1. 2 3 4 5 6 7 a	John Karl Buche (SBN 239477) Sean M. Sullivan (SBN 254372) BUCHE & ASSOCIATES, P.C. 875 Prospect, Suite 305 La Jolla, California 92037 Telephone: 858.459.9111 Facsimile: 858.459.9120 jbuche@buchelaw.com ssullivan@buchelaw.com Attorneys for Plaintiffs C-SAW ENTERPRISES L.L.C., d/b/a SPARE SO	O9 OCT 20 AM 10: 20  SCLERK, U.S. DISTRICT COURT SOUTHERN DISTRICT OF CALIFORNIA  BY:  DEPUTY						
8	UNITED STATES DISTRICT COURT							
9   10	FOR THE SOUTHERN DISTRICT OF CALIFORNIA							
10		) '09 CV 2 32 6 LAB = AJB						
12		) Civil Case No.						
13	C CAN ENGED PRIORES & A G 410	) COMPLAINT FOR DECLARATORY						
14	C-SAW ENTERPRISES L.L.C., a California limited liability company, d/b/a SPARE SOLES,	) *JUDGMENT UNDER 28 U.S.C. §§ 2201 & ) 2202 OF:						
15	Plaintiffs,	) (1) NON-INFRINGEMENT OF ) PATENT RIGHTS;						
16	v.	) (2) NON-INFRINGEMENT OF TRADEMARK RIGHTS UNDER 15						
17	FUNK-TIONAL ENTERPRISES, LLC, a New	<ul><li>U.S.C. §§ 1125 OR 1114; AND</li><li>(3) NO STATUTORY &amp; COMMON</li></ul>						
18	York limited liability company, d/b/a	) LAW UNFAIR COMPETITION						
19	CITISOLES, and KATELIN SHEA, an individual, and SUSAN LEVITT, an individual,	<ul><li>AND CLAIMS FOR UNFAIR</li><li>COMPETITION.</li></ul>						
20	Defendants.	) ) JURY TRIAL DEMANDED						
21		,						
22								
23	COMES NOW Plaintiff C-Saw Enterprises, L.L.C. d/b/a Spare Soles, by and through its							
24	counsel, and who alleges as and for its Complaint against Defendant as follows:							
25	NATURE OF THE ACTION							
26	This is an action for declaratory judgment pursuant to 28 U.S.C. §§ 2201 and 2202 that plaintiff C-Saw Enterprises, LLC d/b/a Spare Soles (a) is not infringing any valid and enforceable United States							
27								
28								
	-1	[ <b>-</b>						

COMPLAINT

Q

Patent held by any of defendants; (b) is not infringing any valid and enforceable trademark rights held by any of defendants; (c) is not infringing any valid and enforceable copyright rights held by any of defendants, and (d) and is not committing acts amounting to unfair competition under the laws of either California or New York. This action also contains pendent state causes of action for statutory and common law unfair competition.

# THE PARTIES

- 1. Plaintiff, C-Saw Enterprises, LLC ("C-Saw"), is a California limited liability company, who does business as "Spare Soles," (hereinafter "Spare Soles" or "Plaintiff").
- 2. Defendant Funk-Tional, Enterprises, LLC, ("FTE") is a limited liability company organized and existing on or about June 3, 2009, under the laws of the State of New York. On information and belief, FTE does not have a registered agent for service of process in the State of California. On information and belief, FTE maintains its principal place of business in the State of New York and service of process on FTE may be made by serving its registered agent, Katelin Shea at 453 East 14<sup>th</sup> Street, #5H, New York, NY 10009.
- 3. On information and belief, defendant Katelin Shea (hereinafter, "Shea") is an individual, who is a resident of the State of New York, and who may be served with process at 453 East 14<sup>th</sup> Street, #5H, New York, NY 10009, or wherever else she may be located.
- 4. On information and belief, defendant Susan Levitt (hereinafter, "Levitt." Collectively with Shea and FTE, "Defendants") is an individual who is a resident of the State of Connecticut, and who may be served with process at 2 Westminster Road, Danbury, CT 06811-3429, or wherever else she may be located.

# **JURISDICTION & VENUE**

- 5. This Court has subject matter jurisdiction over this lawsuit under 28 U.S.C. §§ 1338, 2201 & 2202, and the suit arises under the Lanham Act, 15 U.S.C. § 1125, et seq., and the United States patent laws, 35 U.S.C. § 1, et seq., and the United States Copyright Act, Title 17 of the United States Code.
- 6. By asserting infringement of certain rights, defendants have created an actual and justiciable case and controversy between themselves and plaintiff, namely concerning whether Spare

Soles infringes any protectable rights, including assertions of patent rights, trademark rights, and copyrights.

- 7. This is, in part, an action for declaratory judgment under the Federal Declaratory Judgment Act, 28 U.S.C. §§ 2201 and 2202. Among other things, plaintiff Spare Soles seeks a declaratory judgment that Defendants do not have any valid and enforceable patent rights, and to the extent they do, that plaintiff does not infringe such rights. Moreover, plaintiff seeks a judgment that it is not infringing any valid and enforceable trademark rights or copyrights owned by defendants.
- 8. This Court has personal jurisdiction over defendants FTE, Levitt, and Shea, since each of said defendants purposefully availed themselves of such jurisdiction by sending a cease-and-desist letter within this district, wherein defendants threatened litigation. Defendant Shea telephoned Plaintiff personally and threatened Plaintiff with litigation and falsely accused Plaintiff of infringing various and sundry intellectual property rights. Upon information and belief, this Court also has personal jurisdiction over FTE, Levitt, and Shea since each defendant has minimum contacts with the State of California and/or has otherwise availed themselves of the jurisdiction of this Court. Upon information and belief, defendant FTE is undercapitalized and is being used for an improper purpose and is the alter-ego of defendants Shea and Levitt.
- 9. Venue is proper in this District under 28 U.S.C. §1391(b)(2) because a substantial part of the events or omissions giving rise to this claim occurred in this District and because there is personal jurisdiction over defendant corporation under 28 U.S.C. §1391(c).

#### **FACTS**

- 10. Spare Soles repeats and realleges each and every allegation in the foregoing paragraphs as though fully set forth herein.
- 11. Plaintiff entity C-Saw was formed and began conducting business in or about June 2003. C-Saw registered to do business as Spare Soles in 2007.
- 12. Among other things, Spare Soles has sold a variety of shoes for women since commencing its operations. For example, Spare Soles' products have included ballet-type slippers designed to be worn by women whose aching feet need a break following a long day in high heels.
  - 13. The Spare Soles shoes are attractive to women because the shoes come packaged in a

small pouch. Spare Sole shoes typically have a small pouch that is opened to reveal the ballet slipperstyle shoes folded inside.

- 14. In fact, Spare Soles filed an application for a utility patent relating to its unique style of shoes, U.S. Patent Application Ser. No. 11/429,596 (filed on May 2, 2006) (hereinafter, "the '596 application"). The '596 application is currently still pending while it undergoes prosecution before the U.S. Patent & Trademark Office ("PTO").
- 15. In July 2009, Spare Soles also filed for design patent protection for a new ballet slipper shoe design. Spare Soles filed U.S. Design Patent No. 29/339,557 (filed on Jul. 2, 2009), which likewise is still pending (hereinafter, "the '557 application") before the PTO.
- 16. To market its products, among other things, Spare Soles maintains a website (http://www.sparesoles.com) (See Exhibit 1).
- 17. As advertised on its website, Spare Soles' current product line features such styles as the "Spare Soles Originals" collection, the "Spare Soles Diamond" collection, and the "Spare Soles Metro" collection. (See Exhibit 2). Spare Soles offers each of these shoes collections in various sizes and colors.
- 18. In addition to its pending patent applications, Spare Soles owns trademark registration no. 3,351,507, for the mark "SPARE SOLES STYLISH COMFORT FOR YOUR FEET" in class 025 for shoes. Spare Soles filed this application in March 2007, and the registration issued in December 2007. (See Exhibit 3).
- 19. Recently, Spare Soles became aware that defendants were selling a shoe and pouch design similar to its own.
- 20. Spare Soles became aware of Defendants' activities when Defendants' representative recently contacted Spare Soles by telephone to demand Spare Soles discontinue selling its shoes.
- 21. Soon thereafter counsel for Defendants sent a letter to Spare Soles, dated October 12, 2009, asserting that Spare Soles was violating defendants' various rights in the shoes. (See Exhibit 4).
- 22. Specifically, counsel for Defendants alleged that Spare Soles infringed Defendants' rights in the trademark for "Citisoles." Id at p. 1, ¶ 1.

- 23. Defendants went on to allege that Spare Soles "misappropriated all aspects" of the shoes and that Spare Soles "sought to usurp Funk-Tional's business through the theft related to its intellectual property." <u>Id</u> at p. 1, ¶ 3.
- 24. In their October 12<sup>th</sup> letter, Defendants further alleged that Spare Soles' actions constituted, "a violation of, *inter alia*, Funk-Tional's trademark, trade dress, copyright rights, and prospective patent rights." Id. at p. 2, ¶ 2 (italics in original).
- 25. After alleging further wrongful conduct, Defendants concluded their letter by saying that if they did not receive confirmation that Spare Soles would comply with all demands contained therein, Defendants would "without further notice to you, institute appropriate legal proceedings against all the parties involved in the chain of infringement."  $\underline{Id}$  at p. 3, ¶ 2.
- 26. Spare Soles is unaware whether Defendants have any pending patent applications or issued patents. Spare Soles asserts that Defendants do not have actual patent rights, but have merely threatened rights to unfairly compete and control the market and dissuade otherwise fair competition.
- 27. Spare Soles is informed and believes and on that basis alleges that defendants have applied for a trademark for "Citisoles," in conjunction with "shoe bags for travel," in international class 018, on or about February 27, 2009. (See Exhibit 5). As of the date of this filing, it appears that the application remains unregistered and an office action is still outstanding and unanswered. Id. Thus, Spare Soles asserts that Defendants have threatened nonexistent trademarks rights to unfairly compete and control the market and dissuade otherwise fair competition.

## Count 1

(Declaration of Non-Infringement of Trademark Rights Under the Lanham Act)

- 28. Spare Soles repeats and realleges each and every allegation in the foregoing paragraphs as though fully set forth herein.
- 29. Spare Soles has not infringed and is not now infringing, either directly, contributorily, or through inducement, any trademark rights defendants may have.
- 30. As a result of Defendants' actions and statements, including the sending of a ceaseand-desist letter, an actual controversy now exists between the parties regarding the alleged infringement of various rights, including the asserted mark "Citisoles."

- 31. Spare Soles owns a federal trademark registration which predates defendants' formation date and application for its mark. Moreover, Spare Soles has never used the mark "Citisoles" or confusing versions thereof.
- 32. Spare Soles is entitled to a declaration that it does not infringe any rights defendants may or may not have, whether under 15 USC § 1125(a), § 1114, the common law, or applicable authority.

# Count 2

(Declaratory Judgment of Noninfringement of Patent Rights)

- 33. Spare Soles repeats and realleges each and every allegation in the foregoing paragraphs as though fully set forth herein.
- 34. Defendants have alleged that they are the owner of all right, title and interest to the "prospective patent rights" in the shoes and that Spare Soles is prohibited from continuing to sells its own shoes.
- 35. Spare Soles has not infringed and is not now infringing, either directly, contributorily, or through inducement, any valid and enforceable patent right(s) defendants may hold.
- 36. Due to defendants' actions and statements, including the sending of a cease-and-desist letter, an actual controversy now exists between the parties regarding the alleged infringement of defendants' asserted "prospective patent rights."
- 37. Defendants failed to substantiate their allegations of infringement with any evidence of issued and valid U.S. patents, or even pending applications.
- 38. Spare Soles is entitled to a declaration that it does not infringe any valid and enforceable patent rights owned by Defendants.

#### Count 3

(Declaration of Non-Infringement of Copyrights, 17 U.S.C. § 101, et seq.)

39. Spare Soles repeats and realleges each and every allegation in the foregoing paragraphs as though fully set forth herein.

- 40. In its October 12<sup>th</sup> letter, Defendants allege that plaintiff Spare Soles' marketing efforts constitute "nearly identical" works to those used by defendants. Defendants further assert that Spare Soles' acts constitute violation of Defendants' copyright rights.
  - 41. Spare Soles' has not infringed any copyright rights, if any, held by Defendants.
- 42. Due to defendants' actions and statements, including the sending of a cease-and-desist letter, an actual controversy now exists between the parties regarding the alleged infringement by defendants' asserted "copyright rights."
- 43. Spare Soles is entitled to a declaration that it does not infringe any of valid and enforceable copyrights rights owned by defendants.

#### Count 4

(Declaration of No Acts by Plaintiff Constituting Unfair Competition)

- 44. Spare Soles repeats and realleges each and every allegation in the foregoing paragraphs as though fully set forth herein.
- 45. In its October 12<sup>th</sup> letter, defendants allege that they possess "proof of actual incidents of confusion arising out of C-Saw's misconduct," and that these actions evidence "an attempt to misappropriate and profit from the skill and efforts of Funk-Tional," and "give rise to state law unfair competition claims under both California and New York law."
- 46. Spare Soles denies it has acted in any way improperly in its dealings with defendants, or has otherwise acted in a way which would constitute unfair competition under the laws of either California or New York.
- 47. Due to defendants' actions and statements, including the sending of a cease-and-desist letter, an actual controversy now exists between the parties regarding the alleged infringement by defendants' asserted "copyright rights."
- 48. Spare Soles is entitled to a declaration that its acts do not constitute unfair competition under the laws of either California or New York.

### Count 5

(Statutory Unfair Competition – Cal. Bus. & Prof. C. 17200, et seq.)

- 49. Spare Soles repeats and realleges each and every allegation in the foregoing paragraphs as though fully set forth herein.
- 50. Spare Soles is informed and believes and on that basis alleges that Defendants have no enforceable patent rights, yet are attempting to unfairly interfere with Spare Soles' right to conduct business and fairly compete by making frivolous allegations of infringement.
- 51. Likewise, Spare Soles is informed and believes and on that basis alleges that Defendants' allegations of trademark infringement, copyright infringement, and unfair competition were made in order to unfairly interfere with Spare Soles' right to fairly compete in the marketplace.
- 52. Defendants, and each of their, acts, as described above, constitute unlawful, unfair or fraudulent business acts or practices in violation of the statutory laws of the State of California, namely, the Unfair Practices Act, California Business and Professions Code, Sections 17200, et seq.

# Count 6

(Common Law Unfair Competition)

- 53. Spare Soles repeats and realleges each and every allegation in the foregoing paragraphs as though fully set forth herein.
- 54. Defendants, and each of their, acts, as described above, constitute unlawful, unfair or fraudulent business act or practices in violation of the common law of the State of California.

#### **Damages**

- 55. Spare Soles repeats and realleges each and every allegation in the foregoing paragraphs as though fully set forth herein.
- 56. As a direct and proximate result of Defendants' conduct, Spare Soles has suffered the actual damages and enhanced damages for unfair competition, according to proof at trial.

//

- //

# **Attorney Fees**

This is an exceptional case, and Spare Soles is entitled to an award of attorney fees under 15 U.S.C. §1117(a).

# Jury Demand

Spare Soles hereby demands a trial by jury on all issues that may be heard by a jury.

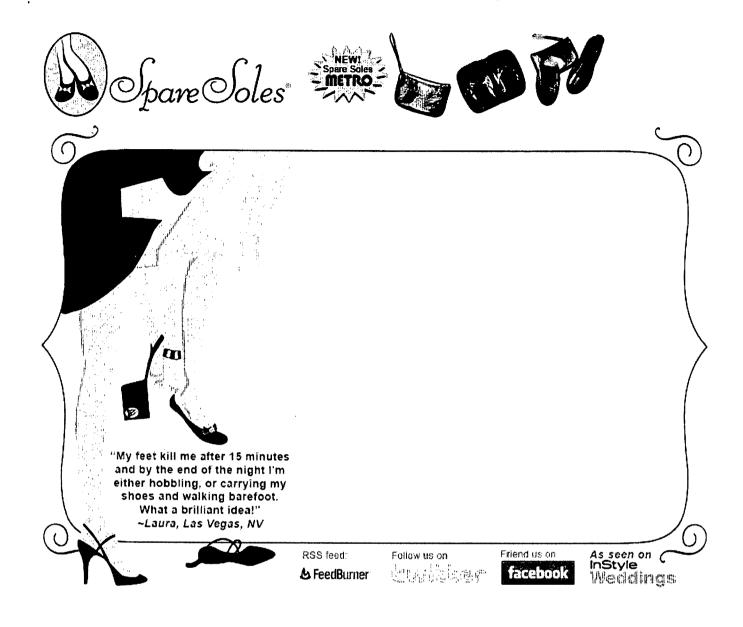
# **Prayer for Relief**

For the foregoing reasons, plaintiff Spare Soles asks for judgment in its favor and against defendants Funk-Tional Enterprises, LLC, Katelin Shea, and Susan Levitt, and each of them, that:

- a. Plaintiff's acts do not amount to infringement of any rights held by defendants under the U.S. Patent laws, Title 35 of the United States Code;
- b. Plaintiff's acts do not amount to infringement of any rights held by defendants under the Lanham Act, Title 15 of the United States Code;
- c. Plaintiff's acts do not amount to infringement of any rights held by defendants under the Copyright Act, Title 17 of the United States Code;
- d. Plaintiff's acts do not amount to infringement unfair competition, whether under the laws of California, New York, or any other applicable jurisdiction;
- e. Defendants', and each of their, acts amount to unfair competition, whether under the statutory or common laws of the United States and the State of California;
- f. This case is exceptional under 35 U.S.C. § 285 and that Spare Soles be awarded its attorneys' fees, costs and expenses incurred in this action as provided by that statute;
- h. Defendants', and each of their, acts otherwise amount to behavior justifying an award of reasonable attorney fees in Spare Soles' favor;
- i. Defendants', and each of their, acts amount to behavior justifying an award of punitive/exemplary damages;
  - j. Spare Soles be awarded costs of court;

- 10 -

**EXHIBIT 1** 



© 2008 C-SAW Enterprises LLC, All rights reserved. "Spare Soles" is a registered trademark of C-SAW Enterprises LLC. PO Box 230221, Encinitas, CA 92023

Home Products Online Store About Us Events Press Retail Stores Contact Us FAQ

Something Your Feet Won't Regret In The Morning.











For these nights when your feet have had amongh, but you haven't...

## View Product Gallery



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Int. Cl.: 25

Prior U.S. Cls.: 22 and 39

Reg. No. 3,351,507

# United States Patent and Trademark Office

Registered Dec. 11, 2007

# TRADEMARK PRINCIPAL REGISTER



Stylish Comfort for Your Feet

WEISNER, ANDREA PADILLA (UNITED STATES INDIVIDUAL) C/O E. HANSCOM, 7395 PORTAGE WAY CARLSBAD, CA 92011

FOR: SHOES, IN CLASS 25 (U.S. CLS. 22 AND 39).

FIRST USE 3-1-2007; IN COMMERCE 3-1-2007.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE SOLES, APART FROM THE MARK AS SHOWN.

THE COLOR(S) PEACH, LAVENDER, WHITE, AND BLACK IS/ARE CLAIMED AS A FEATURE OF THE MARK.

THE MARK CONSISTS OF AN OVAL IN WHICH APPEARS A PAIR OF FEET IN SHOES DECORATED WITH BOWS ABOVE THE STYLIZED WORDS SPARE SOLES; THE STYLIZED WORDS STYLISH COMFORT FOR YOUR FEET APPEARS BELOW SPARE SOULS; THE COLOR PEACH APPEARS ON THE FEET; THE COLOR LAVENDER APPEARS IN THE BACKGROUND OF THE OVAL; THE COLOR WHITE APPEARS ON THE BOWS; THE COLOR BLACK APPEARS IN THE OUTLINE OF THE OVAL, ON THE SHOES, AND IN THE TEXT

SER. NO. 77-139,649, FILED 3-25-2007.

DAVID TOOLEY, EXAMINING ATTORNEY

New York | Los Angeles

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Direct Dial: Direct Fax:

(212) 326-0244 (212) 798-6338

Eniall:

mgoldberg@pryorcashman.com

#### FACSIMILE COVER LETTER

USER: 8751 DATE:

October 13, 2009

CLIENT/MATTER:

15808.00001

NAME:

Andrea Padilla Weisner

FIRM:

C-Saw Enterprises

CITY, STATE: FACSIMILE NO.: Encinitas, CA (858) 923-2023

PHONE NO.:

FROM:

Michael G. Goldberg, Esq.

TOTAL PAGES:

If you do not receive all the pages, please contact us at 212-326-0187 or our receptionist at 212-421-4100

The original of the transmitted documents will be sent by First Class Mail. This will be the only form of delivery of the transmitted document.

#### MESSAGE

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Michael G. Goldberg, Esq.

Direct Fax: (212) 326-0244 Direct Fax: (212) 798-6338 mguldberg@pryorcushman.com

October 12, 2009

Via Facsimile and Regular Mail C-Saw Enterprises P.O. Box 230221 Encinitas, CA 92023

Aun: Andrea Padilla Weisner

Re: Claims against C-Saw Enterprises ("C-Saw")

Dear Mrs. Weisner.

We are intellectual property counsel for Funk-tional Enterprises, LLC ("Funk-tional"). As you are aware, our client sells, *Inter alia*, foldable and portable ballet flats with a durable sole in an expandable pouch (the "Product"). The pouch is specifically designed to accommodate both the flats and the high heeled shoes for which the flats are intended as a substitute. The Product is offered for sale and sold under the "CitiSoles" trademark (the "Mark"). As you are also aware, Funk-tional has filed for US patent protection in connection with the relevant and protectable aspects of the Product.

It has come to our attention that C-Saw, which until recently sold only rolled, soft soled shoes in a small pouch, has now reproduced the Product. Specifically, C-Saw has begun offering for sale foldable ballet flats with durable soles in a convenient carrying case or pouch which also expands to store the dress shoes for which the flats are intended as substitutes. The C-Saw product looks identical to Funk-tional's Product and infringes our client's rights, which we intend to vigorously defend.

Having misappropriated all aspects of the Product, C-Saw then sought to usurp Funktional's business through the theft of its related intellectual property. As part of this orchestrated effort to confuse Funk-tional's customers, C-Saw deliberately selected the name "Spare Soles Metro" for its new product. This name is clearly intended to cause confusion with Funk-tional's Product through the combination of "soles" with an urban description ("Metro" and "Citi"). In addition, within the last two weeks C-Saw changed its advertising, and "coincidentally" now markets its infringing product against a background of a purple city skyline that is nearly identical to the purple city skyline employed for many months by Funk-tional. C-Saw's advertising materials now also duplicate the three step depiction of the Product's manner of intended use which has always been prominently depicted in Funk-tional's marketing materials.

# PRYOR CASHMAN LLP

C Saw Enterprises October 13, 2009 Page 2

Moreover, in an effort to suggest to the consuming public that C-Saw was in fact the creator of the Product, C-Saw has improperly affixed a "patent pending" mark to its infringing product. If in fact there is no patent pending on this product, the Patent Act proscribes monetary and other penalties for such deliberate mislabeling. In addition, this improper statement also constitutes a deceptive misrepresentation under Section 43(a) of the Lanham Act.

The nature, extent and timing of this copying confirms C-Saw's concerted effort to confuse consumers, and constitutes a violation of, inter alia, Funk-tional's trademark, trade dress, copyright rights and prospective patent rights. Indeed, we have proof of actual incidents of confusion arising out of C-Saw's misconduct. These actions, demonstrating an attempt to misappropriate and profit from the skill and efforts of Funk-tional, also give rise to state law unfair competition claims under both California and New York law.

We take these acts and the blatant violation of Funk-tional's intellectual property rights, which have caused significant and irreparable harm, very seriously. Please be advised that any further attempt by you to offer for sale, sell or deliver product contained in or associated with the offending materials following receipt of this letter will further demonstrate C-Saw's willful infringement. Proceed at your peril.

Given the aforementioned facts, which offer compelling evidence of your willful infringement, we further demand that C-Saw confirm to this office, in a writing signed and verified by an officer of the company, that it will immediately cease and desist from the infringing activity and advise us as to:

- (a) The number of units of infringing product manufactured by or for you;
- (b) The quantity of units sold by you, the prices at which the units were sold, and the name of every customer who purchased such units;
- (c) The quantity of infringing products presently on hand;
- (d) The open orders for said products which have been placed by you and the name of each party with whom such orders have been placed:
- (e) The source(s) from which you initially acquired the infringing product; and
- (1) The source(s) where the products were manufactured.

C-Saw should be aware that several statutory provisions, including Section 504 of the Copyright Act, authorize Courts to assess statutory damages in an amount up to \$150,000 for

LLP

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P.004/004

# PRYOR CASHMAN LLP

From-Pryor Cashman LLP.

C-Saw Enterprises October 13, 2009 Page 3

Oct-13-09 - 08:06pm

each infringement (or actual damages if greater). Moreover, pursuant to these same statutes, Funk-tional's attorneys' fees and costs in any such action are likely to be recoverable.

Please confirm to us by no later than October 20, 2009 that you will comply with this demand. Failing to hear from you by this deadline, we will assume that you intend to continue your infringing activity and have no desire to amicably resolve this dispute. In that case, Funktional shall, without further notice to you, institute appropriate legal proceedings against all of the parties involved in the chain of infringement. In this event, we may also move for a preliminary and permanent injunction prohibiting the marketing and sale of the infringing product by you, by televised home shopping networks and by other retailers. We will also seek all damages permitted by law including, but not limited to, monetary damages, statutory damages and attorneys' fees under the Lanham Act, Copyright Act, NY General Business Law and damages available under other applicable state common law and through the United States Customs Service.

The foregoing is without prejudice to the other rights and remedies available to Funktional, at law and in equity, all of which are hereby expressly reserved.

Michael G. Goldberg

cc: Jennifer D. Silverman, Esq. Funk-tional Enterprises, LLC Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2009-10-19 18:14:26 ET

Serial Number: 77680394 Assignment Information

Trademark Document Retrieval

Registration Number: (NOT AVAILABLE)

Mark

# **CITISOLES**

(words only): CITISOLES

Standard Character claim: Yes

**Current Status:** A non-final action has been mailed. This is a letter from the examining attorney requesting additional information and/or making an initial refusal. However, no final determination as to the registrability of the mark has been made.

Date of Status: 2009-09-01

Filing Date: 2009-02-27

Filed as TEAS Plus Application: Yes

**Currently TEAS Plus Application:** Yes

Transformed into a National Application: No

**Registration Date:** (DATE NOT AVAILABLE)

Register: Principal

Law Office Assigned: LAW OFFICE 113

Attorney Assigned: HESIK APRIL ANNE

Current Location: M4X -TMO Law Office 113 - Examining Attorney Assigned

Date In Location: 2009-09-01

## 1. Levitt, Susan

#### Address:

Levitt, Susan 2 Westminster Road Danbury, CT 06811 United States

Legal Entity Type: Individual

**Country of Citizenship:** United States **Phone Number:** 203-313-3074

2. Shea, Katelin

#### Address:

Shea, Katelin 51 Shrub Hollow Road Roslyn, NY 11576 United States

Legal Entity Type: Individual

Country of Citizenship: United States

**Phone Number:** 516-633-6385

#### **GOODS AND/OR SERVICES**

International Class: 018 Class Status: Active Shoe bags for travel

Basis: 1(b)

First Use Date: 2009-06-15

First Use in Commerce Date: 2009-07-06

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2009-09-01 - Notification Of Disapproval - Amendment To Use E-Mailed

2009-09-01 - DISAPPROVAL - AMENDMENT TO USE E-MAILED

- 2009-09-01 AMENDMENT OF ALLEGED USE DISAPPROVED
- 2009-09-01 Non-Final Action Written
- 2009-08-26 Amendment to use processing complete
- 2009-08-26 Amendment to Use filed
- 2009-08-25 TEAS Amendment of Use Received
- 2009-05-20 Notification Of Non-Final Action E-Mailed
- 2009-05-20 Non-final action e-mailed
- 2009-05-20 Non-Final Action Written
- 2009-05-20 Assigned To Examiner
- 2009-03-10 TEAS Amendment Entered Before Attorney Assigned
- 2009-03-10 TEAS Preliminary Amendment Received
- 2009-03-04 Notice Of Pseudo Mark Mailed
- 2009-03-03 New Application Office Supplied Data Entered In Tram
- 2009-03-03 New Application Entered In Tram

# ATTORNEY/CORRESPONDENT INFORMATION

# Correspondent

LEVITT, SUSAN 2 WESTMINSTER RD DANBURY, CT 06811-3429 Phone Number: 203-313-3074 I. (a) PLAINTIFFS

# **CIVIL COVER SHEET**

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

**DEFENDANTS** 

C-SAW ENTERPRISES	S L.L.C., d/b/a SPARE SOLES	Funk-Tional En	Funk-Tional Enterprises, LLC; Katelia Shea; Susan Levitt 9				
	of First Listed Plaintiff San Diego  EXCEPT IN U.S. PLAINTIFF CASES)			PNDYSTRICT OF CALIFORNIA			
•		1	D CONDEMNATION CASES, US DNYOLVED				
	e, Address, and Telephone Number)		V232 6.LA	3 AJDDEPUTY			
8 <u>58.459.9111</u>	C, 875 Prospect, Suite 305, La Jolla, C	A	•				
II. BASIS OF JURISI	DICTION (Place an "X" in One Box Only)	III. CITIZENSHIP OF P	PRINCIPAL PARTIES	(Place an "X" in One Box for Plaintiff			
□ 1 U.S. Government Plaintiff	M 3 Federal Question (U.S. Government Not a Party)	P	TF DEF I Incorporated or Pri of Business In This				
O 2 U.S. Government Defendant	O 4 Diversity	Citizen of Another State	2 Incorporated and P				
	(Indicate Citizenship of Parties in Item III)		3 Foreign Nation				
IV. NATURE OF SUI	T (Place an "X" in One Box Only)	Foreign Country		<del></del>			
	PERSONAL MARKETORTS & PRINCIPAL MARKET P		BANKRUPKCY	ESSAUOTHERISTATUTES AND THE			
110 Insurance   120 Marine   130 Miller Act   130 Miller Act   140 Negotiable Instrument   150 Recovery of Overpayment & Enforcement of Judgment   151 Medicare Act   152 Recovery of Defaulted Student Loams (Excl. Veterans)   153 Recovery of Overpayment of Veteran's Benefits   160 Stockholders' Suits   190 Other Contract   195 Contract Product Liability   196 Franchise   196 Franchise   1210 Land Condemnation   220 Foreclosure   230 Rent Lease & Ejectment   240 Torts to Land   245 Tort Product Liability   290 All Other Real Property	Slander	610 Agriculture   620 Other Food & Drug   625 Drug Related Scizure of Property 21 USC 881   630 Liquor Laws   640 R.R. & Truck   650 Airline Regs.   660 Occupational Safety/Health   690 Other   690 Other Labor Act   690 Other Labor Litigation   691 Other Labor Litigation   691 Other Labor Litigation   691 Other Labor Litigation   692 Other Labor Litigation   693 Other Labor Litigatio	422 Appeal 28 USC 158     423 Withdrawal 28 USC 157     426 USC 157     820 Copyrights     830 Patent     840 Trademark     861 HIA (1395ff)     862 Black Lung (923)     863 DIWC/DIWW (405(g))     864 SSID Title XVI     856 RSI (405(g))     870 Taxes (U.S. Plaintiff or Defendant)     871 IRS—Third Party 26 USC 7609	400 State Reapportionment   410 Antitrust   430 Banks and Banking   450 Commerce   460 Deportation   470 Racketeer Influenced and Corrupt Organizations   480 Consumer Credit   490 Cable/Sat TV   810 Selective Service   850 Securities/Commodities/Exchange   875 Customer Challenge   12 USC 3410   890 Other Statutory Actions   891 Agricultural Acts			
V. ORIGIN  (Place an "X" in One Box Only)  St 1 Original Proceeding  State Court  Proceeding  Proceeding  (Place an "X" in One Box Only)  Appeal to District  Appeal to District  Fransferred from another district (specify)  Appeal to District  Judge from Aggistrate  Judgment							
VI. CAUSE OF ACTION  Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): US Patent Laws, 35 U.S.C. Sec. 101, et seq.; Decl. Judgment Act - 28 U.S.C. Sections 2201 & 2202  Brief description of cause: Declaratory Judgment for Noninfringement							
VII. REQUESTED IN COMPLAINT:		<del></del>	CHECK YES only JURY DEMAND:	if demanded in complaint:			
VIII. RELATED CAS IF ANY	(See instructions): JUDGE		DOCKET NUMBER				
DATE SIGNATURE OF ATTORNEY OF RECORD							
FOR OFFICE USE ONLY							
RECEIPT # 6454	AMOUNT 36 APPLYING IFP	TUDGE_	MAG. JUI	DGE			
10/20/09 p							

ORIGINAL

Court Name: USDC California Southern

Division: 3

Receipt Number: CASO06454 Cashier ID: sramirez

Transaction Date: 10/20/2009
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CIVIL FILING FEE

For: CSAW ENTERP. V. FUNK TIONAL Case/Party: D-CAS-3-09-CV-002326-001

Amount: \$350.00

CHECK

Check/Money Order Num: 254942

Amt Tendered: \$350.00

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