, Cox Business TV packages/solutions, and all versions and
14 variations thereof since the issuance of the '477 patent ("Accused Instrumentalities").
15
16

17 53. On information and belief, Cox has directly infringed and continues to
18 infringe the '477 patent, for example, through its sale, offer for sale, importation, use
19 and testing of the Accused Instrumentalities that practice Claim 1 of the '477 patent,
20 namely, a system, comprising: a plurality of different asymmetric data compression
21 encoders, wherein each asymmetric data compression encoder of the plurality of
22 different asymmetric data compression encoders is configured to utilize one or more
23 data compression algorithms, and wherein a first asymmetric data compression
24 encoder of the plurality of different asymmetric data compression encoders is
25 configured to compress data blocks containing video or image data at a higher data
26
27
28

1 compression rate than a second asymmetric data compression encoder of the plurality
 2 of different asymmetric data compression encoders; and one or more processors
 3 configured to: determine one or more data parameters, at least one of the determined
 4 one or more data parameters relating to a throughput of a communications channel
 5 measured in bits per second; and select one or more asymmetric data compression
 6 encoders from among the plurality of different asymmetric data compression encoders
 7 based upon, at least in part, the determined one or more data parameters.
 8
 9

10 54. The Accused Instrumentalities use H.264 video compression standard to
 11 deliver HD video broadcasting products/services to its customers. For example, Cox
 12 provides a list of high-definition receivers that implement H.264 decoders supporting
 13 various H.264 encoding profiles with maximum level 4.0 (“MPEG-4 (H.264) up to
 14 HP@L4.0”). Profiles define encoding/decoding methods available in the H.264
 15 standard. While profiles define algorithmic complexities of the encoder/decoder and
 16 their processing power needs, levels specify the maximum picture resolution, frame
 17 rate, and bit rate that H.264 compatible encoders or decoders may use.
 18
 19
 20

Specification	Value
Tuning and Decoding	
Tuning	QAM 64 or 256, In-Band 54 MHz–1 GHz, A/V in display, QPSK out-of-band (OOB) 70–130 MHz, DOCSIS 91–867 MHz, MoCA 1.0–1.5 GHz
Video Decoders	Dedicated 400 MHz VLIW CPU Processor, MPEG-4 (H.264) up to HP@L4.0 (HD), VC1 AP@L2&3, MPEG-2 up to MP@HL, 1920 x 1080i 60 Hz, 1920 x 1080p 30 Hz, 1920 x 1080p 24 Hz, 1280 x 720p 60 Hz, 720 x 480p 60 Hz, 720 x 480i 60 Hz, video scaling, software controlled

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 25 See e.g., Cisco Explorer 4742HDC High-Definition Set-Top with Multi-Stream
 CableCARD Interface at 3.
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6 Cisco Explorer 4742HDC High Definition Receiver

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9 Details

10

11 Receiver Capabilities

12 HD Capable

13 Guide Version

14 See <https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-definition-receiver.html>.

17 COX HD TV RECEIVERS

18 HD Receivers allow you to receive all your channels plus the HD versions of the channels with all our TV packages, including your local programming. Watch your favorite channel line-up in High-Definition TV and enjoy stunning picture quality for sports, nature programs and more!

19 Compatible with most Cox TV Plans, HD receivers allow you to view your channels in High Definition. Does not include the New Contour Experience with Voice Remote.



23 Contour HD Receiver

24 **\$8.50/mo.**

Select equipment during checkout

Get free HD channels with all of our TV packages, including your local programming.

- Watch your favorite shows in crisp HD
- Enjoy stunning picture quality while watching sports, nature programs and more



23 mini box

24 **\$2.99/mo. each[†]**

Select equipment during checkout

Go All Digital to continue enjoying your favorite channels. It's a mini change with massive benefits.

- Easy self-installation
- On-screen guide
- Parental controls
- HD programming for HDTV[†]

27 See <https://www.cox.com/residential/tv/tv-equipment.html>.

1 55. Moreover, H.264 video compression standard utilizes Scalable Video Coding
 2 technology. See, e.g., Recommendations ITU-T H.264 (03/2010) Annex G (Scalable
 3 video coding), p. 387-599.
 4

5 Annex G

6 Scalable video coding

(This annex forms an integral part of this Recommendation | International Standard)

This annex specifies scalable video coding, referred to as SVC.

7 G.1 Scope

Bitstreams and decoders conforming to one or more of the profiles specified in this annex are completely specified in this annex with reference made to clauses 2-9 and Annexes A-E.

8 G.2 Normative references

The specifications in clause 2 apply with the following additions.

- ISO/IEC 10646:2003, *Information technology – Universal Multiple-Octet Coded Character Set (UCS)*.
- IETF RFC 3986 (2005), *Uniform Resource Identifiers (URI): Generic Syntax*.

9 G.3 Definitions

For the purpose of this annex, the following definitions apply in addition to the definitions in clause 3. These definitions are either not present in clause 3 or replace definitions in clause 3.

- G.3.1 **arbitrary slice order (ASO)**: A *decoding order of slices* in which the *macroblock address* of the first *macroblock* of some *slice* of a *slice group* within a *layer representation* may be less than the *macroblock address* of the first *macroblock* of some other preceding *slice* of the same *slice group* within the same *layer representation* or in which the *slices* of a *slice group* within a *layer representation* may be interleaved with the *slices* of one or more other *slices groups* within the same *layer representation*.
- G.3.2 **associated NAL unit**: A *NAL unit* that directly succeeds a *prefix NAL unit* in *decoding order*.
- G.3.3 **B slice**: A *slice* that may be decoded using *intra-layer intra prediction* or *inter prediction* using at most two *motion vectors* and *reference indices* to *predict* the sample values of each *block*.
- G.3.4 **base layer**: A *bitstream subset* that contains all *NAL units* with the *nal_unit_type syntax element* equal to 1 and 5 of the *bitstream* and does not contain any *NAL unit* with the *nal_unit_type syntax element* equal to 14, 15, or 20 and conforms to one or more of the profiles specified in Annex A.
- G.3.5 **base quality layer representation**: The *layer representation* of the *target dependency representation* of an *access unit* that is associated with the *quality_id syntax element* equal to 0.
- G.3.6 **bitstream subset**: A *bitstream* that is derived as a *subset* from a *bitstream* by discarding zero or more *NAL units*. A *bitstream subset* is also referred to as *sub-bitstream*.
- G.3.7 **bottom macroblock (of a macroblock pair)**: The *macroblock* within a *macroblock pair* that contains the samples in the bottom row of samples for the *macroblock pair*. For a *field macroblock pair*, the bottom macroblock represents the samples from the region of the *bottom field* or *layer bottom field* of the *frame* or *layer frame*, respectively, that lie within the spatial region of the *macroblock pair*. For a *frame macroblock pair*, the bottom macroblock represents the samples of the *frame* or *layer frame* that lie within the bottom half of the spatial region of the *macroblock pair*.
- G.3.8 **coded slice in scalable extension NAL unit**: A *coded slice NAL unit* that contains an *EI slice*, *EP slice*, or an *EB slice*.
- G.3.9 **complementary reference field pair**: A collective term for two *reference fields* that are in consecutive *access units* in *decoding order* as two *coded fields*, where the *target dependency representations* of the *fields* share the same value of the *frame_num syntax element* and where the second *field* in *decoding order* is not an *IDR picture* and the *target dependency representation* of the second *field* does not include a *memory_management_control_operation syntax element* equal to 5, or a *complementary reference base field pair*.

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21 https://en.wikipedia.org/wiki/Scalable_Video_Coding
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Scalable Video Coding (SVC) is the name for the Annex G extension of the H.264/MPEG-4 AVC video compression standard. SVC standardizes the encoding of a high-quality video bitstream that also contains one or more subset bitstreams. A subset video bitstream is derived by dropping packets from the larger video to reduce the bandwidth required for the subset bitstream. The subset bitstream can represent a lower spatial resolution (smaller screen), lower temporal resolution (lower frame rate), or lower quality video signal. H.264/MPEG-4 AVC was developed jointly by ITU-T and ISO/IEC JTC 1. These two groups created the Joint Video Team (JVT) to develop the H.264/MPEG-4 AVC standard.

Contents [\[hide\]](#)

- 1 [Overview](#)
- 2 [Background and applications](#)
- 3 [History and timeline](#)
- 4 [Profiles and levels](#)
- 5 [See also](#)
- 6 [External links](#)
 - 6.1 [Introduction and overview](#)
 - 6.2 [Standardization committee](#)
 - 6.3 [Miscellaneous](#)

Overview [\[edit\]](#)

The objective of the SVC standardization has been to enable the encoding of a high-quality video bitstream that contains one or more subset bitstreams that can themselves be decoded with a complexity and reconstruction quality similar to that achieved using the existing H.264/MPEG-4 AVC design with the same quantity of data as in the subset bitstream. The subset bitstream is derived by dropping packets from the larger bitstream.

A subset bitstream can represent a lower spatial resolution (smaller screen), or a lower temporal resolution (lower frame rate), or a lower quality video signal (each separately or in combination) compared to the bitstream it is derived from. The following modalities are possible:

- **Temporal (frame rate) scalability:** the motion compensation dependencies are structured so that complete pictures (i.e. their associated packets) can be dropped from the bitstream. (Temporal scalability is already enabled by H.264/MPEG-4 AVC. SVC has only provided supplemental enhancement information to improve its usage.)
- **Spatial (picture size) scalability:** video is coded at multiple spatial resolutions. The data and decoded samples of lower resolutions can be used to predict data or samples of higher resolutions in order to reduce the bit rate to code the higher resolutions.
- **SNR/Quality/Fidelity scalability:** video is coded at a single spatial resolution but at different qualities. The data and decoded samples of lower qualities can be used to predict data or samples of higher qualities in order to reduce the bit rate to code the higher qualities.
- **Combined scalability:** a combination of the 3 scalability modalities described above.

SVC enables **forward compatibility** for older hardware: the same bitstream can be consumed by basic hardware which can only decode a low-resolution subset (i.e. 720p or 1080i), while more advanced hardware will be able to decode high quality video stream (1080p).

Background and applications [\[edit\]](#)

Bit-stream scalability for video is a desirable feature for many multimedia applications. The need for scalability arises from graceful degradation transmission requirements, or adaptation needs for spatial formats, bit rates or power. To fulfill these requirements, it is beneficial that video is simultaneously transmitted or stored with a variety of spatial or temporal resolutions or qualities which is the purpose of video bit-stream scalability.

Traditional digital video transmission and storage systems are based on H.222.0/MPEG-2 TS systems for broadcasting services over satellite, cable, and terrestrial transmission channels, and for DVD storage, or on H.320 for conversational video conferencing services. These channels are typically characterized by a fixed spatio-temporal format of the video signal (SDTV or HDTV or CIF for H.320 video telephone). The application behavior in such systems typically falls into one of the two categories: it works or it doesn't work.^[1]

Modern video transmission and storage systems using the Internet and mobile networks are typically based on RTP/IP for real-time services (conversational and streaming) and on computer file formats like mp4 or 3gp. Most RTP/IP access networks are typically characterized by a wide range of connection qualities and receiving devices. The varying connection quality results from adaptive resource sharing mechanisms of these networks addressing the time varying data throughput requirements of a varying number of users. The variety of devices with different capabilities ranging from cell phones with small screens and restricted processing power to high-end PCs with high-definition displays results from the continuous evolution of these endpoints.

Scalable video coding (SVC) is one solution to the problems posed by the characteristics of modern video transmission systems. The following video applications can benefit from SVC:

- Streaming
- Conferencing
- Surveillance
- Broadcast
- Storage

Profiles and levels [edit]

As a result of the Scalable Video Coding extension, the standard contains five additional *scalable profiles*: Scalable Baseline, Scalable High, Scalable High Intra, Scalable Constrained Baseline and Scalable Constrained High Profile. These profiles are defined as a combination of the H.264/MPEG-4 AVC profile for the base layer (2nd word in scalable profile name) and tools that achieve the scalable extension:

- **Scalable Baseline Profile:** Mainly targeted for conversational, mobile, and surveillance applications.
 - A bitstream conforming to Scalable Baseline profile contains a base layer bitstream that conforms to a restricted version of Baseline profile of H.264/MPEG-4 AVC.
 - Supports B slices, weighted prediction, CABAC entropy coding, and 8x8 luma transform in enhancement layers (CABAC and the 8x8 transform are only supported for certain levels), although the base layer has to conform to the restricted Baseline profile, which does not support these tools. Coding tools for interlaced sources are not included.
 - Spatial scalable coding is restricted to resolution ratios of 1.5 and 2 between successive spatial layers in both horizontal and vertical direction and to macroblock-aligned cropping.
 - Quality and temporal scalable coding are supported without any restriction.
- **Scalable High Profile:** Primarily designed for broadcast, streaming, storage and [videoconferencing](#) applications.
 - A bitstream conforming to Scalable High profile contains a base layer bitstream that conforms to High profile of H.264/MPEG-4 AVC.
 - Supports all tools specified in the Scalable Video Coding extension.
 - Spatial scalable coding without any restriction, i.e., arbitrary resolution ratios and cropping parameters is supported.
 - Quality and temporal scalable coding are supported without any restriction.
- **Scalable High Intra Profile:** Mainly designed for professional applications.
 - Uses Instantaneous Decoder Refresh (IDR) pictures only. IDR pictures can be decoded without reference to previous frames.
 - A bitstream conforming to Scalable High Intra profile contains a base layer bitstream that conforms to High profile of H.264/MPEG-4 AVC with only IDR pictures allowed.
 - All scalability tools are allowed as in Scalable High profile but only IDR pictures are permitted in any layer.
- **Scalable Constrained Baseline Profile**
- **Scalable Constrained High Profile**

56. The Accused Instrumentalities include a plurality of different asymmetric data compression encoders, wherein each asymmetric data compression encoder of the plurality of different asymmetric data compression encoders is configured to utilize one or more data compression algorithms, and wherein a first asymmetric data compression encoder of the plurality of different asymmetric data compression encoders is configured to compress data blocks containing video or image data at a higher data compression rate than a second asymmetric data compression encoder of the plurality of different asymmetric data compression encoders. H.264 provides for multiple different ranges of parameters (e.g., bitrate, max video bitrate, resolution parameters, etc.), each included in the “profiles” and “levels” defined by the H.264 standard. See http://www.axis.com/files/whitepaper/wp_h264_31669_en_0803_lo.pdf at 5:

4. H.264 profiles and levels

The joint group involved in defining H.264 focused on creating a simple and clean solution, limiting options and features to a minimum. An important aspect of the standard, as with other video standards, is providing the capabilities in profiles (sets of algorithmic features) and levels (performance classes) that optimally support popular productions and common formats.

H.264 has seven profiles, each targeting a specific class of applications. Each profile defines what feature set the encoder may use and limits the decoder implementation complexity.

Network cameras and video encoders will most likely use a profile called the baseline profile, which is intended primarily for applications with limited computing resources. The baseline profile is the most suitable given the available performance in a real-time encoder that is embedded in a network video product. The profile also enables low latency, which is an important requirement of surveillance video and also particularly important in enabling real-time, pan/tilt/zoom (PTZ) control in PTZ network cameras.

H.264 has 11 levels or degree of capability to limit performance, bandwidth and memory requirements. Each level defines the bit rate and the encoding rate in macroblock per second for resolutions ranging from QCIF to HDTV and beyond. The higher the resolution, the higher the level required.

57. See https://en.wikipedia.org/wiki/H.264/MPEG-4_AVC:

Levels with maximum property values

Level	Max decoding speed		Max frame size		Max video bit rate for video coding layer (VCL) kbit/s			Examples for high resolution @ highest frame rate (max stored frames) <input type="button" value="Toggle additional details"/>
	Luma samples/s	Macroblocks/s	Luma samples	Macroblocks	Baseline, Extended and Main Profiles	High Profile	High 10 Profile	
1	380,160	1,485	25,344	99	64	80	192	176x144@15.0 (4)
1b	380,160	1,485	25,344	99	128	160	384	176x144@15.0 (4)
1.1	768,000	3,000	101,376	396	192	240	576	352x288@7.5 (2)
1.2	1,536,000	6,000	101,376	396	384	480	1,152	352x288@15.2 (6)
1.3	3,041,280	11,880	101,376	396	768	960	2,304	352x288@30.0 (6)
2	3,041,280	11,880	101,376	396	2,000	2,500	6,000	352x288@30.0 (6)
2.1	5,068,800	19,800	202,752	792	4,000	5,000	12,000	352x576@25.0 (6)
2.2	5,184,000	20,250	414,720	1,620	4,000	5,000	12,000	720x576@12.5 (5)
3	10,368,000	40,500	414,720	1,620	10,000	12,500	30,000	720x576@25.0 (5)
3.1	27,648,000	108,000	921,600	3,600	14,000	17,500	42,000	1,280x720@30.0 (5)
3.2	55,296,000	216,000	1,310,720	5,120	20,000	25,000	60,000	1,280x1,024@42.2 (4)
4	62,914,560	245,760	2,097,152	8,192	20,000	25,000	60,000	2,048x1,024@30.0 (4)
4.1	62,914,560	245,760	2,097,152	8,192	50,000	62,500	150,000	2,048x1,024@30.0 (4)
4.2	133,693,440	522,240	2,228,224	8,704	50,000	62,500	150,000	2,048x1,080@60.0 (4)
5	150,994,944	589,824	5,652,480	22,080	135,000	168,750	405,000	3,672x1,536@26.7 (5)
5.1	251,658,240	983,040	9,437,184	36,864	240,000	300,000	720,000	4,096x2,304@26.7 (5)
5.2	530,841,600	2,073,600	9,437,184	36,864	240,000	300,000	720,000	4,096x2,304@56.3 (5)

58. A video data block is organized by the group of pictures (GOP) structure, which is a “collection of successive pictures within a coded video stream.” See https://en.wikipedia.org/wiki/Group_of_pictures. A GOP structure can contain intra coded pictures (I picture or I frame), predictive coded pictures (P picture or P frame),

1 bipredictive coded pictures (B picture or B frame) and direct coded pictures (D picture
2 or D frames, or DC direct coded pictures which are used only in MPEG-1 video). *See*
3 https://en.wikipedia.org/wiki/Video_compression_picture_types (for descriptions of I
4 frames, P frames and B frames); <https://en.wikipedia.org/wiki/MPEG-1#D-frames> (for
5 descriptions of D frames). Thus, at least a portion of a video data block would also
6 make up a GOP structure and could also contain I frames, P frames, B frames and/or
7 D frames. The GOP structure also reflects the size of a video data block, and the GOP
8 structure can be controlled and used to fine-tune other parameters (e.g. bitrate, max
9 video bitrate and resolution parameters) or even be considered as a parameter by itself.

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13 59. The Accused Instrumentalities include one or more processors configured
14 to: determine one or more data parameters, at least one of the determined one or more
15 data parameters relating to a throughput of a communications channel measured in
16 bits per second; and select one or more asymmetric data compression encoders from
17 among the plurality of different asymmetric data compression encoders based upon, at
18 least in part, the determined one or more data parameters. For example, based on the
19 bitrate and/or resolution parameter identified (e.g. bitrate, max video bitrate,
20 resolution, GOP structure or frame type within a GOP structure), any H.264-
21 compliant system such as the Accused Instrumentalities would determine which
22 profile (e.g., “baseline,” “extended,” “main”, or “high”) corresponds with that
23 parameter, then select between at least two asymmetric compressors. If baseline or
24 extended is the corresponding profile, then the system will select a Context-Adaptive
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1 Variable Length Coding (“CAVLC”) entropy encoder. If main or high is the
 2 corresponding profile, then the system will select a Context-Adaptive Binary
 3 Arithmetic Coding (“CABAC”) entropy encoder. Both encoders are asymmetric
 4 compressors because it takes a longer period of time for them to compress data than to
 5 decompress data. See [https://sonnati.wordpress.com/2007/10/29/how-h-264-works-](https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/)
 6 [part-ii/](https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/)
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	Baseline	Extended	Main	High	High 10
I and P Slices	Yes	Yes	Yes	Yes	Yes
B Slices	No	Yes	Yes	Yes	Yes
SI and SP Slices	No	Yes	No	No	No
Multiple Reference Frames	Yes	Yes	Yes	Yes	Yes
In-Loop Deblocking Filter	Yes	Yes	Yes	Yes	Yes
CAVLC Entropy Coding	Yes	Yes	Yes	Yes	Yes
CABAC Entropy Coding	No	No	Yes	Yes	Yes
Flexible Macroblock Ordering (FMO)	Yes	Yes	No	No	No
Arbitrary Slice Ordering (ASO)	Yes	Yes	No	No	No
Redundant Slices (RS)	Yes	Yes	No	No	No
Data Partitioning	No	Yes	No	No	No
Interlaced Coding (PicAFF, MBAFF)	No	Yes	Yes	Yes	Yes
4:2:0 Chroma Format	Yes	Yes	Yes	Yes	Yes
Monochrome Video Format (4:0:0)	No	No	No	Yes	Yes
4:2:2 Chroma Format	No	No	No	No	No
4:4:4 Chroma Format	No	No	No	No	No
8 Bit Sample Depth	Yes	Yes	Yes	Yes	Yes
9 and 10 Bit Sample Depth	No	No	No	No	Yes
11 to 14 Bit Sample Depth	No	No	No	No	No
8x8 vs. 4x4 Transform Adaptivity	No	No	No	Yes	Yes
Quantization Scaling Matrices	No	No	No	Yes	Yes
Separate Cb and Cr QP control	No	No	No	Yes	Yes
Separate Color Plane Coding	No	No	No	No	No
Predictive Lossless Coding	No	No	No	No	No

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23 See http://web.cs.ucla.edu/classes/fall03/cs218/paper/H.264_MPEG4_Tutorial.pdf at

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The following table summarizes the two major types of entropy coding: Variable Length Coding (VLC) and Context Adaptive Binary Arithmetic Coding (CABAC). CABAC offers superior coding efficiency over VLC by adapting to the changing probability distribution of symbols, by exploiting correlation between symbols, and by adaptively exploiting bit correlations using arithmetic coding. H.264 also supports Context Adaptive Variable Length Coding (CAVLC) which offers superior entropy coding over VLC without the full cost of CABAC.

H.264 Entropy Coding – Comparison of Approaches

Characteristics	Variable Length Coding (VLC)	Context Adaptive Binary Arithmetic Coding(CABAC)
• Where it is used	MPEG-2, MPEG-4 ASP	H.264/MPEG-4 AVC (high efficiency option)
• Probability distribution	Static - Probabilities never change	Adaptive - Adjusts probabilities based on actual data
• Leverages correlation between symbols	No - Conditional probabilities ignored	Yes - Exploits symbol correlations by using "contexts"
• Non-integer code words	No - Low coding efficiency for high probability symbols	Yes - Exploits "arithmetic coding" which generates non-integer code words for higher efficiency

60. Moreover, the H.264 Standard requires a bit-flag descriptor, which is set to determine the correct decoder for the corresponding encoder. As shown below, if the flag = 0, then CAVLC must have been selected as the encoder; if the flag = 1, then CABAC must have been selected as the encoder. See

entropy_coding_mode_flag selects the entropy decoding method to be applied for the syntax elements for which two descriptors appear in the syntax tables as follows:

- If **entropy_coding_mode_flag** is equal to 0, the method specified by the left descriptor in the syntax table is applied (Exp-Golomb coded, see clause 9.1 or CAVLC, see clause 9.2).
- Otherwise (**entropy_coding_mode_flag** is equal to 1), the method specified by the right descriptor in the syntax table is applied (CABAC, see clause 9.3).

https://www.itu.int/rec/dologin_pub.asp?lang=e&id=T-REC-H.264-201304-S!!PDF-E&type=items (Rec. ITU-T H.264 (04/2013)) at 80:

61. The processor in the Accused Instrumentalities decides which compression (e.g., CABAC, CAVLC, etc.) to use at a point in time based on

1 parameters, for example, e.g., current or anticipated throughput. For example, when a
2 low bandwidth is present, the Accused Instrumentalities select lower quality stream
3 using a particular compression technique. As another example, when a high
4 bandwidth is present, the Accused Instrumentalities select higher quality stream using
5 another particular compression technique. For example, the Accused
6 Instrumentalities' use of HTTP Live Streaming is directed to this selection. As another
7 example, the Accused Instrumentalities' use of different "Profiles" of H.264 is
8 directed to selecting lower quality stream using a particular compression technique
9 (e.g., CABAC or CAVLC, etc.) for lower anticipated bandwidth situations, and
10 selecting higher quality stream using a higher compression technique (e.g., CABAC
11 or CAVLC, etc.) for higher anticipated bandwidth situations.

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15 62. After its selection, the asymmetric compressor (CAVLC or CABAC) will
16 compress the video data to provide various compressed data blocks, which can be
17 organized in a GOP structure (see above). See

18 <https://sonnati.wordpress.com/2007/10/29/how-h-264-works-part-ii/>:
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20 **Entropy Coding**

21 For entropy coding, H.264 may use an enhanced VLC, a more complex context-adaptive
22 variable-length coding (CAVLC) or an ever more complex Context-adaptive binary-arithmetic
23 coding (CABAC) which are complex techniques to losslessly compress syntax elements in the
24 video stream knowing the probabilities of syntax elements in a given context. The use of
25 CABAC can improve the compression of around 5-7%. CABAC may requires a 30-40% of total
26 processing power to be accomplished.

27 63. See

28 <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.602.1581&rep=rep1&type=pdf> at 13:

1 Typical compression ratios to maintain excellent quality are:

- 2 • 10:1 for general images using JPEG
- 3 • 30:1 for general video using H.263 and MPEG-2
- 4 • 60:1 for general video using H.264 and WMV9

5 64. See http://www.ijera.com/papers/Vol3_issue4/BM34399403.pdf at

6 2:

7 Most visual communication systems today
8 use Baseline Profile. Baseline is the simplest H.264
9 profile and defines, for example, zigzag scanning of
10 the picture and using 4:2:0 (YUV video formats)
11 chrominance sampling. In Baseline Profile, the
12 picture is split in blocks consisting of 4x4 pixels,
13 and each block is processed separately. Another
14 important element of the Baseline Profile is the use
15 of Universal Variable Length Coding (UVLC) and
16 Context Adaptive Variable Length Coding
17 (CAVLC) entropy coding techniques.

18 The Extended and Main Profiles includes
19 the functionality of the Baseline Profile and add
20 improvements to the predictions algorithms. Since
21 transmitting every single frame (think 30 frames per
22 second for good quality video) is not feasible if you
23 are trying to reduce the bit rate 1000-2000 times,
24 temporal and motion prediction are heavily used in
25 H.264, and allow transmitting only the difference
26 between one frame and the previous frames. The
27 result is spectacular efficiency gain, especially for
28 scenes with little change and motion.

The High Profile is the most powerful
profile in H.264, and it allows most efficient coding
of video. For example, large coding gain achieved
through the use of Context Adaptive Binary
Arithmetic Coding (CABAC) encoding which is
more efficient than the UVLC/CAVLC used in
Baseline Profile.

The High Profile also uses adaptive
transform that decides on the fly if 4x4 or 8x8-pixel
blocks should be used. For example, 4x4 blocks are
used for the parts of the picture that are dense with
detail, while parts that have little detail are
transformed using 8x8 blocks.

65. On information and belief, Cox also directly infringes and continues to
infringe other claims of the '477 patent.

66. On information and belief, all of the Accused Instrumentalities perform
the claimed methods in substantially the same way, e.g., in the manner specified in the

1 H.264 standard.

2 67. On information and belief, use of the Accused Instrumentalities in their
3 ordinary and customary fashion results in infringement of the methods claimed by the
4 '477 patent.
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6 68. On information and belief, Cox has had knowledge of the '477 patent
7 since at least the filing of this Complaint or shortly thereafter, and on information and
8 belief, Cox knew of the '477 patent and knew of its infringement, including by way of
9 this lawsuit. By the time of trial, Cox will have known and intended (since receiving
10 such notice) that its continued actions would actively induce and contribute to the
11 infringement of the claims of the '477 patent.
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14 69. Upon information and belief, Cox's affirmative acts of making, using,
15 and selling the Accused Instrumentalities, and providing implementation services and
16 technical support to users of the Accused Instrumentalities, including, e.g., through
17 training, demonstrations, brochures, installation and user guides, have induced and
18 continue to induce users of the Accused Instrumentalities to use them in their normal
19 and customary way to infringe the '477 patent by using a system comprising: a
20 plurality of different asymmetric data compression encoders, wherein each
21 asymmetric data compression encoder of the plurality of different asymmetric data
22 compression encoders is configured to utilize one or more data compression
23 algorithms, and wherein a first asymmetric data compression encoder of the plurality
24 of different asymmetric data compression encoders is configured to compress data
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1 blocks containing video or image data at a higher data compression rate than a second
 2 asymmetric data compression encoder of the plurality of different asymmetric data
 3 compression encoders; and one or more processors configured to: determine one or
 4 more data parameters, at least one of the determined one or more data parameters
 5 relating to a throughput of a communications channel measured in bits per second;
 6 and select one or more asymmetric data compression encoders from among the
 7 plurality of different asymmetric data compression encoders based upon, at least in
 8 part, the determined one or more data parameters. For example, Cox adopted H.264
 9 as its video codec in its HD video broadcasting products/services. For example, Cox
 10 provides a list of high-definition receivers that implement H.264 decoders supporting
 11 various H.264 encoding profiles with maximum level 4.0 (“MPEG-4 (H.264) up to
 12 HP@L4.0”).
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Specification	Value
Tuning and Decoding	
Tuning	QAM 64 or 256, In-Band 54 MHz–1 GHz, A/V in display, QPSK out-of-band (OOB) 70–130 MHz, DOCSIS 91–867 MHz, MoCA 1.0–1.5 GHz
Video Decoders	Dedicated 400 MHz VLIW CPU Processor, MPEG-4 (H.264) up to HP@L4.0 (HD), VC1 AP@L2&3, MPEG-2 up to MP@HL, 1920 x 1080i 60 Hz, 1920 x 1080p 30 Hz, 1920 x 1080p 24 Hz, 1280 x 720p 60 Hz, 720 x 480p 60 Hz, 720 x 480i 60 Hz, video scaling, software controlled

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 21 See e.g., Cisco Explorer 4742HDC High-Definition Set-Top with Multi-Stream
 CableCARD Interface at 3.
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1 **COX** Shop Support Learn Search Cox.com Entire Site Sign In My Account

2 Support Home Billing & Account Support Internet Support TV Support Phone Support Homelife Support

4 Search Support

6 Cisco Explorer 4742HDC High Definition Receiver

7 Share or Print This Article



9 Details

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11 Receiver Capabilities Guide Version

12 HD Capable

15 See <https://www.cox.com/residential/support/cisco-explorer-4742hdc-high-definition-receiver.html>.

17 COX HD TV RECEIVERS

18 HD Receivers allow you to receive all your channels plus the HD versions of the channels with all our TV packages, including your local programming. Watch your favorite channel line-up in High-Definition TV and enjoy stunning picture quality for sports, nature programs and more!

19 Compatible with most Cox TV Plans, HD receivers allow you to view your channels in High Definition. Does not include the New Contour Experience with Voice Remote.



23 Contour HD Receiver

24 **\$8.50/mo.**

Select equipment during checkout

Get free HD channels with all of our TV packages, including your local programming.

- Watch your favorite shows in crisp HD
- Enjoy stunning picture quality while watching sports, nature programs and more

23 mini box

24 **\$2.99/mo. each[†]**

Select equipment during checkout

Go All Digital to continue enjoying your favorite channels. It's a mini change with massive benefits.

- Easy self-installation
- On-screen guide
- Parental controls
- HD programming for HDTV[†]

28 See <https://www.cox.com/residential/tv/tv-equipment.html>.

1 70. For similar reasons, Cox also induces its customers to use the Accused
2 Instrumentalities to infringe other claims of the '477 patent. Cox specifically
3 intended and was aware that these normal and customary activities would infringe
4 the '477 patent. Cox performed the acts that constitute induced infringement, and
5 would induce actual infringement, with the knowledge of the '477 patent and with the
6 knowledge, or willful blindness to the probability, that the induced acts would
7 constitute infringement. For example, since filing of this action, Cox knows that the
8 ordinary way of using Scalable Video Coding method of the H.264 standard—which
9 is directed to choosing different compression techniques based on current or
10 anticipated throughput—in the Accused Instrumentalities infringes the patent but
11 nevertheless continues to promote H.264 compression standard that utilizes Scalable
12 Video Coding method of the H.264 standard to its customers. The only reasonable
13 inference is that Cox specifically intends the users to infringe the patent. On
14 information and belief, Cox engaged in such inducement to promote the sales of the
15 Accused Instrumentalities. Accordingly, Cox has induced and continue to induce
16 users of the Accused Instrumentalities to use the Accused Instrumentalities in their
17 ordinary and customary way to infringe the '477 patent, knowing that such use
18 constitutes infringement of the '477 patent. Accordingly, Cox has been (as of filing
19 of the original complaint), and currently is, inducing infringement of the '477 patent,
20 in violation of 35 U.S.C. § 271(b).
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27 71. Cox has also infringed, and continues to infringe, claims of the '477
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1 patent by offering to commercially distribute, commercially distributing, making,
2 and/or importing the Accused Instrumentalities, which are used in practicing the
3 process, or using the systems, of the '477 patent, and constitute a material part of the
4 invention. Cox knows the components in the Accused Instrumentalities to be
5 especially made or especially adapted for use in infringement of the '477 patent, not a
6 staple article, and not a commodity of commerce suitable for substantial noninfringing
7 use. For example, the ordinary way of using Scalable Video Coding method of the
8 H.264 standard—which is directed to choosing different compression techniques
9 based on current or anticipated throughput—infringes the patent, and as such, is
10 especially adapted for use in infringement. Moreover, there is no substantial
11 noninfringing use, as Scalable Video Coding method of the H.264 standard is directed
12 to choosing different compression techniques based on current or anticipated
13 throughput. Accordingly, Cox has been (as of filing of the original complaint), and
14 currently is, contributorily infringing the '477 patent, in violation of 35 U.S.C. §
15 271(c).
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21 72. By making, using, offering for sale, selling and/or importing into the
22 United States the Accused Instrumentalities, and touting the benefits of using the
23 Accused Instrumentalities' compression features, Cox has injured Realtime and is
24 liable to Realtime for infringement of the '477 patent pursuant to 35 U.S.C. § 271.
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26 73. As a result of Cox's infringement of the '477 patent, Plaintiff Realtime is
27 entitled to monetary damages in an amount adequate to compensate for Cox's
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1 infringement, but in no event less than a reasonable royalty for the use made of the
2 invention by Cox, together with interest and costs as fixed by the Court.

3
4 **PRAYER FOR RELIEF**

5 WHEREFORE, Plaintiff Realtime respectfully requests that this Court enter:

- 6 a. A judgment in favor of Plaintiff that Cox has infringed, literally and/or
7 under the doctrine of equivalents the '046, '535, and '477 patents (the
8 "asserted patents");
9
10 b. A judgment and order requiring Cox to pay Plaintiff its damages, costs,
11 expenses, and prejudgment and post-judgment interest for its
12 infringement of the asserted patents, as provided under 35 U.S.C. § 284;
13
14 c. A judgment and order requiring Cox to provide an accounting and to pay
15 supplemental damages to Realtime, including without limitation,
16 prejudgment and post-judgment interest;
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18 d. A permanent injunction prohibiting Cox from further acts of
19 infringement of the asserted patents;
20
21 e. A judgment and order finding that this is an exceptional case within the
22 meaning of 35 U.S.C. § 285 and awarding to Plaintiff its reasonable
23 attorneys' fees against Cox; and
24
25 f. Any and all other relief as the Court may deem appropriate and just under
26 the circumstances.
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DEMAND FOR JURY TRIAL

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2 Plaintiff, under Rule 38 of the Federal Rules of Civil Procedure, requests a trial
3 by jury of any issues so triable by right.
4

5
6
7 Dated: May 31, 2018

Respectfully Submitted,

/s/ Marc A. Fenster _____

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