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CLERK US DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

BY RM DEPUTY

Attorneys for Plaintiff

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

**OAKLEY, INC.**, a Washington  
corporation,

Plaintiff,

vs.

**HALO SPORTS & SAFETY, INC.**,  
a New York corporation

Defendant.

Case No.:

**07CV 0087**

**WQH WMc**

COMPLAINT FOR PATENT  
INFRINGEMENT, AND TRADE  
DRESS INFRINGEMENT

JURY TRIAL

Plaintiff Oakley, Inc. (hereinafter referred to as "Oakley") hereby complains of Defendant Halo Sports & Safety, Inc. (hereinafter referred to as "Halo Sports"), referred to as "Defendant" and alleges as follows:

**JURISDICTION AND VENUE**

1. Jurisdiction over this action is founded upon 15 U.S.C. §§ 1121, and 28 U.S.C. §§ 1331 and 1338. Venue is proper under 28 U.S.C. §§ 1391(b) and (c), this claim having arisen and Defendant doing business in this district. Defendant sold infringing products in this district and directed sales and marketing efforts toward this district, including the allegedly infringing products, and is putting products on sale in the stream of commerce knowing that such products are or will be sold by partners or distributors or retailers in this judicial district.

## THE PARTIES

2. Plaintiff Oakley is a corporation organized and existing under the laws of the State of Washington, having its principal place of business at One Icon, Foothill Ranch, California 92610 and doing business within this judicial district.

3. Oakley is informed and believes, and thereupon alleges that Defendant, Halo Sports & Safety, Inc. is a corporation organized and existing under the laws of the State of New York, having its principal place of business at 9 Phair Street, Gloversville, NY 12078 and doing business within this judicial district.

## FACTUAL BACKGROUND

4. As early as 1985, Oakley has been and continues to be actively engaged in the manufacture and sale of high quality sport and leisure sunglasses under various product lines. Oakley is the manufacturer and retailer of several lines of sunglasses, including its "M Frame"<sup>®</sup> sunglass lines.

5. Plaintiff Oakley is the owner by assignment of U.S. Patent No. D331,587 duly and lawfully issued on December 8, 1992 describing and claiming the invention entitled "EYEGLASS FRAME", protecting the sunglass design marketed by Oakley under the name "M Frame". A correct copy of U.S. Patent No. D331,587 is attached hereto as Exhibit 1.

6. Oakley is informed and believes, and thereupon alleges that the Defendant is selling sunglasses that copy U.S. Patent No. D331,587 of Oakley. Oakley alleges that Defendant's Model number HS—3500 embodies the subject matter claimed in Oakley's U.S. Patent No. D331,587 without any license thereunder and is thereby infringing said patent. Oakley is informed and believes and based thereon alleges that Defendant supplied said imitation Oakley sunglasses to various distributors, retailers, and retail customers.

7. Oakley is the owner by assignment of U.S. Patent No. 5,249,001 duly and

1 lawfully issued on September 28, 1993, describing and claiming the invention  
2 entitled "EARSTEM FOR EYEGLASSES PROVIDING RETENTION"  
3 protecting the technology for an improved earstem and traction device for  
4 sunglasses. A true copy of U.S. Patent No. 5,249,001 is attached hereto as Exhibit  
5 2.

6 8. Oakley is informed and believes, and thereupon alleges that the Defendant  
7 is selling sunglasses that copy U.S. Patent No. 5,249,001 of Oakley. Oakley  
8 alleges that Defendant's Model number HS—3500 embodies the subject matter  
9 claimed in Oakley's U.S. Patent No. 5,249,001 without any license thereunder and  
10 is thereby infringing said patent. Oakley is informed and believes and based  
11 thereon alleges that Defendant supplied said imitation Oakley sunglasses to  
12 various distributors, retailers, and retail customers.

13 9. Defendant was aware of Oakley's proprietary rights in its patents because  
14 Defendant received constructive notice of Oakley's patents as Oakley caused its  
15 patents to be placed plainly on the product and/or packaging and by way of a  
16 cease and desist letter sent to them October 19, 2006. Despite actual and  
17 constructive knowledge, Defendant continues to infringe Oakley's patent rights.  
18 On information and belief, such infringement by Defendant has been willful and  
19 wanton.

20 10. Oakley has expended large sums of money in the promotion of its "M  
21 Frame"<sup>®</sup> line of sunglasses since approximately 1989. As a result of Oakley's  
22 promotional efforts, these sunglass lines have become and are now widely known  
23 and recognized in this District and elsewhere as emanating from and authorized by  
24 Oakley.

25 11. Oakley's "M Frame"<sup>®</sup> product line is inherently distinctive in  
26 appearance, and have become, through widespread public acceptance, a distinctive  
27 designation of the source of origin of goods offered by Oakley and an asset of  
28

1 incalculable value as a symbol of Oakley and its quality goods and good will.

2 12. Oakley is informed and believes and thereupon alleges that the  
3 Defendant's HS-3500 and HS-4000 sunglasses are designed, manufactured,  
4 packaged, advertised, displayed and sold expressly to profit from the demand  
5 created by Oakley for the ornamental and inherently distinctive features of the  
6 Oakley sunglasses and to trade on Oakley's goodwill and reputation.

7 13. Oakley is informed and believes, and thereupon alleges, that  
8 Defendant's HS-3500 and HS-4000 sunglasses are inferior products to authentic  
9 Oakley sunglasses. Oakley is further informed and believes and thereupon alleges  
10 that as a result of the inferior quality Defendant's copies of Oakley's "M  
11 Frame"<sup>®</sup> sunglasses, they are sold in the marketplace at a lower price than are  
12 authentic Oakley sunglasses. As a result, Oakley has been damaged significantly  
13 in the sunglass market. Oakley contends and believes that its image and the  
14 reputation of its products has been tarnished and diminished by Defendant's sale  
15 of Oakley copy sunglasses of inferior quality.

16 14. Oakley is further informed and believes and thereupon alleges that the  
17 presence of Defendant's HS-3500 and HS-4000 sunglass copies in the  
18 marketplace damages the value of Oakley's exclusive rights. The presence of the  
19 copies in the marketplace is likely to diminish the apparent exclusivity of genuine  
20 Oakley products thereby dissuading potential customers who otherwise would  
21 have sought inherently distinctive Oakley sunglass designs. Upon information  
22 and belief, Oakley alleges that such deception has misled, and continues to  
23 mislead, and confuse many purchasers to buy the products sold by Defendant  
24 and/or has misled non-purchasers to believe the sunglass copies emanate from or  
25 are authorized by Oakley.

26 15. Oakley is informed and believes and thereupon alleges that the  
27 Defendant's sale of the copy sunglasses has resulted in lost sales, has reduced the  
28

1 business and profit of Oakley, and has greatly injured the general reputation of  
2 Oakley due to the inferior quality of the copies, all to Oakley's damage in an  
3 amount not yet fully determined.

4 16. The exact amount of profits realized by Defendant as a result of its  
5 infringing activities, are presently unknown to Oakley, and neither are the exact  
6 amount of damages suffered by Oakley as a result of said activities. These profits  
7 and damages cannot be accurately ascertained without an accounting. Further,  
8 Defendant's actions are irreparably injuring Oakley and will continue unless and  
9 until enjoined by this court.

#### 10 **FIRST CLAIM FOR RELIEF**

11 17. The allegations of paragraphs 1 through 16 are repelled and realleged as  
12 though fully set forth herein.

13 18. This is a claim for patent infringement, and arises under 35 U.S.C. §§  
14 271 and 281.

15 19. Jurisdiction is founded upon 28 U.S.C. §§ 1331 and 1338.

16 20. Oakley is the owner of U.S. Patent No. D331,587 which protects the  
17 sunglass design marketed by Oakley under the name "M Frame". A true and  
18 correct copy of U.S. Patent No. D331,587 is attached hereto as Exhibit 1. By  
19 statute, the patent is presumed to be valid and enforceable under 35 U.S.C. § 282.  
20

21 21. Defendant, through its agents, employees and servants, manufactured,  
22 imported, and sold, without any rights or license, sunglasses which fall within the  
23 scope and claim contained in U.S. Patent No. D331,587.

24 22. Oakley is informed and believes and thereupon alleges that Defendant  
25 has willfully infringed upon Oakley's exclusive rights under said patent, with full  
26 notice and knowledge thereof. Defendant sold or is selling such infringing  
27 sunglasses, has refused to cease the sale thereof, and will continue to do so unless  
28 restrained therefrom by this court, all to the great loss and injury of Oakley.

1       23. Oakley is informed and believes and thereupon alleges that  
2 Defendant, has derived, received, and will continue to derive and receive from its  
3 acts of infringement, gains, profits and advantages in an amount not presently  
4 known to Oakley. By reason of these acts of infringement, Oakley has been, and  
5 will continue to be, greatly damaged.

6       24. Defendant will continue to infringe U.S. Patent No. D331,587 to the  
7 great and irreparable injury of Oakley, for which Oakley has no adequate remedy  
8 at law unless said Defendant is enjoined by this court.

9                   **SECOND CLAIM FOR RELIEF**

10       25. The allegations of paragraphs 1 through 16 are repeld and realleged as  
11 though fully set forth herein.

12       26. This is a claim for patent infringement, and arises under 35 U.S.C. §§  
13 271 and 281.

14       27. Jurisdiction is founded upon 28 U.S.C. §§ 1331 and 1338.

15       28. Oakley is the owner of U.S. Patent No. 5,249,001 protecting the  
16 technology for an improved earstem and traction device for sunglasses.. A true  
17 and correct copy of U.S. Patent No. 5,249,001 is attached hereto as Exhibit 2. By  
18 statute, the patent is presumed to be valid and enforceable under 35 U.S.C. § 282.

19       29. Defendants through its agents, employees and servants, manufactured,  
20 imported, and sold, without any rights or license, sunglasses which fall within the  
21 scope and claim contained in U.S. Patent No. 5,249,001.

22       30. Oakley is informed and believes and thereupon alleges that Defendant  
23 has willfully infringed upon Oakley's exclusive rights under said patent, with full  
24 notice and knowledge thereof. Defendant sold or is selling such infringing  
25 sunglasses, has refused to cease the sale thereof, and will continue to do so unless  
26 restrained therefrom by this court, all to the great loss and injury of Oakley.

27       31. Oakley is informed and believes and thereupon alleges that Defendant  
28

1 has derived, received and will continue to derive and receive from its acts of  
 2 infringement, gains, profits and advantages in an amount not presently known to  
 3 Oakley. By reason of these acts of infringement, Oakley has been, and will  
 4 continue to be, greatly damaged.

5 32. Defendant will continue to infringe U.S. Patent No. 5,249,001 to the  
 6 great and irreparable injury of Oakley, for which Oakley has no adequate remedy  
 7 at law unless said Defendant is enjoined by this court.

8 **THIRD CLAIM FOR RELIEF**  
 9 **(TRADE DRESS INFRINGEMENT)**

10 33. Oakley realleges paragraphs 1 through 16 as though set forth fully at  
 11 this point.

12 34. This is an action for trade dress infringement and false designation of  
 13 origin pursuant to 15 U.S.C. § 1125(a) against Defendant.

14 35. Jurisdiction is founded upon 28 U.S.C. §§ 1331 and 1338.

15 36. Since 1989, Oakley has marketed and sold its "M Frame"<sup>®</sup> line of  
 16 sunglasses. The configuration of Oakley's "M Frame"<sup>®</sup> sunglass is distinctive and  
 17 well-recognized by the industry and consumers as emanating from Oakley. The  
 18 "M Frame"<sup>®</sup> sunglass has enjoyed enormous commercial success, which is  
 19 expected to continue, and have become, through wide-spread recognition, an  
 20 indicator of Oakley as the source of the products.

21 37. Oakley is informed and believes and thereupon alleges that the  
 22 Defendant's sale of copies of Oakley's "M Frame"<sup>®</sup> sunglass configuration  
 23 constitutes trade dress infringement and unfair competition, as a false designation  
 24 of origin, a false description or representation of goods, and false representation to  
 25 the consuming public that the Defendant's sunglasses originated from or somehow  
 26 are authorized by or affiliated with Oakley.

27 .....  
 28 .....

1           38. Oakley is informed and believes and thereupon alleges that the actions  
2 of Defendant were done willfully, knowingly and maliciously with the intent to  
3 trade upon the good will of Oakley and to injure Oakley.

4           39. The Defendant's acts are in violation of 15 U.S.C. § 1125 (a) and will  
5 continue to the great and irreparable injury of Oakley until enjoined by this Court.

6           WHEREFORE, Plaintiff Oakley, Inc. prays as follows:

7           1. That Defendant be adjudicated to have infringed Oakley's U.S. Patent  
8 Nos. D331,587 and 5,249,001 and that said patents are valid and enforceable and  
9 owned by Oakley;

10          2. That the Defendant be adjudicated to have infringed Oakley's "M  
11 Frame"® trade dress, and that said trade dress rights are enforceable and owned  
12 by Oakley;

13          3. That Defendant, as well as its agents, servants, employees, and  
14 attorneys, and all these persons in active concert or participation with Defendant,  
15 be forthwith preliminary and thereafter permanently enjoined from infringing U.S.  
16 Patent Nos. D331,587 and 5,249,001;

17          4. That Defendant, as well as its agents, servants, employees, and  
18 attorneys, and all these persons in active concert or participation with Defendant,  
19 be forthwith preliminary and thereafter permanently enjoined from infringing  
20 Oakley's "M Frame"® trade dress;

21          5. That Defendant be directed to file with this Court and serve upon  
22 Oakley within 30 days after the service of the injunction, a report in writing under  
23 oath, setting forth in detail the manner and form in which Defendant has complied  
24 with the injunction;

25          6. That Oakley be awarded an assessment of damages for Defendant's  
26 infringement of U.S. Patent Nos. D331,587 and 5,249,001, together with an award  
27 of such damages, all in accordance with 35 U.S.C. § 284;

28          7. That Oakley be awarded an assessment of interest against Defendant,

1 together with an award of such interest, in accordance with 35 U.S.C. § 284;

2 8. That Oakley be awarded treble damages against the Defendant for  
3 their willful infringement of Oakley's patents, pursuant to 35 U.S.C. § 284;

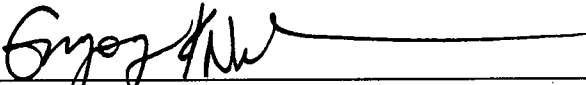
4 9. For all of Defendant's profits derived from his infringement of Plaintiff's  
5 patent and trade dress rights in accordance with 15 U.S.C. § 1117 and 35 U.S.C. §  
6 289;

7 10. For an order requiring Defendant to deliver up and destroy all  
8 infringing sunglasses; and

9 11. That Oakley have such other and further relief as the circumstances of  
10 this case may require and as this Court may deem just and proper.  
11

12 DATED: 1/9/07

WEEKS, KAUFMAN, NELSON & JOHNSON

13 

14 GREGORY K. NELSON

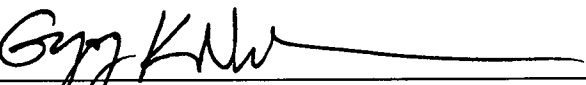
15 Attorney for Plaintiff, Oakley, Inc.

16  
17 JURY DEMAND

18 Plaintiff Oakley, Inc. hereby requests a trial by jury in this matter.

19 DATED: 1/9/07

WEEKS, KAUFMAN, NELSON & JOHNSON

20 

21 GREGORY K. NELSON

22 Attorney for Plaintiff, Oakley, Inc.  
23  
24  
25  
26  
27  
28

**United States Patent** [19]

Jannard et al.

[11] Patent Number: Des. 331,587

[45] Date of Patent: \*\* Dec. 8, 1992

[54] EYEGLASS FRAME

[75] Inventors: James H. Jannard, San Juan  
 Capistrano; Gregory F. Arnette,  
 South Laguna Beach, both of Calif.

[73] Assignee: Oakley, Inc., Irvine, Calif.

[\*\*] Term: 14 Years

[21] Appl. No.: 743,568

[22] Filed: Aug. 9, 1991

**Related U.S. Application Data**

[62] Division of Ser. No. 502,496, Mar. 30, 1990, Pat. No. D. 324,394, which is a division of Ser. No. 397,091, Aug. 22, 1989, Pat. No. D. 323,333.

[52] U.S. Cl. .... D16/123

[58] Field of Search .... D16/102, 103, 105-107,  
 D16/110-112, 114, 116, 117, 121-123, 127;  
 D2/246; 351/44, 45, 47, 57, 59, 83, 87, 111, 118;  
 2/13, 199, 439, 448, 449

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 D. 198,939 8/1964 Huggins .  
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 D. 300,226 3/1989 Ramp .  
 D. 322,975 1/1992 Bolle .  
 D. 324, 528 3/1992 Jannard ..... D16/102

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Primary Examiner—Bernard Ansher

Assistant Examiner—R. Barkai

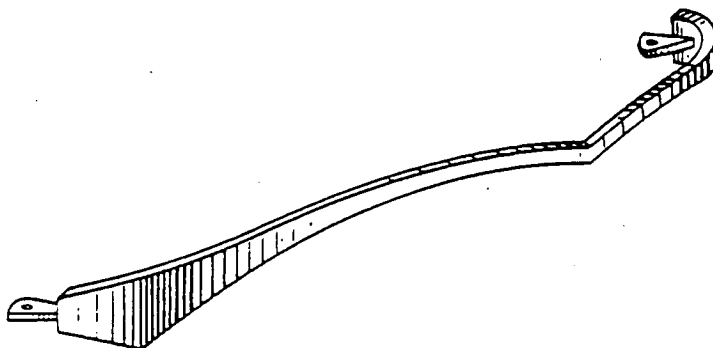
Attorney, Agent, or Firm—Knobbe, Martens, Olson & Bear

**CLAIM**

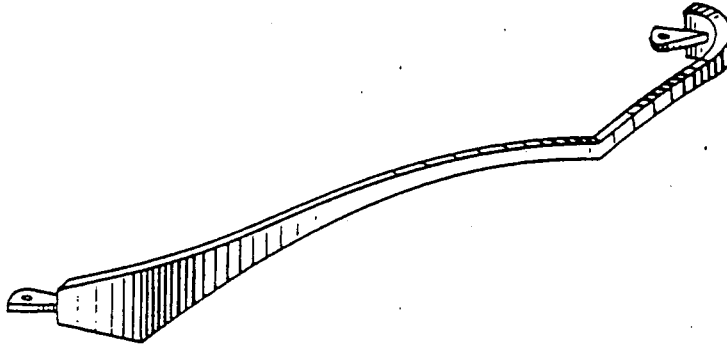
[57] The ornamental design for an eyeglass frame, as shown and described.

**DESCRIPTION**

FIG. 1 is a frontal perspective view of the eyeglass frame embodying the design of the present invention;  
 FIG. 2 is a rear perspective view of the eyeglass frame;  
 FIG. 3 is a front elevational view of the eyeglass frame;  
 FIG. 4 is a side elevational view of the eyeglass frame;  
 and,  
 FIG. 5 is a top plan view of the eyeglass frame.



*FIG. 1*



*FIG. 2*

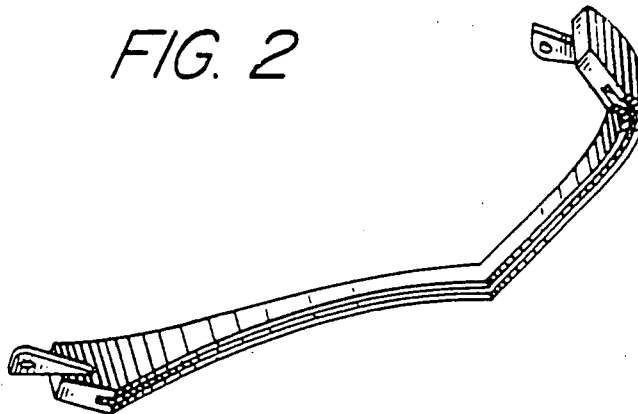


FIG. 3

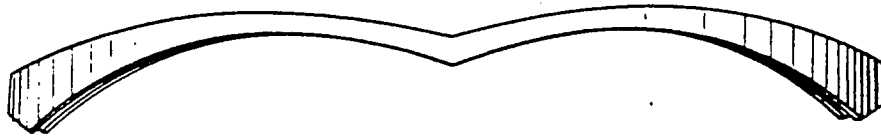
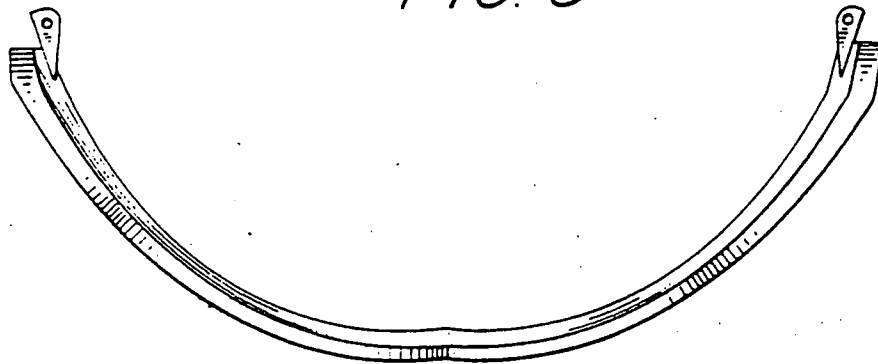


FIG. 4



FIG. 5



US005249001A

United States Patent [19]  
Jannard

[11] Patent Number: 5,249,001  
[45] Date of Patent: Sep. 28, 1993

[54] EARSTEM FOR EYEGLASSES PROVIDING RETENTION

[75] Inventor: James H. Jannard, San Juan Capistrano, Calif.

[73] Assignee: Oakley, Inc., Irvine, Calif.

[21] Appl. No.: 758,271

[22] Filed: Aug. 27, 1991

#### Related U.S. Application Data

[63] Continuation of Ser. No. 436,473, Nov. 17, 1989, abandoned.

[51] Int. Cl.<sup>5</sup> ..... G02C 5/14

[52] U.S. Cl. .... 351/123; 351/111

[58] Field of Search ..... 351/111-123, 351/44; 2/448, 449, 450; D16/114, 117, 118, 116, 115, 119; 381/49

[56] References Cited

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2,108,074	2/1938	McMahon .....	351/111
2,561,402	7/1951	Nelson .	
3,684,356	8/1972	Bates .	
3,944,344	3/1976	Wichers .	

4,240,718	12/1980	Wichers .....	351/62
4,859,048	8/1989	Jannard .....	351/44 X
4,951,322	9/1989	Lin .....	351/44

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2204143 4/1987 United Kingdom .

Primary Examiner—Rodney B. Bovernick

Assistant Examiner—Hung Xuan Dang

Attorney, Agent, or Firm—Knobbe, Martens, Olson & Bear

#### [57] ABSTRACT

Earstems for eyewear are configured to define a lateral distance between the earstems which is greater than the width of the head in the anterior temple region so as to prevent any contact of the earstems and the head in that region. Preferably, the earstems include a diverging section, a transition section, a converging section and a retaining section, wherein the transition section defines the greatest lateral distance between the earstems. The retaining section extends posteriorly from the converging section so as to provide an interfacing surface between the eyewear and the head. The retaining section may be configured so as to accommodate the traction device so as to enhance the retention of the eyewear about the head by increasing the coefficient of static friction between the eyewear and the head.

7 Claims, 2 Drawing Sheets

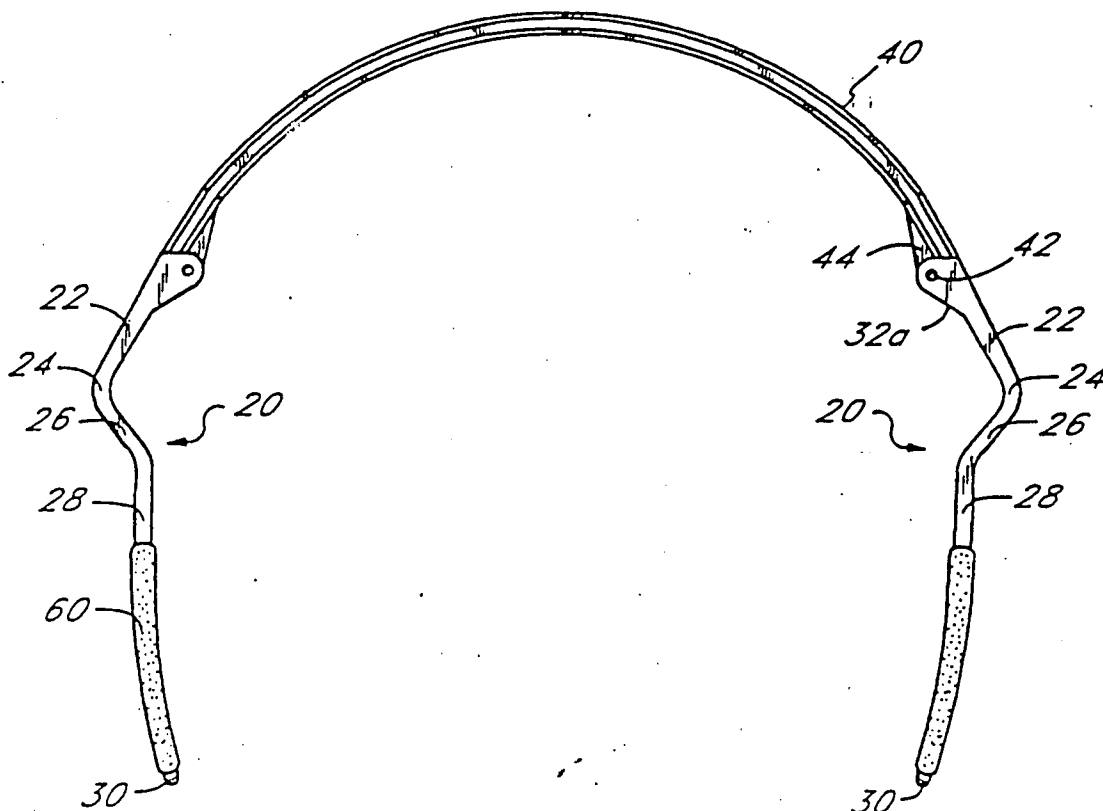


FIG. 1

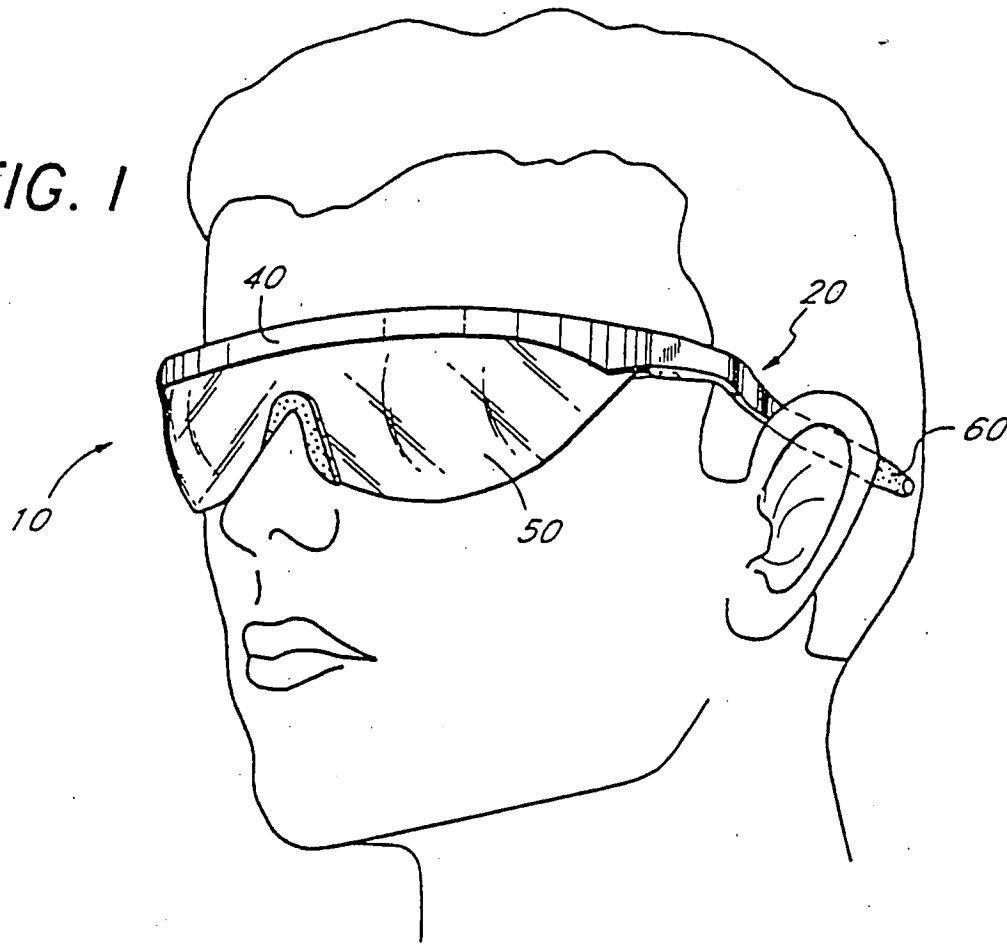
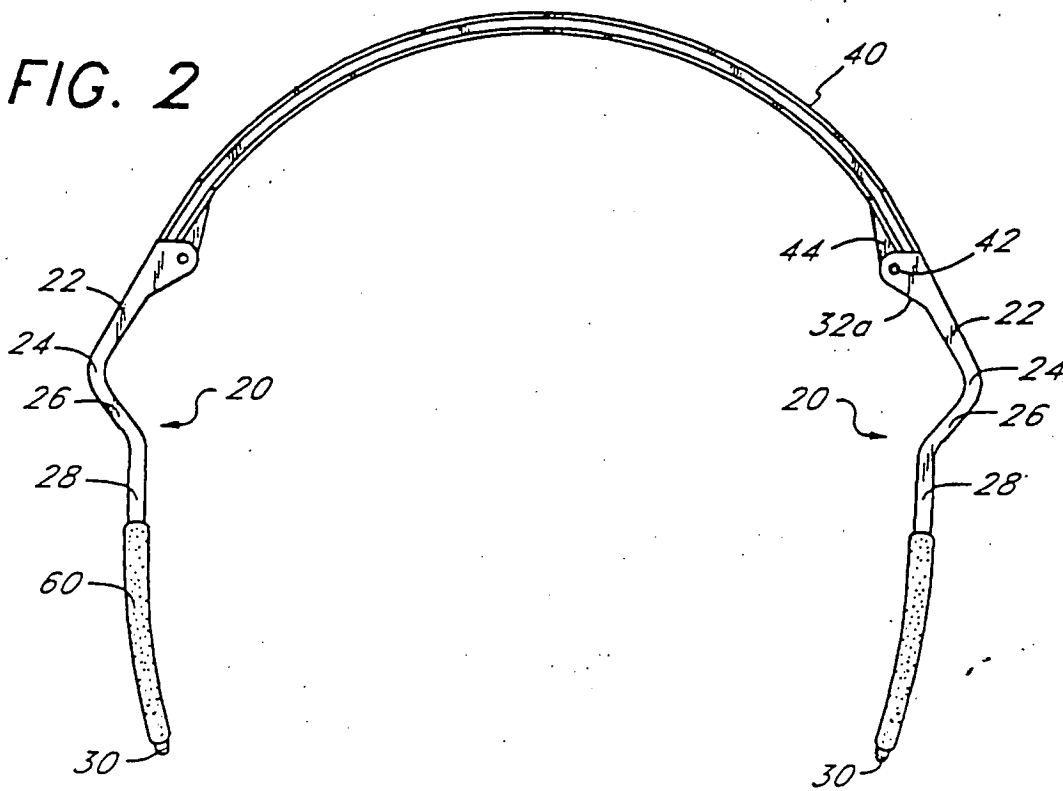
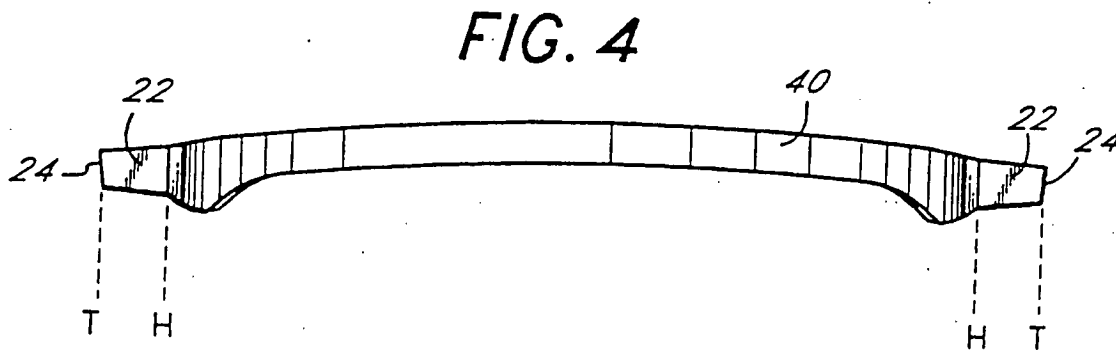
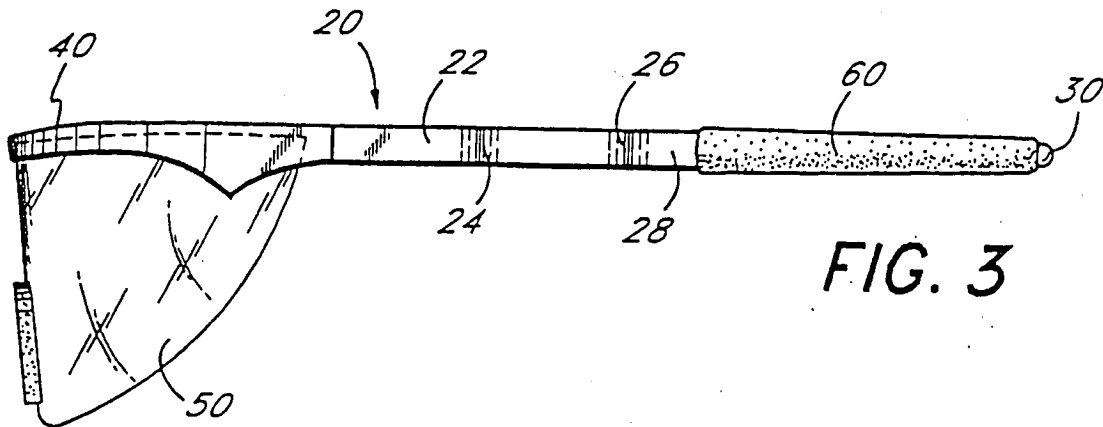


FIG. 2





5,249,001

1

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## EARSTEM FOR EYEGLASSES PROVIDING RETENTION

This application is a continuation of application Ser. No. 436,473, filed Nov. 17, 1989 now abandoned.

### BACKGROUND OF THE INVENTION

The present invention relates to eyewear, and more particularly to earstems configured to accommodate a wide deviation from the standard Alderson head size while providing a medially directed force at the distal portion of the earstems so as to increase the retentive force between the eyewear and the head.

Although corrective lenses are specifically crafted to accommodate the unique vision defects of an individual, the frames and earstems used to retain the lenses are typically a standard size and not crafted to conform to the particular contours of the head of each individual. Lenses which are employed in filtering eyewear such as sunglasses are also typically retained in stock frames. As it is virtually impossible to mass produce stock frames which fit every individual's head, the stock frames are constructed to conform to an idealized "standard" adult head such as that known in the art as the standard Alderson head form.

In determining the dimensions of the standard adult head, statistical data reflecting the range of cranial dimensions in an adult population was compiled to provide a hypothetical size head which represents the statistical median of the normal variations in the size of the adult head. These standard dimensions are then used to size the stock frames. Typically, the Alderson head form provides the anatomical model upon which stock frames are based. Therefore, the stock frames are constructed to conform to the hypothetical "standard" head rather than specific individuals.

As a result, such frames often result in a fit which is either too tight or too loose about the head of an individual. A tight fitting frame may cause localized pain and headaches, while a loose fitting frame may allow the eyewear to fall from the head and damage the frame or the lens. This is particularly disadvantageous for protective eyewear such as sunglasses which are to be used in active sports, such as bicycle racing or skiing. Additionally, in the event that corrective lenses vary in weight between the lenses, the uneven weight distribution may create localized pressure points causing discomfort.

A variety of means have been employed to improve the securing of eyewear to the head. These devices have included modifications from the use of the traditional hook at the end of the temple bar for wrapping behind the ear to loose strings or straps which connect the temples of the eyewear around the back of the wearer's head, thereby preventing the glasses from becoming completely displaced from the body. Alternatively, an elastic strap connecting the temples has been employed to engage the wearer's head and secure the eyewear in the desired position.

The prior attempts to improve the retention of eyewear about the wearer's head have also included the use of rubber or rubber-like plastic boots applied to the free ends of the temples for increasing the friction between the eyeglasses and the head, such as shown in U.S. Pat. No. 3,684,356 to Bates. The Bates device, however, appears somewhat clumsy and, therefore, detracts from the appearance of the eyewear. In addition, the Bates

device does not provide for a greater variation in the range of head widths on which the glasses can be worn. For example, a wider than median head will frequently contact the inside surface of the temple bar somewhere in between the eyeglass frame and the hook over the ear. This causes the hook portion to be lifted away from the head, thereby nullifying any advantage to such boots as the Bates device.

Another prior attempt to improve eyewear retention is disclosed in the Nelson patent (U.S. Pat. No. 2,561,402), which discloses use of fluid chambers at the interface of the free end of the temples and the head. Nelson uses the fluid chambers in an effort to evenly distribute pressure between the temple and the head. As the fluid chambers of Nelson are permanently affixed to the temple in a predetermined orientation, modification for specific individuals is unavailable. In addition, the fluid retained within the chambers adds an undesired weight to the eyewear, the device is relatively complex from a manufacturing point of view, and the durability of the device is likely not as good as solid plastic alternatives.

Notwithstanding the foregoing, there remains a need for providing a means of improving the compatibility of eyewear and the wearer so as to improve retention of the eyewear throughout a broader spectrum of head sizes within the population. A need also exists for a device which may be used in conjunction with a lens retained within a frame or alternatively, a lens alone. A further need exists for a device which may be used in cooperation with supplemental retaining devices without permanently altering the configuration of the eyewear.

### SUMMARY OF THE INVENTION

In accordance with the present invention, an improved earstem for eyewear is disclosed. The earstems create a medially directed force at the distal end of the earstems wherein the distal ends can accommodate a broad range of head widths without sacrificing the medially directed force. The earstems are configured to minimize the contact of the earstem and the lateral anterior portion of the head while maintaining eyeglass retaining forces throughout a wide range of head sizes.

The earstems of the present invention may be attached to frames which position a lens in front of the eyes, or alternatively, the earstems may be attached directly to the lens. In addition, curved or substantially linear frames or lenses may be used in cooperation with the earstems, wherein the distal end of the earstem may be looped or substantially linear.

Thus, there has been provided in accordance with one aspect of the present invention an eyeglass earstem for securing an eyeglass frame or eyeglass lens to the head of the wearer, and for positioning the lens in the wearer's line of sight, the earstem in combination with an eyeglass frame or lens adapted to maintain a medially inwardly directed bias on the distal end of the earstem over a wide range of lateral positions, thereby accommodating a wide range of head widths. The earstem comprises an elongate earstem body having a proximal end adapted for attachment to an eyeglass frame or lens, as is well known in the art. A distal end is provided on the elongate earstem body for extending along side the head of the wearer and in the region of the wearer's ear.

The earstem body is further provided with a diverging section which inclines away from the head in the distal direction so as to provide a lateral distance be-

between the earstem and the head of the wearer, thereby precluding contact between a substantial portion of the diverging section and the head.

A converging section is additionally provided on the earstem, and disposed distally relative to the diverging section, so that the converging section is inclined back towards the head in the distal direction so as to decrease the lateral distance between the earstem and the head of the wearer.

The distal end of the diverging section is disposed at the greatest distance away from the head of the wearer, and the distal end of the diverging section is connected to the proximal end of the converging section by a transition section on the earstem. The transition section is preferably an angled joint between the diverging section and the converging section; however, the transition section may also be provided with an axial length which extends distally alongside of and spaced apart from the head of the wearer.

A retaining section is provided on the earstem distal of the distal end of the converging section. The retaining section extends alongside and substantially in contact with the head of the wearer, for transmitting eyeglass retention force in a medial direction against the head of the wearer.

Preferably, the retaining section is configured to employ an elastomeric traction device or other friction enhancing structures or surface textures to further enhance the coefficient of static friction between the eyewear and the head.

The configuration of the present invention thereby provides for a wide range of posterior head widths without losing medially directed force at the distal end due to contact between the earstem and the anterior temple of the wearer.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view of eyewear embodying the earstems of the present invention.

FIG. 2 is a bottom plan view of an embodiment of the earstems of the present invention, pivotally secured to an eyeglass frame.

FIG. 3 is a side elevational view of an embodiment of the invention showing the incorporation of an elastomeric traction device.

FIG. 4 is a front elevational view of the earstems of the present invention secured to an upper lens frame.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

As used in the present application, "eyewear" is a general term intended to embrace optical devices containing corrective lenses for defects in vision or lenses for such special purposes as filters for absorbing or blocking portions of the electromagnetic spectrum, providing physical shields for the eyes or making available other physical or optical functions for protective or visual assisting purposes.

Referring to FIG. 1, eyewear 10 is disclosed incorporating an earstem 20 in accordance with the present invention, affixed to a frame 40 so as to position a lens 50 in front of the eyes. The earstems 20 extend distally from the frame 40 and over the ears of the wearer so as to retain the eyewear 10 in the desired position relative to the head. As shown in FIG. 1, the earstem 20 may include a traction device 60, as described in my co-pending patent application for IMPROVED ELASTOMERIC TRACTION DEVICE filed Nov. 20, 1989

Ser. No. 436,474, now U.S. Pat. No. 5,054,903 and incorporated herein by reference.

Referring to FIG. 2, the earstems 20 of the present invention are shown in a bottom plan view. Each earstem 20 extends distally from the frame 40 to terminate at a distal end 30. Although the earstem 20 is shown affixed to the frame 40, the earstem 20 may be attached directly to the lens 50. Preferably, the earstems 20 are hingeably attached to the frame 40 or lens 50, and most preferably hingeably and removably attached, as well known in the art.

In a typical hingeable connection, the frame 40 or lens 50 includes a hinge aperture 42 extending through a protruding flange 44. The earstem 20 includes a pair of parallel apertured flanges 32a, 32b spaced so as to receive the flange 44 of the frame 40 or lens 50 therebetween. The apertures in the earstem 20 are aligned with apertures of the frame 40 or lens 50 and a pin is inserted so as permit rotation of the frame 40 relative to the earstem 20, thereby providing the hingeable connection. In a typical readily detachable hinge, the aperture 42 is replaced by an integral pin (not illustrated) which extends away from flange 44 in opposite directions along the same axis as the aperture 42. The flange 44 is inserted by deformation in between the opposing flanges 32a and 32b, and the integral pin snaps into the apertures on flanges 32a and 32b.

As shown in FIG. 2, the earstems 20 include a diverging section 22, a transition section 24, a converging section 26 and a retaining section 28. Preferably, the diverging section 22 extends from the hingeable connection with the frame 40 away from the opposing earstem 20; that is, to define an increasing lateral distance between the earstems 20. As shown in FIG. 2, when used in cooperation with a curved unitary lens 50 or frame 40, the diverging section 22 may extend substantially along the arc of, or radially outwardly from the frame 40. However, when used in connection with a substantially straight or linear frame, the diverging section 22 may form an obtuse angle therewith so as to extend away from the opposing earstem 20. Preferably, the diverging section 22 defines a sufficient angle with the frame 40 or lens 50 so as to define a lateral distance sufficient to span the width of the anterior portion of the temple region of a majority of the population.

Referring to FIG. 2, the diverging section 22 terminates at the transition section 24. The transition section 24 represents the intersection of the diverging section 22 and the converging section 26. Preferably, the transition section 24 represents the greatest lateral distance between the earstems 20, and, as a consequence, the transition portion 24 will be spaced apart from the head of the wearer. In the embodiment illustrated in FIG. 2, the transition section 24 is simply a bend in the direction of the earstem so that the earstem is angled back toward the head of the wearer in the distal direction. Alternatively, the transition section 24 may have an elongated axial dimension so as to extend the overall length of the earstem in the distal direction.

The converging section 26 begins at the transition section 24 and inclines toward the opposing earstem 20 so as to define a decreasing lateral distance. Preferably, the lateral distance at the termination of the converging section 26 is substantially equal to the actual width of the median sized adult head.

The converging section 26 terminates at the retaining section 28, typically at a point which is between  $\frac{1}{4}$  and  $\frac{3}{4}$  the overall length of the earstem, and preferably is be-

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tween about  $\frac{1}{2}$  and  $\frac{1}{3}$  the overall length of the earstem from the proximal end thereof. The retaining section 28 extends distally to terminate at the distal end 30 of the earstem 20. As shown in FIGS. 1-3, the distal ends 30 are shown to have a substantially linear configuration in the vertical plane; however, the distal ends may be configured to define a hook or loop, as well known in the eyewear art.

The retaining section 28 may converge slightly in the distal direction in the horizontal plane as illustrated in FIG. 2, or alternatively, may extend substantially parallel to the opposing earstem 20. Although the retaining sections 28 may be employed in a substantially parallel configuration, it is preferable that the retaining sections 28 slightly converge as they extend from the converging section 26 to the distal end 30. In addition, the earstems 20 may be produced so as to exhibit an inherent bias toward the opposing earstem. This bias may be achieved by forming the earstem 20 from any of a variety of well-known polymers which exhibit an inherent resiliency. Preferably, the earstems 20 are integrally molded from any of a variety of known thermoplastic materials. Alternatively, the earstem 20 may be produced with a reduced inherent bias. These earstems are connected to the frame 40 or lens 50 in cooperation with a spring bias which urges the earstems 20 towards each other. The spring bias may be achieved through use of a spring member in the hinge or an elastic material within the hinge which urges the distal end of the earstems 20 towards each other.

Each of the diverging section and the converging section are illustrated in FIG. 2 as being substantially linear, separated by an obtuse angle which has been identified as the converging section. However, the diverging section 22, converging section 26 and transition section 24 can also be configured to form a continuous arc of substantially constant or varying radius, and still accrue the advantages of the present invention. In addition, although the diverging section 22 and the converging section 26 are illustrated as meeting at transition section 24, the transition section 24 can also be axially elongated in the distal direction. In this embodiment, the diverging section 22 will encounter a first angle at the junction of the diverging section 22 and the transition section 24, and the transition section 24 will encounter a second angle at the junction of the transition section 24 and the converging section 26.

Preferably, the retaining section 28 is configured to employ an elastomeric traction device 60, as described in my above-referenced co-pending application. The traction device 60 is disposed proximal to the distal end 30 of the earstems 20, and distal to the converging section 26 of the earstems 20. Preferably, the retaining sections 28 include a posterior shoulder (not shown) proximal to the distal end 30. The posterior shoulder is sized to engage an elastomeric traction device 60 so as to prevent distal axial displacement of the traction device 60. The traction device 60 provides an outer surface which enhances the retention of the eyewear relative to the head by providing an increased coefficient of static friction between the eyewear and the head. A preferred material for the traction device is KROTON G, manufactured by Shell Oil Company.

As shown in FIG. 3, the earstems 20 extend horizontally in a distal direction from the frame 40 or lens 50. Although not shown, the earstems 20 may be configured to exhibit an elevational or vertical displacement as they extend distally. However, in the preferred con-

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figuration, the earstems 20 extend substantially horizontally from the frame 40 as illustrated.

Referring to FIG. 4, the front elevational view of the frame 40 and earstems 20 illustrates the relative lateral distance at the transition section 24 with respect to the hinge and lens 50. FIG. 4 illustrates the lateral distance defined by the transition section 24, as opposed to the lateral distance defined by the frame 40. Axes T represent the lateral most extent defined by the earstems 20 at the transition section 24, and axes H represent the lateral location of the connection of the earstems 20 to the frame 40. As shown in FIG. 4, the T axis is disposed outside of axis H so that the earstems 20 define a greater lateral distance than the frame 40, and head of the wearer.

Thus, eyeglasses embodying the improved earstem of the present invention accrue several advantages over the prior art eyeglasses having earstems provided with the traditional ear hook at the distal end thereof. For example, particularly a problem with the larger head sizes, the length of the prior art earstem from the hinge point to the distal end only enables the eyeglasses to comfortably and securely fit on head sizes up to a maximum beyond which the ear hook renders the eyeglasses painful to wear or completely unwearable. The improved earstem of the present invention enables manufacture of eyeglasses having an earstem without the distal hook so that the glasses may be comfortably worn on individuals having a greater linear dimension from the front of the face to the ear without discomfort.

Elimination of the traditional hook on the earstem is made possible by the provision in accordance with the present invention of a medially inwardly directed force on the distal part of the earstem. The construction of the earstem of the present invention also enables the eyeglasses embodying earstems according to the present invention to comfortably and securely fit on a wider variety of head widths. Traditional earstems proceed in a substantially linear fashion from the hinge point back to the distal end. When eyeglasses embodying such earstems are placed on a head which is substantially wider than the median head size, the traditional earstems will tend to contact the head immediately adjacent or distal to the hinge point, and that will cause the distal end of the earstems to be radially outwardly displaced from the head of the wearer. In this manner, the distal ends of the earstems no longer provide a medially inwardly directed force to retain the earstems on the head.

In accordance with the earstem design of the present invention, the diverging section which inclines away from the medial side in the distal direction, combined with the converging section on the earstem which inclines medially in the distal direction, spaces the proximal portion of the earstem well apart from the head of the wearer, so that when the earstems are placed on a wider-than-median head, a medially (radially inwardly directed) force will still be exerted at the distal end of the earstem against the head of the wearer. Thus, the lateral distance between the distal ends of the earstems, such as illustrated in FIG. 2, can be varied over a wider range than in the prior art, while still maintaining the medially directed force on the distal portion of the earstems and not on a more proximal portion thereof.

This present invention has been described in detail in connection with the preferred embodiments, but these are examples only and the present invention is not restricted thereto. It will be easily understood by those

skilled in the art that other variations and modifications can easily be made within the scope of this invention, which is defined by the following claims.

I claim:

1. Eyewear comprising two earstems in combination with a unitary arcuate upper frame configured to accommodate a range of head widths while providing a medially directed force at the distal ends of the earstems, and while minimizing contact between the proximal portions of the earstems and the head, each of said earstems comprising:
  - a hinge element at the proximal end of the earstem;
  - a diverging section extending from the proximal end of the earstem, distal to said hinge;
  - a transition section on the earstem distal to and adjacent said diverging section, which increases the lateral distance between the transition section and the head;
  - a converging section distal to said transition section; and
  - a retaining section on the earstem disposed distally to the converging section, said retaining section disposed so as to provide a medially directed force in contact with the side of the head, wherein the intersection of the converging section and the retaining section is at a point within the range of from about  $\frac{1}{4}$  to about  $\frac{1}{2}$  the overall length of the earstem from the proximal end thereof, and wherein the diverging section of each of said earstems extends substantially along the arc defined by the arcuate frame.
2. An eyeglass as in claim 1, wherein the diverging section on each earstem is substantially linear.
3. An eyeglass as in claim 1, wherein the converging section on each earstem is substantially linear.

4. An eyeglass as in claim 1, further comprising a unitary eyeglass lens mounted on said arcuate upper frame.

5. Eyewear as in claim 1, wherein the retaining section on each earstem is substantially linear.

6. Eyewear comprising two earstems in combination with a unitary arcuate upper frame configured to accommodate a range of head widths while providing a medially directed force at the distal ends of the earstems, and while minimizing contact between the proximal portions of the earstems and the head, each of said earstems being mounted to said frame such that each earstem has a first side away from the head of a wearer thereof and a second side opposite the first side, each of said earstems, comprising:

- a hinge element at the proximal end of the earstem;
- a diverging section extending from the proximal end of the earstem, distal to said hinge;
- a transition section on the earstem distal to and adjacent said diverging section, which increases the lateral distance between the transition section and the head;
- a converging section distal to said transition section; and
- a retaining section on the earstem disposed distally to the converging section, said retaining section disposed so as to provide a medially directed force in contact with the side of the head, wherein the intersection of the converging section and the retaining section is at a point within the range of from about  $\frac{1}{4}$  to about  $\frac{1}{2}$  the overall length of the earstem from the proximal end thereof, and wherein the intersection of the converging section and the retaining section forms an obtuse angle on said first side.

7. Eyewear as in claim 6, wherein the diverging section of each of said earstems extends substantially along the arc defined by the arcuate frame.

(Rev. 07/89)

## CIVIL COVER SHEET

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE SECOND PAGE OF THIS FORM.)

## I (a) PLAINTIFFS

OAKLEY, INC?, a Washington corporation

(b) COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF Orange, CA  
(EXCEPT IN U.S. PLAINTIFF CASES)

## DEFENDANTS

HALO SPORTS & SAFETY INC. New York corporation  
2007 JAN 12 PM 2:04

COUNTY OF RESIDENCE OF FIRST LISTED DEFENDANT Putnam, NY  
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED BY 1

## (c) ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)

Weeks, Kaufman, Nelson & Johnson  
462 Stevens Ave., Suite 310  
Solana Beach, CA 92075

## ATTORNEYS (IF KNOWN)

'07CV 0087

WQH WMC

## II. BASIS OF JURISDICTION (PLACE AN X IN ONE BOX ONLY)

1 U.S. Government Plaintiff ☒ 3 Federal Question  
(U.S. Government Not a Party)

2 U.S. Government Defendant ☐ 4 Diversity (Indicate Citizenship of Parties in Item III)

## III. CITIZENSHIP OF PRINCIPAL PARTIES (PLACE AN X IN ONE BOX FOR PLAINTIFF AND ONE BOX FOR DEFENDANT)

	PT	DEF		PT	DEF
Citizen of This State	1	1	Incorporated or Principal Place of Business in This State	4	4
Citizen of Another State	2	2	Incorporated and Principal Place of Business in Another State	5	5
Citizen or Subject of a Foreign Country	3	3	Foreign Nation	6	6

## IV. CAUSE OF ACTION (CITE THE US CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE. DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY).

This is a case for patent infringement under 35 U.S.C. §§271 and 281

## V. NATURE OF SUIT (PLACE AN X IN ONE BOX ONLY)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
110 Insurance	PERSONAL INJURY	610 Agriculture	422 Appeal 28 USC 158	400 State Reappointment
120 Marine	310 Airplane	620 Other Food & Drug	423 Withdrawal 28 USC 157	410 Antitrust
130 Miller Act	315 Airplane Product Liability	625 Drug Related Seizure of Property 21 USC 881	PROPERTY RIGHTS	430 Banks and Banking
140 Negotiable Instrument	320 Assault, Libel & Slander	630 Liquor Laws	820 Copyrights	450 Commerce/ICC Rates/etc.
150 Recovery of Overpayment & Enforcement of Judgment	330 Federal Employers' Liability	640 RR & Truck	XX 830 Patent	460 Deportation
151 Medicare Act	340 Marine	650 Airline Regs	840 Trademark	470 Racketeer Influenced and Corrupt Organizations
152 Recovery of Defaulted Student Loans (Excl. Veterans)	345 Marine Product Liability	660 Occupational Safety/Health	SOCIAL SECURITY	810 Selective Service
153 Recovery of Overpayment of Veterans Benefits	350 Motor Vehicle	690 Other	861 HIA (13958)	850 Securities/Commodities Exchange
160 Stockholders Suits	355 Motor Vehicle Product Liability	LABOR	862 Black Lung (923)	875 Customer Challenge 12 USC
190 Other Contract	360 Other Personal Injury	710 Fair Labor Standards Act	863 DIWC/DIWW (405(g))	891 Agricultural Acts
195 Contract Product Liability		720 Labor/Mgmt. Relations	864 SSID Title XVI	892 Economic Stabilization Act
REAL PROPERTY	CIVIL RIGHTS	730 Labor/Mgmt. Reporting & Disclosure Act	865 RSI (405(n))	893 Environmental Matters
210 Land Condemnation	441 Voting	740 Railway Labor Act	FEDERAL TAX SUITS	894 Energy Allocation Act
220 Foreclosure	442 Employment	790 Other Labor Litigation	870 Taxes (U.S. Plaintiff or Defendant)	895 Freedom of Information Act
230 Rent Lease & Ejectment	443 Housing/Accommodations	791 Empl. Ret. Inc. Security Act	871 IRS - Third Party 26 USC 7609	900 Appeal of Fee Determination Under Equal Access to Justice
240 Tort to Land	444 Welfare			950 Constitutionality of State
245 Tort Product Liability	440 Other Civil Rights			890 Other Statutory Actions
290 All Other Real Property				
	PRISONER PETITIONS			
	510 Motions to Vacate Sentence Habeas Corpus			
	530 General			
	535 Death Penalty			
	540 Mandamus & Other			
	550 Civil Rights			
	555 Prisoner Conditions			

## VI. ORIGIN (PLACE AN X IN ONE BOX ONLY)

X 1 Original Proceeding 2 Removal from State Court 3 Remanded from Appellate Court 4 Reinstated or Recopened 5 Transferred from another district (specify) 6 Multidistrict Litigation 7 Appeal to District Judge from Magistrate Judgment

## VII. REQUESTED IN COMPLAINT:

CHECK IF THIS IS A CLASS ACTION UNDER f.r.c.p. 23 ☐

## DEMAND \$

Check YES only if demanded in complaint:

JURY DEMAND: ☒ YES ☐ NO

## VIII. RELATED CASE(S) IF ANY (See Instructions): JUDGE

Docket Number

DATE January 11, 2007

SIGNATURE OF ATTORNEY OF RECORD

UNITED STATES  
DISTRICT COURT  
Southern District of California  
San Diego Division

# 133806 - A3  
January 12, 2007

Code	Case #	Qty	Amount
CV006900	3-07-CV-0007		60.00 CH
Judge	- HAYES		
CV006400			100.00 CH
CV510000			190.00 CH

Total-> 350.00

FROM: CIVIL FILING  
OAKLEY INC V. H&L SPORTS ETAL  
BCN 6295  
SH